



Spin and Parity of the Higgs Boson Near $m_H = 126 \text{ GeV}/c^2$ in the $H \rightarrow ZZ \rightarrow 4\ell$ Channel and a Search for a Doubly Charged Higgs with the CMS Detector at the LHC

PhD Thesis Defense

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Compact Muon Solenoid
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The Standard Model

▶ Matter Particles

- ▶ Quarks: u, d, c, s, t, b
- ▶ Leptons: $e, \mu, \tau, \nu_e, \nu_\mu, \nu_\tau$

▶ Force Carriers

- ▶ Electromagnetism: γ
- ▶ Weak: Z and W^\pm (massive)
- ▶ Strong: g

▶ Higgs Boson

- ▶ $m_H = 125.09 \pm 0.24$ GeV
- ▶ Spin-0 scalar particle

▶ Missing Pieces

- ▶ Dark Matter and Dark Energy
- ▶ Gravity

	Fermions			Bosons	
Quarks	u up	c charm	t top	γ photon	Force carriers
	d down	s strange	b bottom	Z Z boson	
Leptons	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	
	e electron	μ muon	τ tau	g gluon	
				H Higgs boson	

Source: AAAS

Particle Interactions

▶ Strong Force

- ▶ Carried by gluons
- ▶ Binds quarks into mesons and baryons (e.g. protons and neutrons)
- ▶ Keeps atomic nuclei bound together

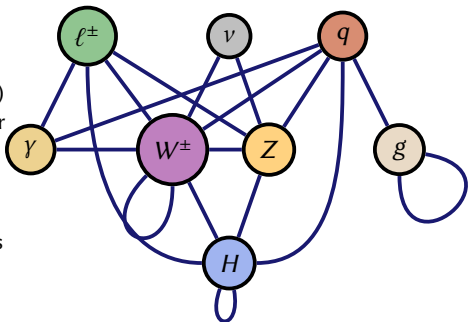
▶ Electromagnetic Force

- ▶ Carried by photons
- ▶ Binds electrons into atomic orbitals
- ▶ Binds atoms into molecules

▶ Weak Force

- ▶ Carried by Z and W bosons
- ▶ Responsible for radioactive decay

- ▶ Dynamics are described by the *Standard Model*, which is a quantum field theory that obeys $SU(3)_C \times SU(2)_L \times U(1)_Y$ gauge symmetry



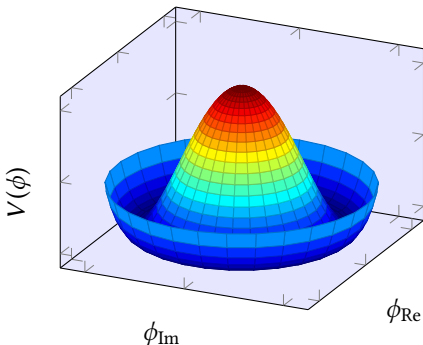
The Higgs Mechanism

- ▶ $SU(2)_L \times U(1)_Y$ symmetry requires massless gauge bosons
- ▶ Photon is massless, W^\pm and Z are not – broken symmetry
- ▶ The Brout-Englert-Higgs Mechanism provides a means for spontaneous symmetry breaking
- ▶ Add a complex $SU(2)_L$ scalar doublet, which obeys the following potential

$$V(\phi) = \mu^2 \phi^\dagger \phi + \frac{1}{2} \lambda^2 (\phi^\dagger \phi)^2$$

- ▶ Spontaneous symmetry breaking occurs when $\mu^2 < 0$, and the vacuum expectation value (VEV) is no longer zero

$$v/\sqrt{2} = \mu/\lambda$$



- ▶ Predicts a neutral, spin-0 boson with mass $M_H = -\lambda v$
- ▶ Photon remains massless, Z and W^\pm acquire mass

$$M_W = \frac{1}{2} g v \quad M_Z = \frac{1}{2} \sqrt{g^2 + g'^2} v$$



Yukawa Couplings

- ▶ The Higgs can also couple to fermions
- ▶ For electrons, this would be

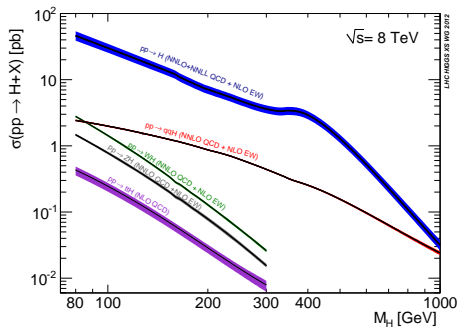
$$\mathcal{L} = -\frac{Y_e}{\sqrt{2}}(v + H)(\bar{e}_L e_R + \bar{e}_R e_L)$$

- ▶ This coupling gives the electrons their mass
- ▶ The heavier the particle, the stronger the coupling
- ▶ Right and left-handed varieties of a particle are required for this to work
- ▶ Only left-handed neutrinos have been observed in nature – cannot acquire mass in this way
- ▶ Higgs can only couple directly to massive particles – photons and gluons are massless

