



Heavy Neutral Particle Decays to Tau Pairs at $\sqrt{s} = 7$ TeV with CMS at the Large Hadron Collider

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The Standard Model of Particle Physics

mass

charge → 2/3

spin →

name --

- Three generations of matter
 - 6 quarks
 - 6 leptons
 - 3 charged (e,μ,τ)
 - 3 neutrinos (v_e, v_u, v_τ)
 - Neutrinos supposed to be massless in the SM
 - Recent experiments show they have very small masses
- Force carriers
 - Photon ↔ EM force
 - massless
 - 8 gluons ↔ strong force
 - massless
 - $W^{\pm,}Z \leftrightarrow$ weak force
 - Very massive



ш

171.2 GeV/c²

top

4.2 GeV/c²

-¹/₃h

²/₃

1/2

0

0

photon

Ш

1.27 GeV/c²

charm

104 MeV/c²

·¹/₃C

^{2/3} ^{1/2} **C**

2.4 MeV/c²

⁴³/_{1/2}U

up

4.8 MeV/c²

·¼

The Higgs Mechanism

- In SU(2)_L x U(1) symmetry
 - Gauge bosons massless
 - Fermions as well
- Gauge bosons acquire mass by breaking the SU(2) x U(1) symmetry
 - Adding a complex scalar field doublet
 - Three degrees of freedom give
 mass to the gauge bosons
 - Become Longitudinal polarization of W/Z fields
 - Fourth degree of freedom corresponds to a new scalar particle, the Higgs boson
- Fermions acquire masses via couplings to the Higgs
- Higgs boson not discovered yet



$$\mathcal{L} = |D_{\mu}\Phi|^2 - \mu^2 \Phi^2 - \lambda \Phi^4$$

For $\mu^2 < 0$, minimum $\upsilon = \sqrt{-\frac{\mu^2}{2\lambda}}$

Translating the field to the new minimum gives:

$$M_W = \frac{g_U}{2}, M_Z = \frac{M_W}{\cos\theta_W}, M_H = \sqrt{-2\mu^2}$$
$$g^2 = \frac{8M_W^2 G_F}{\sqrt{2}}$$
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Particle Interactions in the SM



The Structure of the Proton

- In proton collisions
 - Partons (quarks and gluons) are pulled from the proton and interact
- Quarks in the proton not free
 - Exchanging colored gluons
 - Virtual particles- off their mass shell
 - Two types
 - Valence (u,d)
 - Sea(u,d,c,s) : produced by strong interactions in the proton
- Gluons
 - Carry ~50% of the proton momentum
- Parton Distribution Functions
 - Probability of a parton to be pulled out of the proton with momentum fraction x : f(x)
 - Measured with experimental data



- In 7 TeV proton collisions
 - Two partons with momentum fraction x,y will give an effective center of mass of the interaction

$$\sqrt{s} = \sqrt{xyS} = 7\sqrt{xy}$$
 TeV

5

 Hadron colliders versatile for discovery

Z Production in the LHC

10-1



- Production via the Drell-Yan process
- Leading order
 - Quark annihilation
 - Z has no transverse momentum
- At higher orders
 - Jets in the final states
 - Z has transverse momentum
 - Produced in association with jets



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Theory: FEWZ and MSTW08 NNLO PDFs

 $Z \rightarrow I^{\dagger}I^{\dagger}$

CMS, 36 pb⁻¹, 2010

CDF Run II D0 Run I

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UA2

UA1

- in di-muon and di-electron final states
- This thesis:
 - Measurement of cross section in ditau final state
 - Test of lepton universality and benchmark for Higgs search

Higgs Phenomenology in the LHC



ratio to tau leptons

The Tau Lepton

- Heaviest lepton
 - Mass = 1.78 GeV
- Decays via the weak interactions
 - To electrons/muons + 2 neutrinos (35%)
 - To hadrons + 1 neutrino(65%)
 - Single π^+/K^+
 - $\ \ \ \ \rho \rightarrow \pi^{\scriptscriptstyle +}\pi^{\scriptscriptstyle 0}$
 - $\alpha_1 \rightarrow \pi^+\pi^-\pi^+$, $\pi^+\pi^0\pi^0$
- Identification of hadronic tau decays
 - Important since tau is a tool to search for the Higgs
 - Experimentally challenging
- Novel algorithm implemented in this thesis to identify hadronic decays of taus