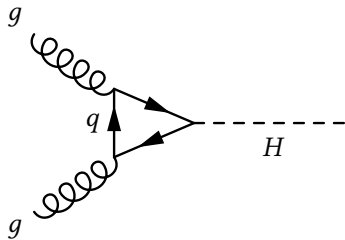


Higgs Production

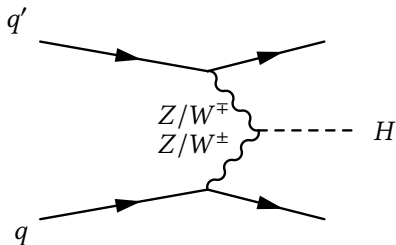
- ▶ Gluon fusion is the dominant Higgs production mode in proton collisions
- ▶ Vector boson fusion is second dominant



Gluon Fusion

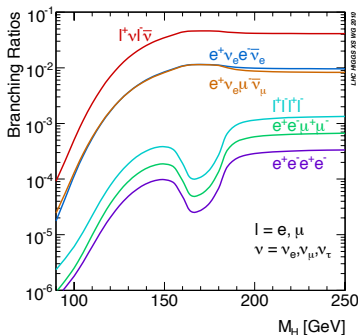
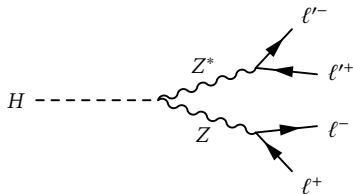


Vector Boson Fusion



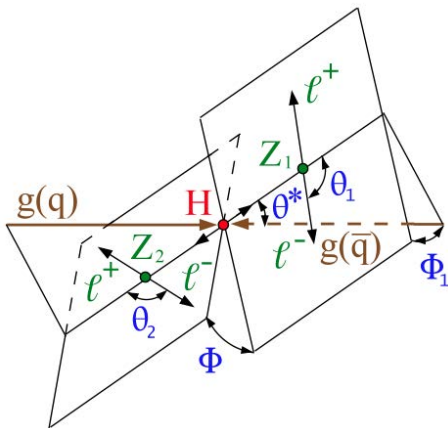
$H \rightarrow ZZ \rightarrow 4\ell$

- ▶ A Higgs boson may decay directly to two Z bosons
- ▶ The Z 's may decay to two charged leptons
- ▶ Called the “golden channel”, specifically when the leptons are muons and electrons – has a very clean signature in the detector
- ▶ The event can be fully reconstructed (no missing transverse energy or jets)
- ▶ Very well suited for precision studies



Spin and Parity of the Higgs Boson

- ▶ The Standard Model Higgs boson is predicted to be a spin-0, pure-scalar particle
- ▶ The spin-parity configuration of the Higgs is manifest in the kinematics of the four leptons
- ▶ *Inferring the nature of the boson at 125-126 GeV,*
[arXiv:arXiv:1301.5404](https://arxiv.org/abs/1301.5404) [hep-ph]
- ▶ Assuming the Higgs is not spin-1, use of the angles $\theta_{1,2}$ and ϕ , can distinguish between the pure-scalar, pseudoscalar, and spin-2 hypotheses





Neutrino Masses

- ▶ Neutrinos have very small mass, $m_{\nu_e} < 2 \text{ eV}$
- ▶ Only left-handed neutrinos are known to exist: cannot acquire mass through SM Higgs mechanism
- ▶ Neutrino masses have not been measured individually, but the square of the mass differences has been measured
- ▶ *Normal mass hierarchy*: $m_1 < m_2 < m_3$
- ▶ *Inverted mass hierarchy*: $m_3 < m_1 < m_2$



Neutrino Oscillations

- ▶ A single neutrino can change flavor
- ▶ Neutrinos propagate through space in one of three mass eigenstates: (ν_1 , ν_2 , ν_3)
- ▶ They can be observed in any of the three flavor eigenstates (ν_e , ν_μ , ν_τ)
- ▶ Neutrino oscillations are brought about by mixing between these eigenstates
- ▶ Mixing described by the unitary Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix
- ▶ *Tri-bi-maximal mixing*: predicts that ν_2 is maximally mixed among all three flavor eigenstates, and ν_3 is maximally mixed between ν_μ and ν_τ

$$\begin{array}{c}
 \nu_1 \quad \nu_2 \quad \nu_3 \\
 \nu_e \quad \left(\begin{array}{ccc} 2/3 & 1/3 & 0 \\ 1/6 & 1/3 & 1/2 \\ 1/6 & 1/3 & 1/2 \end{array} \right) \\
 \nu_\mu \\
 \nu_\tau
 \end{array}$$



Doubly Charged Higgs

- ▶ In the Standard Model, neutrinos are massless, but it is well established experimentally that they do have mass
- ▶ One model that can accommodate neutrino masses is a Higgs triplet
- ▶ This extends the SM particle spectrum with three scalars: Φ , Φ^+ , and Φ^{++}
- ▶ The triplet couples to lepton doublet via

$$\mathcal{L} = i\ell_{Li}^c \tau_2 Y_{\Phi}^{ij} (\tau \cdot \Phi) \ell_{Lj} + h.c.$$

- ▶ The neutrino mass matrix is directly related to the Yukawa couplings

$$(m_{\nu})_{ij} = 2(Y_{\Phi})_{ij} v_{\Phi}$$

- ▶ Discovery of the doubly charged component of this triplet could allow us to measure neutrino masses with a collider experiment
- ▶ The doubly charged Higgs decays to same-sign leptons, which do not necessarily have to be same-flavor
- ▶ There are no individual SM particles that decay in this fashion