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Beyond the SM: Supersymmetry

- SM: a theory of almost everything
 - Problems arise when SM is seen as part of a larger theory (e.g @ Plank scale) → hierarchy problem
 - Corrections to the Higgs mass become quadratically divergent in high scale Λ
 - For Higgs mass to be low excessive fine tuning is needed
- Supersymmetry(SUSY) solves this problem
 - By introducing a new symmetry between fermions and bosons
 - For each particle there is a super-partner with spin differing by $\frac{1}{2}$
 - Divergences cancel by construction!
 - Minimal extension: Double particle spectrum in the Standard model \rightarrow MSSM



The Higgs sector in the MSSM

- Two Higgs doublets
 - 5 physical Higgs bosons (h,H,A,H[±])
- Production mechanisms
 - Gluon fusion (b,t loop)
 - Associated production with b-quarks
 - Identifying b quarks in the final state enhances sensitivity
- At tree level
 - 2 parameters: M_A , tan β
 - M_h<M_z
 - Loop corrections from SUSY particles
 - M_h <133 GeV
 - Fixed in benchmark scenarios(mh^{max})
- At large tanβ
 - Cross section Enhanced
 - BR(Φ → ττ) ~ 15%



The Large Hadron Collider

- 7 TeV proton proton (or heavy ion) collider
- Center of Mass energy = 7 TeV
- 27km circumference , 100m underground



- Four large detectors
 - ATLAS+ CMS
 - General purpose mainly proton physics
 - ALICE
 - Heavy Ion experiment
 - LHCb
 - Forward detector for b physics

LHC Operation

The number of events for a given process is given by:

$$N = \sigma \int \mathrm{d}t\mathcal{L}$$

- σ: the cross section of the process
 - Expresses the probability of the interaction
 - Measured in units of areaeffective area of the interaction
- L :The instantaneous luminosity of the collider
 - Particle Flux / time
 - Units of 1/(area x time)
- Integrated Luminosity :
 - Instantaneous luminosity
 integrated over time

	Design	2011 run
Beam Energy	7 TeV	3.5 TeV
Bunches/Beam	2835	1380
Rev Frequency	25ns	50ns
Peak Luminosity	10 ³⁴ cm ⁻² s ⁻¹	3x10 ³³ cm ⁻² s ⁻¹
Integrated Luminosity		5.2 fb ⁻¹
SM Higgs bosons produced		~100,000

- Goal : maximize luminosity
 - Increase particles per bunch
 - Maximize the number of bunches and revolution frequency
 - Decrease the bunch area

The challenge of pileup

- At high luminosity several pairs of protons can interact
 - Producing multiple interactions in the detector
 - Event of interest overlayed with other events
- Special techniques are needed to maintain high performance of particle identification



Mean number of interactions/crossing

$$\mu = \frac{\mathcal{L}\sigma_T}{R_B f_B}$$

The Compact Muon Solenoid





Magnet

- Large solenoid magnet provides bending power for momentum measurement
- Sagitta of a particle trajectory $s = \frac{L^2}{8r} = \frac{qBL^2}{8p}$
- Momentum resolution $\frac{dp}{p} \approx \frac{p}{BL^2}$
- Optimal momentum resolution for large tracking path and large magnetic field
 - 3.8T magnet in CMS
 - 6.3 meter diameter



- 4 layer winding
- Magnet flux returned by 10000 ton iron yoke

Tracker



Large size + full silicon
 technology result in large
 material budget



Silicon strip detector

- Provides measurement of charged particle trajectories and momenta
- Full silicon technology
 - Pixel detector near interaction point
 - Highest occupancy
 - Silicon strip outside
 - (barrel and endcap) covering η <2.5

Resolution

 $\frac{dp_T}{p_T} = \left(\frac{p_T}{\text{TeV}} 15\%\right) \oplus 0.5\% \qquad 17$

Electromagnetic Calorimeter



- Crystal Technology
 - Lead Tungstate Crystals(~76000)
 - Radiation Length of 8.9 mm
 - 26 radiation lengths/crystal
 - Moliere radius of 22mm
 - Transverse shower profile within 2x2
 - 80% of the light emitted within 25 ns
- Fine segmentation for position resolution
- Covering up to η<3