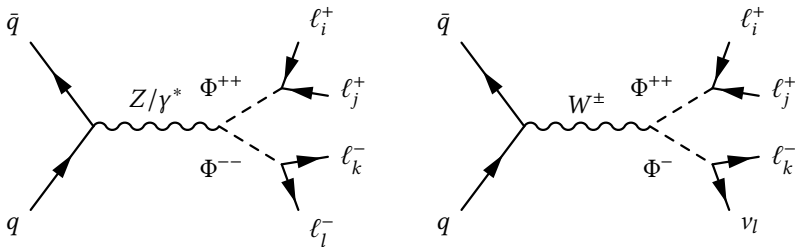


Doubly Charged Higgs Phenomenology

- The width of the doubly charged Higgs to charged leptons is

$$\Gamma(\Phi^{\pm\pm} \rightarrow \ell_i^\pm \ell_j^\pm) = \begin{cases} \frac{1}{8\pi} |(Y_\Phi)_{ij}|^2 m_{\Phi^{\pm\pm}} & i = j \\ \frac{1}{4\pi} |(Y_\Phi)_{ij}|^2 m_{\Phi^{\pm\pm}} & i \neq j \end{cases}$$

- Measuring the branching fractions gives us information about the Yukawa couplings, and thus, neutrino masses
- Doubly charged Higgs can be produced through pair-production or associated production





- ▶ The most extensive spin-parity measurements are performed by CMS and ATLAS
- ▶ Tevatron performed a measurement for the associated production of a Higgs decaying to $b\bar{b}$

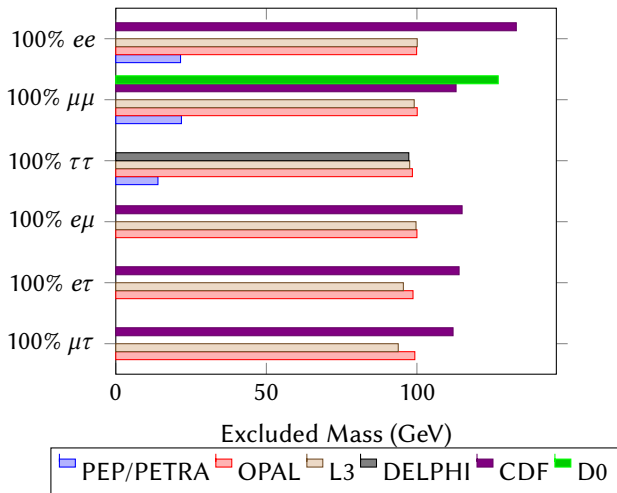
$$WH \rightarrow \ell\nu b\bar{b} \quad ZH \rightarrow \ell^+\ell^- b\bar{b} \quad WH + ZH \rightarrow \cancel{E}_T b\bar{b}$$

- ▶ **CDF, D0 Collaboration**, “Tevatron Constraints on Models of the Higgs Boson with Exotic Spin and Parity Using Decays to Bottom-Antibottom Quark Pairs”, [arXiv:1502.00967](https://arxiv.org/abs/1502.00967) [hep-ex]
- ▶ Relies on the differences in kinematics of Higgs associated production for different spin-parity configurations
- ▶ Exclude 0^- with 5.0σ significance
- ▶ Exclude 2^+ with 4.9σ significance

Prior Searches for a Doubly Charged Higgs



- ▶ Excluded mass hypotheses of a doubly charged Higgs
- ▶ 90% CL for PEP/PETRA, and 95% for others



Large Hadron Collider

- ▶ Proton synchrotron
- ▶ 26.7 km in circumference, ~ 100 m underground
- ▶ Center-of-mass energy

2010-2011 $\sqrt{s} = 7 \text{ TeV}$

2012 $\sqrt{s} = 8 \text{ TeV}$

- ▶ Long Shutdown 1 – ended in June, now running at $\sqrt{s} = 13 \text{ TeV}$

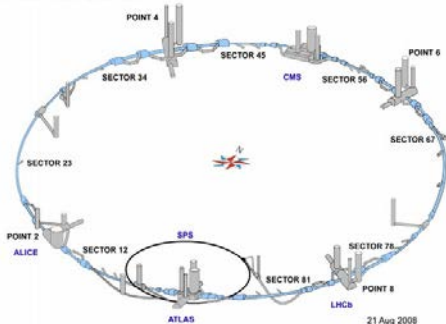
- ▶ Four experiments

CMS general purpose

ATLAS general purpose

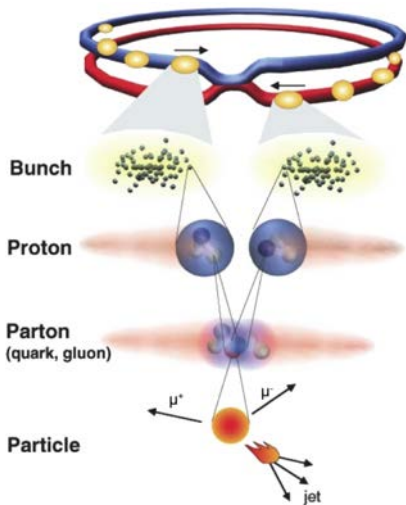
ALICE heavy-ion experiment

LHCb *b*-physics experiment



Proton-Proton Collisions

- ▶ Proton bunches cross at a rate of 40 MHz
- ▶ ~ 20 pp collisions per bunch crossing at design specs.
- ▶ LHC ran at $\sqrt{s} = 8$ TeV during 2012
- ▶ 1380 bunches per ring with 16×10^{10} protons per bunch
- ▶ Instantaneous luminosity
 $\mathcal{L} = 77 \times 10^{32} \text{ cm}^{-2}\text{s}^{-1}$
- ▶ LHC delivered an integrated luminosity of
 $\mathcal{L}_{int} = \int \mathcal{L} dt = 23.3 \text{ fb}^{-1}$
- ▶ CMS recorded 19.7 fb^{-1}
- ▶ Event rate: $N = \sigma \cdot \mathcal{L}_{int}$
- ▶ Cross section, σ , is an “effective area” for a particular interaction

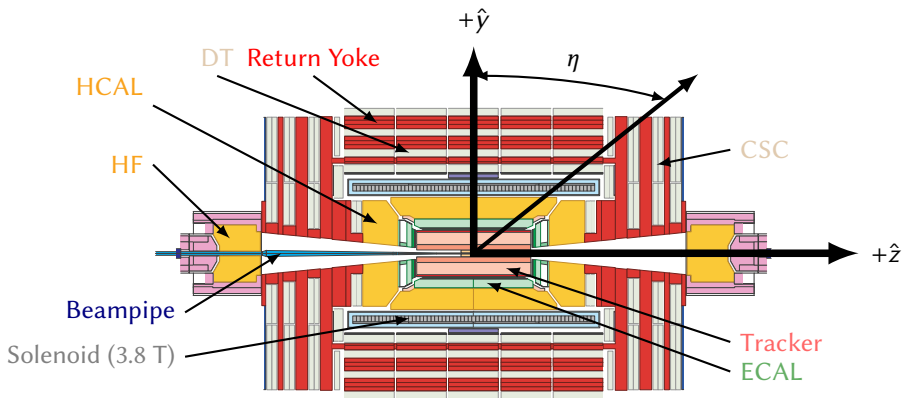


Compact Muon Solenoid

- ▶ Located at Point 5 along the LHC ring in Cessy, France
- ▶ Primary goal of CMS was to search for the Higgs boson – *discovered in 2012*
- ▶ Current goals
 - ▶ Measurement of Higgs properties
 - ▶ Searches for physics beyond the Standard Model
- ▶ Mass: 14 000 metric tons
- ▶ 15.0 m in diameter, and 28.7 m long
- ▶ Features a 3.8 T superconducting solenoid with a 6 m bore



Sub-Detectors and Geometry



- ▶ Pseudorapidity ($\eta = -\ln \tan(\theta/2)$) – polar angle of a particle's trajectory (Lorentz invariant)
- ▶ Occupancy in $d\eta$ is constant across the detector

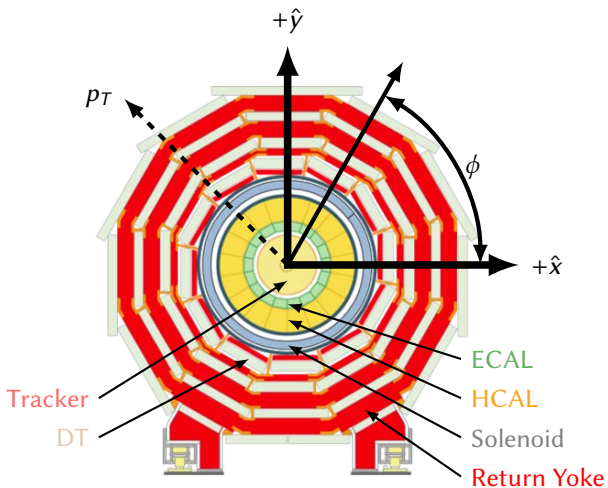
Sub-Detectors and Geometry

- ▶ ϕ – azimuthal angle of a particle's trajectory
- ▶ p_T – transverse momentum

$$p_x = p_T \cos \phi$$

$$p_y = p_T \sin \phi$$

$$p_z = p_T \sinh \eta$$



Tracker

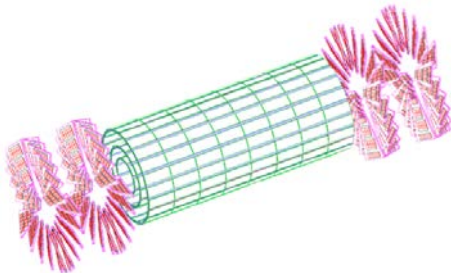
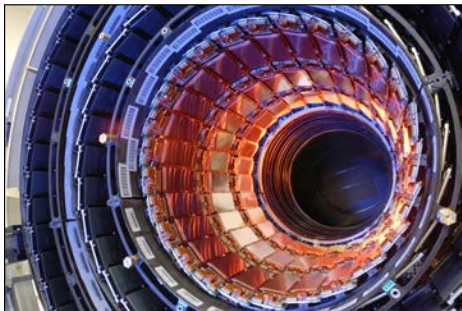
- ▶ 3.8 T magnetic field bends the paths of charged particles
- ▶ Tracker records the paths of charged particles and their momenta
- ▶ Resolution:
 $dp_T/p_T = (p_T(\text{TeV}) \cdot 15\%) \oplus 0.5\%$

▶ Pixel Detector

- ▶ 60 million pixels cover 1 m^2
- ▶ Three barrel layers ($r = 4.4, 7.3, 10.2 \text{ cm}$)
- ▶ Two endcap disks
- ▶ Pixel size of $100 \times 150 \mu\text{m}^2$