Resolution:

$$\left(\frac{\sigma}{E}\right)^2 = \left(\frac{2.8\%}{\sqrt{E}}\right)^2 + \left(\frac{0.12}{E}\right)^2 + (0.30\%)^2$$



Hadron Calorimeter





- Barrel and endcap (η<3)
 - Sampling Calorimeter
 - Brass and scintillator plates
 - Light transmitted via fibers to photodetectors
- <u>Resolution</u>

$$\left(\frac{\sigma}{E}\right)^2 = \left(\frac{90\%}{\sqrt{E}}\right)^2 + (4.5\%)^2$$

- Forward region(3<η<5)
 - Cherenkov based
 - Using quartz fibers within steel
 plates
 - Light collected by photo multipliers
- <u>Resolution</u>

$$\left(\frac{\sigma}{E}\right)^2 = \left(\frac{172\%}{\sqrt{E}}\right)^2 + (9\%)^2$$
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Muon System



- Provides muon identification and Trigger
- Drift Tube chambers(η<1.2)
 - Tubes filled with gas
 - Anode wire @ 1.8 kV
 - Muon interacts via ionization
 - Slow and precise
- Resistive Plate Chambers(η<1.6)
 - Consists of two gaps filled with gas between readout strips
 - Very fast-Extensive monitoring needed

- Cathode strip chambers(0.9<η<2.4)
 - Consist of a set of wires
 - Running Azimuthially
 - And cathode strips
 - Run lengthwise in constant $\Delta \phi$
 - Provide fast, precise measurement of both coordinates
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L1 Trigger

- At design conditions LHC provides collisions at 40MHz
 - Average event size 0.5-1 MB
 - Rate must be reduced before storing
- L1 Trigger
 - Made of custom electronics (ASICs + FPGAs)
 - Uses information from calorimeters and muon detectors
 - Implements basic particle ID in firmware and LUTs
 - Forwards all objects to the Global Trigger
 - Global Trigger produces decision if the event is stored
- Output rate ~100kHz



High Level Trigger

- Processes events selected by L1
- Implements more complex algorithms
 - Written in C++, running in commercial processor farm(Scientific Linux)
 - Each working node processes one event
 - Events that pass are stored
 - Algorithms similar or identical to offline reconstruction
- Trigger path implementation
 optimized for speed
 - Simpler algorithms run first
 - If event passes, complex algorithms run after
- Event rate reduced to ~300 Hz



Event Simulation

- HEP experiments
 - Large and complicated
- Precise simulation
 - Essential for experiment design and validation of experimental techniques
 - Performed using Monte Carlo **Methods**
- Modular design of simulation
 - **Physics Event Generators**
 - Simulation of Particles through the detector
 - Hardware emulation



Event Reconstruction+Analysis

Event Reconstruction

- Processes RAW data and creates physics objects
 - Electrons , Muon, Taus , Jets etc
- Runs Centrally in the stored datasets
 - Users usually access the reconstructed data for analysis
- Implemented in CMS SoftWare (CMSSW)
 - Framework for reconstruction and data analysis
 - Implemented in C++/Python
 - Any new reconstruction algorithm is implemented and integrated in CMSSW

Data Analysis

- Custom User code to analyze specific final states
- Implemented in CMSSW
- Running on datasets using the GRID
 - The presented analysis was exclusively processed at Wisconsin T2 /Condor



Analysis Strategy

- Select events in the following $\tau\tau$ final states
 - ττ → μτ 3 v
 - Branching ratio ~23%. Clean final state
 - ττ → eτ 3 v
 - Branching ratio ~23%. High background from $Z \rightarrow ee$
 - Electrons have very similar detector signature with taus
 - $\tau\tau \rightarrow e\mu 3 v$
 - Branching ratio ~6%. Almost background free
- Measure Z production cross section
 - Establish performance of tau ID and experimental techniques on data
- Use the sample to search for SM and MSSM Higgs bosons

Principles of Particle Identification



Electron Identification

- Requires a track matched to an electromagnetic deposit
 - Electromagnetic deposit formed as a supercluster
 - Cluster of clusters growing in the bending direction
 - To account for material effects
 - Supercluster must have low hadronic activity
 - H/E<0.05
- Additional rejection of photon conversions