▶ Strip Detector

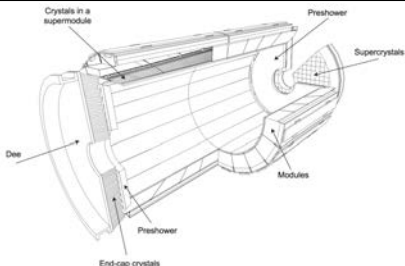
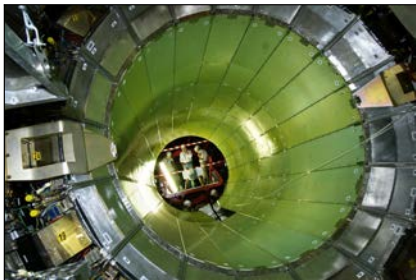
- ▶ 15 148 silicon strip modules
- ▶ Tracker inner barrel: $20 < r < 55 \text{ cm}$
- ▶ Minimum cell size: $10 \text{ cm} \times 80 \mu\text{m}$
- ▶ Tracker outer barrel: $55 < r < 120 \text{ cm}$
- ▶ Maximum cell size: $25 \text{ cm} \times 180 \mu\text{m}$
- ▶ 3 inner and 9 outer endcap disks



Electromagnetic Calorimeter

- ▶ Designed to measure the energy of particles interacting via EM (mostly photons and electrons)
- ▶ Composed of lead-tungstate (PbWO_4) crystals
 - ▶ B: 61 200, EC: 7324
 - ▶ Molière radius: 2.2 cm
 - ▶ Radiation length: 0.89 cm
- ▶ Each crystal is 230 mm long (25.8 radiation lengths)
- ▶ Crystals are 26 mm wide
- ▶ Resolution

$$\left(\frac{\sigma(E)}{E}\right)^2 = \left(\frac{2.8\%}{\sqrt{E}}\right)^2 + \left(\frac{0.12}{E}\right)^2 + (0.30\%)^2$$



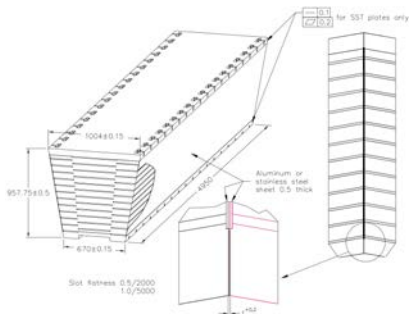
Hadronic Calorimeter

- ▶ Measures the energy of particles that interact via the strong force
- ▶ Sampling calorimeter
- ▶ Alternating layers of brass absorber and scintillator tiles
- ▶ Quartz and steel used in the endcaps
- ▶ 5.82 to 16 interaction lengths
- ▶ Barrel Resolution

$$\left(\frac{\sigma(E)}{E}\right)^2 = \left(\frac{90\%}{\sqrt{E}}\right)^2 + (4.5\%)^2$$

- ▶ Endcap Resolution

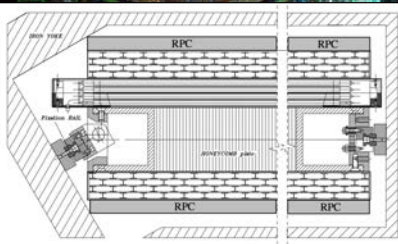
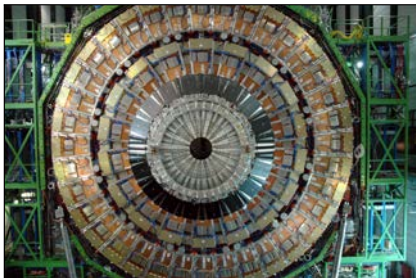
$$\left(\frac{\sigma(E)}{E}\right)^2 = \left(\frac{172\%}{\sqrt{E}}\right)^2 + (9.0\%)^2$$





Muon System

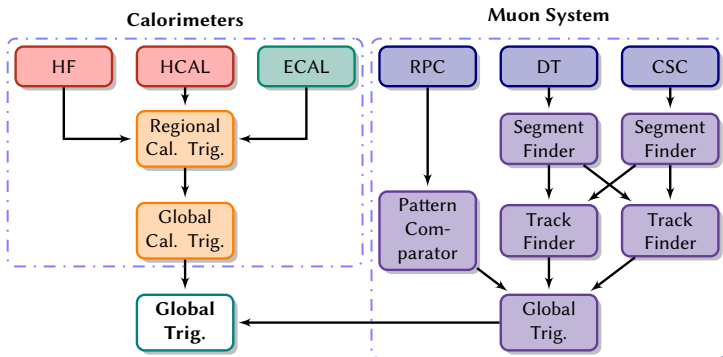
- ▶ Used for muon identification, momentum measurement, and triggering
- ▶ Located outside of the solenoid (2 T field in this region)
- ▶ **Drift Tubes**
 - ▶ Used in the barrel ($|\eta| < 1.2$)
 - ▶ Interleaved with iron return yoke
 - ▶ Good position resolution, slower timing
- ▶ **Cathode Strip Chambers**
 - ▶ Used in the endcaps ($0.9 < |\eta| < 2.4$)
 - ▶ Fast and precise position resolution
 - ▶ Suitable for high-radiation, variable B -field environment
- ▶ **Resistive Plate Chambers**
 - ▶ Used in barrel and endcaps
 - ▶ Fast timing, and weaker position resolution





Level-1 Trigger

- ▶ pp collisions occur at 40 MHz – 0.5-1 MB per event
- ▶ Must reduce the data rate while retaining events of interest
- ▶ Hardware-based trigger system is used to make fast keep/reject decisions
- ▶ Maximum data output of about 100 kHz





High-Level Trigger

- ▶ Designed to further reduce the data-rate
- ▶ It accepts the 100 kHz input from the L1 Trigger
- ▶ It uses software-based triggers that run on the order of 10k commercial computing nodes
- ▶ Able to utilize more sophisticated algorithms for analyzing and selecting events, including use of tracker data
- ▶ HLT categorizes events into reconstruction “paths”, based on combinations of leptons, isolation, p_T thresholds, etc.
- ▶ **High-Level Trigger Paths Used**
 - ▶ Two-electron
 - ▶ Three-electron
 - ▶ Two-muon
 - ▶ Muon-electron



Monte Carlo Samples

► Spin-Parity Signals

- Production modeled with POWHEG, decays with JHUGEN 2
- SM Higgs and alternate spin-parity hypotheses
- $0^+, 0^-, 0_h^+, 2_m^+(gg), 2_m^+(q\bar{q})$
- $m_H = 126 \text{ GeV}$

► Doubly Charged Higgs Signal

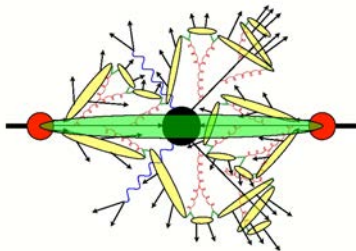
- PYTHIA 6.4
- $q\bar{q} \rightarrow \Phi^{++}\Phi^{--} \rightarrow 4\ell$
- $m_{\Phi^{++}} = 110 \text{ to } 700 \text{ GeV}$

► Backgrounds

- $q\bar{q} \rightarrow ZZ \rightarrow 4\ell$: POWHEG
- $gg \rightarrow ZZ \rightarrow 4\ell$: GG2ZZ
- $Z + X$: PYTHIA 6.4 (Spin-Parity)
- MADGRAPH 4/POWHEG used for minor backgrounds in Doubly Charged Higgs analysis

Monte Carlo Generators

- ▶ **MADGRAPH 4/MADEvent**
 - ▶ Fixed-order matrix element generator
 - ▶ Simulates multi-parton processes
- ▶ **POWHEG**
 - ▶ NLO w/ parton shower event generator
- ▶ **PYTHIA 6.4**
 - ▶ Leading-order event generator
 - ▶ Showering and hadronization generator (SHG)
 - ▶ Also used for underlying event
- ▶ **GG2ZZ**
 - ▶ Dedicated NLO $gg \rightarrow ZZ$ generator
- ▶ **JHUGEN 2**
 - ▶ Provides full spin and polarization correlations of final state particles from the decay of a given resonance
 - ▶ Used for handling the decays of different spin-parity hypotheses for the Higgs
- ▶ **GEANT4**
 - ▶ Simulates passage of radiation through matter



Monte Carlo Simulation Chain

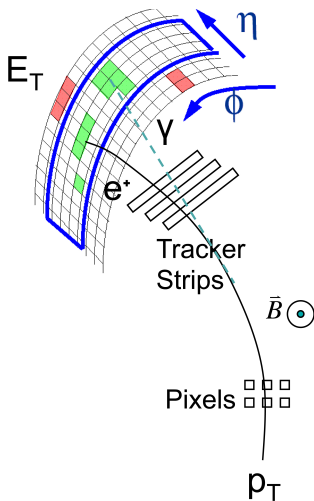


1. MADGRAPH 4/POWHEG/GG2ZZ/PYTHIA 6.4
 - ▶ Simulate the parton-parton hard scattering event
2. JHUGEN 2
 - ▶ Simulate the decay of a Higgs to leptons, taking into account spin and polarization correlations
3. PYTHIA 6.4
 - ▶ Simulate the underlying event – interactions between other partons in the proton-proton collision
 - ▶ Showering and hadronization – form jets from final state quarks and gluons
4. GEANT4
 - ▶ Simulate the detector response
5. CMSSW
 - ▶ Perform CMS reconstruction algorithms for forming particle candidates



Electron Reconstruction

- ▶ Electron are reconstructed from ECAL and track information
- ▶ ECAL-driven seeding
- ▶ Optimized for higher p_T electrons, coming from Z or W decays
- ▶ Supercluster – group of one or more clusters of energy deposits in ECAL
- ▶ Form ECAL superclusters with $E_T > 4$ GeV
- ▶ Superclusters are narrow in η , but extend in ϕ to capture bremsstrahlung radiation from the electron due to the B -field
- ▶ Superclusters are matched to track seeds (pair or triplets of hits)
- ▶ The track is fit using a Gaussian Sum Filter, which accounts for energy loss due to bremsstrahlung