Muon Identification

- Three types of muon reconstruction
 - Standalone muon
 - Track reconstructed in muon system
 - Tracker muon
 - Tracker track extrapolated to match hits in muon system
 - Global Muon
 - Muon track reconstructed both in tracker and muon system
- Requirements
 - Muon identified as tracker and global muon
 - At least one pixel hit, muon hit
 - At least 10 tracker hits
 - At least two segment matches
 - χ2/NDOF<10



The Particle Flow Algorithm

- Uses information from all sub-detectors
 - Combines tracks with calorimeter deposits
 - Provides unique event description
 - PF candidates (muons, electrons, charged hadrons, neutral hadrons, photons)
- PF candidates can be used to form higher level objects
 - Jets , Taus, missing transverse energy, isolation deposits
- PF provides the most precise measurement of the particle energy
 - Charged Hadrons are measured dominantly by the tracker
 - Electrons and photons are measured dominantly by the ECAL
 - Neutral Hadrons are measured with the calorimeters 29

Lepton isolation

- Leptons from tau decays are isolated in the detector
- Leptons from QCD processes are inside jets
- Isolation:
 - Very important discriminator against QCD background
 - Using PF candidates in a cone of ΔR=0.4
 - Defined as sum of the candidate Pt divided by the lepton Pt(relative)
- Corrected for PU effects
 - Charged particles coming from primary vertex
 - Neutral particle deposit corrected by $\Delta\beta$ corrections

Jet and missing $\mathbf{E}_{\mathbf{T}}$ reconstruction

- Jets
 - Particle clusters created using PF candidates
 - Using the anti-kt algorithm
- Full set of energy corrections is applied
 - L1 FastJet:
 - Removes energy coming from PU
 - L2Relative
 - Equalizes the jet response within the detector
 - L3Absolute
 - Equalizes the jet response in different Pt
 - Residual corrections
 - Applied on data to account residual differences with the simulation

- b-jet identification
 - Jets originating by b quarks
 - Several B hadrons
 - Have long lifetime
 - Exploit the displacement of the tracks from the vertex
- Missing transverse energy
 - Neutrinos cannot be detected
 - The overall invisible energy is estimated in the transverse direction
 - Balancing visible products
 - Using Particle Flow

$$E_T^{\text{miss}} = \sum_i \vec{E_T}$$

Hadronic Tau Identification

- Traditional Tau ID
 - Cone based
 - Requires Narrow jet
- The HPS Algorithm
 - Reconstructs the individual decay modes
 - One charged hadron
 - One charged hadron+ 1 π^0
 - Three charged hadrons
- Reconstruction of $\pi^0 s$
 - Challenging due to material effects
 - Introduce an EM strip

Data sample

 After data certification, 4.9fb⁻¹ of data were used for analysis

Selection of tau pairs

- Muon+Tau
 - muon+tau trigger
 - muon Pt>17 GeV, η<2.1
 - tau Pt>20 GeV, η<2.3
- Electron+Tau
 - electron+tau trigger
 - electron Pt>20 GeV, η<2.1
 - tau Pt>20 GeV, η<2.3
- Electron+Muon
 - electron+muon trigger(17/8)
 - Leading Lepton Pt>20
 - Sub-leading Lepton Pt>10
 - Muon η<2.1 ,Electron η<2.3
- All final states
 - Opposite charge
 - Veto on $Z/\gamma^* \rightarrow \mu\mu/ee$

Veto W+jets events using The transverse mass

$$M_T = \sqrt{2P_T E_T^{\text{miss}} (1 - \cos \Delta \phi)}$$

Corrections to the Simulation

- Light Lepton Trigger /ID
 - Using $Z \to \mu \mu/ee$ events
- Tau ID
 - Independent measurement of Z → TT events (uncertainty. 6%)
- Tau Trigger
 - With Z → TT that fire lepton triggers
- Missing Transverse Energy
 - Calibrated with $Z \to \mu \mu$ data

Background Estimation

- In e/μ+τ final states
 - W extrapolated from high MT region
 - QCD estimated from SS events
 - After subtracting W and Electroweak/tt backgrounds
- In e+µ final state
 - Measuring an extrapolation ratio from non-isolated to isolated leptons in SS region
 - Apply it in OS region