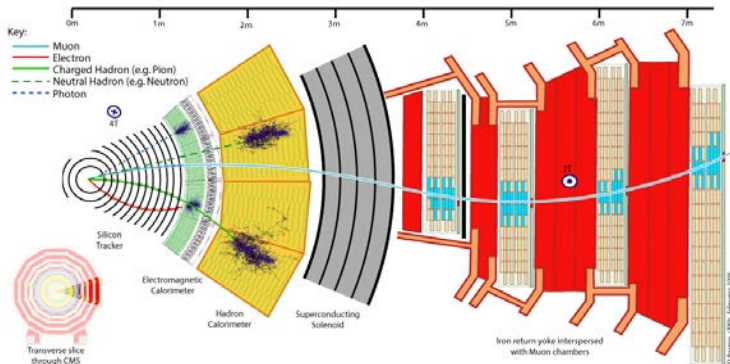




Muon Reconstruction

- ▶ Muons are reconstructed using primarily tracker and muon system information
- ▶ Tracker Muons
 - ▶ Starts with tracks from the inner tracker
 - ▶ Tracks are extrapolated out to the muon system, accounting for curvature due to the magnetic field, expected energy loss, and multiple Coulomb scattering
 - ▶ Tracks that align with at least one track segment from DT or CSC are identified as *tracker muons*
- ▶ Global Muons
 - ▶ Starts with tracks in the muon system
 - ▶ A matching tracker track is found by propagating the muon track and tracker track to a common surface
 - ▶ Once track pairs are found, they are merged together
 - ▶ These are identified as *global muons*

Particle Flow



- ▶ Combines information from all CMS sub-detectors to produce particle candidates
- ▶ It produces exclusive collections of charged/neutral hadrons, photons, muons, and electrons
- ▶ These can be used to produce higher-level observables – used for isolation



Lepton Isolation

- ▶ Isolation refers to the amount of energy deposits surrounding a lepton
- ▶ Powerful variable for discriminating against fake lepton signatures commonly found in jets
- ▶ Sum the energies of particles within $\Delta R = \sqrt{\Delta\phi^2 + \Delta\eta^2} < 0.4$ of the lepton
- ▶ We use particles identified using particle-flow to compute the isolation sum
 - ▶ Charged hadrons (ch), Neutral hadrons (nh), photons (γ)
- ▶ Isolation is computed in the following way

$$I_{rel}^{Pf} = \frac{1}{p_T^{(e)}} \left[\sum p_T^{(ch)} + \max \left(\sum p_T^{(nh)} + \sum p_T^{(\gamma)} - C, 0.0 \right) \right]$$

- ▶ Pile-up Correction C
 - ▶ Two ways to compute the correction
 - ▶ $C = A_{eff} \cdot \rho$, where ρ is the average energy density due to pile-up, and A_{eff} is the effective area covered by the lepton candidate
 - ▶ $C = \Delta\beta$, where $\Delta\beta = \frac{1}{3} \sum p_T^{ch, PU}$



Electron Selection

▶ Fiducial Selection

- ▶ Ensure that the electrons are within the fiducial range of the detector
- ▶ Doubly Charged Higgs: $|\eta| < 2.5$, $p_T > 15$ GeV
- ▶ Spin-Parity: $|\eta| < 2.5$, $p_T > 7$ GeV

▶ Impact Parameters

- ▶ Ensure that the electrons used in the analysis come from from the primary vertex
- ▶ Transverse impact parameter: $d_{xy} < 0.5$ cm
- ▶ Longitudinal impact parameter: $d_z < 1.0$ cm

▶ Isolation

- ▶ $I_{rel}^{pf} < 0.4$
- ▶ Uses ρ corrections for PU

▶ Identification

- ▶ Require 1 or fewer missing tracker hits to reject converted photons
- ▶ A multivariate technique is used to improve the purity of selected electrons
- ▶ The technique combines observables sensitive to bremsstrahlung, geometrical and momentum matching between electron track and calorimeter clusters, and shower shape



Muon Selection

▶ Fiducial Selection

- ▶ For both analyses: $|\eta| < 2.4$, $p_T > 5$ GeV

▶ Impact Parameters

- ▶ Transverse impact parameter: $d_{xy} < 0.5$ cm
- ▶ Longitudinal impact parameter: $d_z < 1.0$ cm

▶ Isolation

- ▶ $I_{rel}^{pf} < 0.4$
- ▶ Spin-Parity: uses ρ corrections
- ▶ Doubly-Charged Higgs: uses $\Delta\beta$ corrections

▶ Identification

- ▶ Muon identified as a tracker and global muon
- ▶ At least 10 tracker hits, and one pixel hit
- ▶ Track must match at least two muon stations
- ▶ $\chi^2/d.o.f. < 10$ for the track fit

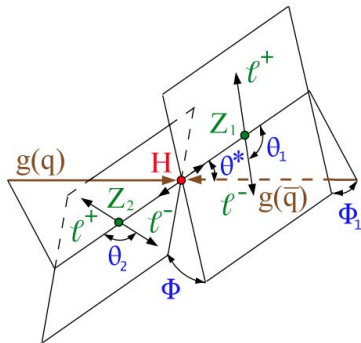


Final State Radiation Recovery

- ▶ Zs decaying to leptons can be accompanied by final state radiation
- ▶ This procedure improves the 4ℓ invariant mass resolution, and is used only in the spin-parity analysis
- ▶ We include FSR photons to more fully recover the energy from the Z decays
- ▶ Uses photons identified with particle-flow, and photons from ECAL energy clusters associated with particle-flow muons
- ▶ Preselection
 - ▶ $p_T > 2.0$ GeV and $|\eta| < 2.4$
- ▶ Isolation
 - ▶ Computed using a cone of $\Delta R < 0.3$, a threshold of 0.2 GeV on charged hadrons, and 0.5 GeV on neutral hadrons and photons
 - ▶ $I_{rel} = [I_{charged} + I_{neutral} + I_{photons}] / p_T$
- ▶ For each photon considering its closest lepton,
 - ▶ If $\Delta R(\gamma, \ell) < 0.07$, accept the photon if $p_T > 2.0$ GeV
 - ▶ If $\Delta R(\gamma, \ell) < 0.5$, accept the photon if $p_T > 4$ GeV and $I_{rel} < 1.0$