	μ+т	e+T	e+µ
Ехр	46364±2292	31467±1709	18530±797
Obs	46244	30679	18316

Results after full selection

- Good agreement with simulation
 - Within background uncertainties
 - In all final states
- No first sign of new physics
 - Not expected to show up on those distributions

Cross section calculation

Branching Ratio ($\tau \tau \rightarrow$ final state)

Acceptance x efficiency =(A xɛ) from MC x corr.factors $\bar{A} = A \times \epsilon_{MC} \times \prod_{i} \rho_{i}$

Systematic uncertainties

Source	μ+т	е+т	e+µ
Muon ID/Trigger	1%	-	2%
Electron ID/Trigger	-	1%	2%
Tau ID	6%	6%	-
Tau Trigger	3.3%	3.3%	-
Tau energy Scale	3%	3%	-
Topological Requirements	0.5%	0.5%	-
Luminosity	2.2%	2.2%	2.2%
P.D.Fs	2%	2%	2%
NNLO effects	0.5%	0.5%	0.5%

Cross section results

- Shape fit performed on all final states
- All channels in agreement with theoretical prediction
- Combined fit constrains the tau ID to 3%
- Combined cross section:
 - σ= 955 ± 7 (stat) ± 33 (syst) ± 20 (lumi) pb

Search for Higgs bosons

- $Z \rightarrow \tau \tau$ cross section doesn't show any excess of events
 - Higgs production overwhelmed by Z background
 - Higgs signal would not be visible in the visible mass distributions
- Better sensitivity can be achieved in specific final states
 - Vector boson fusion for the SM Higgs
 - Associated Production with b-quarks for the MSSM Higgs

• Distinct VBF forward jet signature and presence of b-tagged jets suppress Z \rightarrow TT background 41

Event Categorization

- Separate events into event classes with different expected sensitivity
 - Combine different categories as separate final states
 - All the events are used in the search

Z -> ττ Background Estimation

Z normalization

- Scaled based on the number of $Z \rightarrow \mu \mu$ observed events
- MC scaling factor = 0.99 +-0.03

- Reconstruct $Z \to \mu \mu$ events in data
- Replace μ with decay the event
- Mix the simulated tau pair event with the initial events without the muon
- PU/UE and jets from data!

Muon+Tau

Electron+Tau

Electron+Muon

Statistical Analysis

- No significant excess is observed in any final states in the SM or the MSSM Higgs searc
- 95% CL Upper limits are set
 - In the SM Higgs production cross section
 - In the MSSM parameter space (m_A ,tan β)
- Using modified frequentist approach (CLs)

Upper Limits on SM Higgs

- Expected To exclude ~3.5xSM at M_H between 110-130 GeV
- Observed exclusion 2.56 x SM at M_H=115 GeV
- Need more data to derive conclusions

Upper Limits in the MSSM

- Excluding $tan\beta < 8$ at low MA
 - Observed in agreement with expected at low MA
 - Small excess of events at high mass
 - Local significance = 1.5 standard deviations at M_{A} =350 tan β =2049

Conclusions

- A complete study of the di-tau final state was performed using ~5 fb-1 of data collected with CMS in 2011
- Novel Tau identification and lepton isolation techniques were proposed and implemented
- The Z \rightarrow TT cross section was measured in the full dataset in agreement with the theoretical prediction
- A search for SM and MSSM Higgs bosons was presented
 - No significant deviation from the background only hypothesis observed
 - Stringent new bounds are set to the SM Higgs production and MSSM parameter space
- More data in 2012 will shed light about the existence of the Higgs boson

Backup

Event Simulation packages

- Physics Generators
 - PYTHIA
 - General purpose leading order(LO) generator
 - Includes hadronization and parton shower
 - POWHEG
 - Improvement to PYTHIA
 - NLO calculation
 - MADGRAPH
 - Tree level generator
 - Ideal for processes with multiple objects (e.g Z+jets)
 - TAUOLA
 - Simulation of the tau decay

- Particles Interaction in the detector
 - GEANT4
 - Using precise detector description
 ⁵²

Tranvserse momentum resolution

- One and three prong ecay modes dominated by the tracker
 - Excellent resolution
- Hadron+strip decay mode dominated by energy loss
 - Material effects, mis-identification of neutral pions 53

The Hadrons+Strips (HPS) Algorithm

- Start from a jet
- Build combinatorially tau decay modes using PF candidates
 - One charged hadron
 - Three charged hadrons
 - One hadron+ strip
 - Strip is introduced to account for material effects
 - As in electrons
- Apply mass requirements on the decay mode
- Apply isolation on a solid cone of 0.5
 - After subtracting tau constituents

HPS Efficiency and fake rate

- Efficiency calculated on simulation
- Fake rate calculated on data
 - +compared to simulation
- HPS Algorithm achieves an efficiency of 50-60% for a fake rate <= 1%

Hadronic Tau identification

- Traditional Tau ID method in hadron colliders
 - Start from a jet
 - Create a narrow signal cone and isolation annulus
 - Assume signal cone constituents = tau
 - Apply Isolation
- Caveats
 - Energy measurement not precise
 - Sensitive to PU
 - Not using additional decay mode info
- Solution:
 - Propose Decay Mode Tau ID

Cone based

Decay mode based

Muon+Tau

Electron+Tau

Electron+Muon

Alternative W/tt rejection

- Exploit the fact that the tau decay products are boosted
 - Neutrinos near visible products
 - Form ζ variables(used in CDF)

- Require
 - P_{ζ} -1.25 $P_{\zeta,vis}$ >-25 GeV (e/µ)

Background Estimation (e+µ)

- TTBar: Dominant + ~Irreducible
 - Estimated by MC using CMS measurement
- QCD: ABCD method (isolation vs charge)
- Non QCD fakes(small) MC +40% uncertainty

B

OS

Both Legs

	e+µ
Ехр	18530+-797
Obs	18316

Acceptance Modeling

- Acceptance is calculated with visible products using POWHEG+TAUOLA
- We don't calculate acceptance in Mass window
 - No way to restrict the reconstructed Z to a Z mass window due to invisible products
- To compare with $Z \rightarrow II$ results:
 - We estimate the cross section at M>20 GeV
 - However more than 97% of the events with M>50
 - Then we extrapolate the measurement to M>50 GeV mass window using theoretical predictions

Final State	Acceptance $(\%)$
$\mu + au_h$	5.889 ± 0.011
$e + \tau_h$	4.524 ± 0.009
$e + \mu$	8.084 ± 0.011

Theoretical systematics

- Effect of PDFs in acceptance : 2%
- FSR:negligible
- ISR+NNLO effects + higher order weak corrections
 - Those affect the Z Pt
 - We compare Z pt in POWHEG and µµ data
 - We reweigh the POWHEG spectrum to match data
 - Assigning the full difference in acceptance as systematic
 - <0.5%

Likelihood definition

Applying a shape fit with backgrounds and systematic uncertainties floating around the estimated values

The likelihood is: $\mathcal{L} = \mathcal{L}_{shape} \times \mathcal{L}_{bkg} \times \mathcal{L}_{syst}$

 $\mathcal{L}_{\mathrm{bkg}} imes \mathcal{L}_{\mathrm{syst}}$: product of constraints

The shape part is a stack of all visible mass distributions multiplied by their normalizations

Signal

$$\mathcal{L}_{\text{shape}} = N_{Z \to \tau\tau}(n_j) f_{Z \to \tau\tau}(m_{\text{vis}}, n_j) + \sum N_i(n_j) f_i(m_{\text{vis}}, n_j),$$

Finally the signal coefficient is modified so that we can extract the cross section

$$N_{Z \to \tau\tau}(n_j) = \sigma \bar{A}(n_j)L,$$

VBF kinematics

- Most of the VBF events have 2 jets within η<4.5 and Pt>30
- The mass of the two jets is much higher than Z background
- The jets appear in high η difference

MSSM Higgs search categorization

- Split the data into two categories
- MSSM-VBF:
 - Require onje b-tagged jet>20 GeV
 - To reduce ttbar require less than two jets with Pt>20
- MSSM-NoVBF:
 - All events failing B selection
- Signal has mostly one b-jet tagged
- Good agreement with simulation

VBF candidate event