Spin and Parity of the Higgs Boson

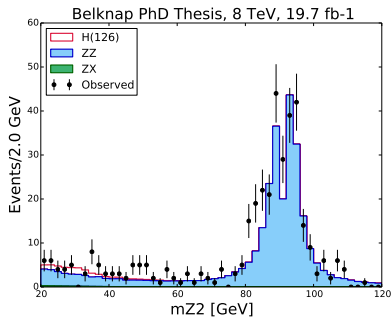
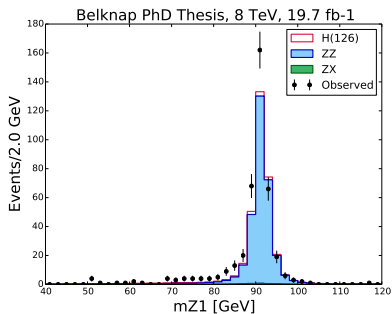
- ▶ Select 4ℓ events around the Higgs resonance near 126 GeV
- ▶ Consider only electrons and muons in the final state: $4e$, $2e2\mu$, and 4μ
- ▶ Test the Standard Model pure-scalar hypothesis against four other spin-parity configurations
- ▶ *Inferring the nature of the boson at 125-126 GeV*, [arXiv:arXiv:1301.5404](https://arxiv.org/abs/1301.5404) [hep-ph]
- ▶ Use three kinematic angles to discriminate between hypotheses: $\theta_{1,2}$ and ϕ



0^-	Any	Pseudoscalar
0_h^+	Any	Non-SM scalar with higher-dimension operators
$2_m^+(gg)$	$gg \rightarrow X$	Graviton-like with minimal couplings
$2_m^+(q\bar{q})$	$q\bar{q} \rightarrow X$	Graviton-like with minimal couplings

Building a 4ℓ Candidate

- ▶ Require that at least four leptons pass initial selections
- ▶ For all opposite-sign same-flavor leptons, choose the pair with an invariant mass closest to the nominal Z mass – label as Z_1
- ▶ From the remaining leptons, choose the pair the pair of opposite-sign, same-flavor leptons with the highest scalar p_T sum – label as Z_2





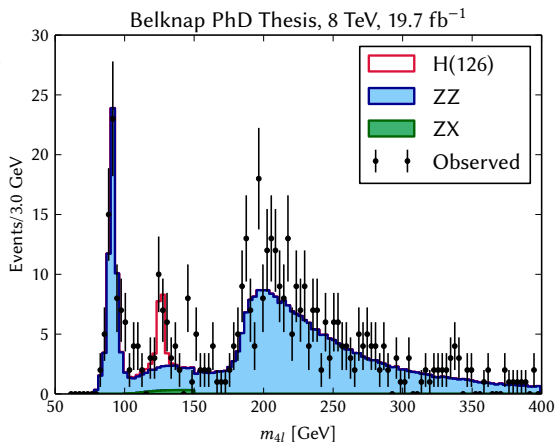
Final State Radiation

- ▶ For all pre-selected leptons, and pre-selected photons, assign a photon to the lepton it is closest to (by ΔR)
- ▶ Build the 4ℓ candidate
- ▶ For the photons assigned to the leptons of a Z candidate, select a photon if its 4-momenta brings the Z 's mass closer to nominal, and $4 < m(\ell\ell\gamma) < 100$ GeV
- ▶ If more than one photon passes, select the one with the highest p_T provided it is greater than 4 GeV
- ▶ Otherwise, choose the lepton with the smallest ΔR to its lepton
- ▶ No more than one photon may be assigned to a Z candidate



Final Selection

- ▶ Require $40 < m_{Z_1} < 120$ GeV and $12 < m_{Z_2} < 120$ GeV
- ▶ Apply isolation requirement to leptons $I_{ref}^{Pf} < 0.4$
 - ▶ Ensure any FSR photons are not included in a lepton's isolation sum
- ▶ Trigger threshold: $p_T^{leading} > 20$ GeV and $p_T^{sub-leading} > 10$ GeV
- ▶ Require that all opposite-sign leptons have $m(\ell^+\ell^-) > 4$ GeV for QCD suppression





Yields

	4μ	$4e$	$2e2\mu$
$H(126)$	4.5 ± 0.5	2.5 ± 0.4	6.2 ± 0.8
$q\bar{q} \rightarrow ZZ$	2.1 ± 0.1	0.9 ± 0.1	2.7 ± 0.2
$gg \rightarrow ZZ$	0.04 ± 0.01	0.02 ± 0.01	0.05 ± 0.01
$Z + X$	0.2 ± 0.2	0.3 ± 0.3	0.4 ± 0.4
Total	6.8 ± 0.6	3.7 ± 0.5	9.3 ± 0.9
Observed	7	4	10

- ▶ Monte Carlo and data yields within a mass window of

$$121.5 < m_{4\ell} < 130.5 \text{ GeV}$$

- ▶ Source of systematic uncertainties discussed on next slide



Included Systematic Uncertainties

	Signal	ZZ	$gg \rightarrow ZZ$	Z + X
Luminosity Norm.	4.4%	•	•	•
μ Efficiency	4.3% (4μ), 1.5% ($2e2\mu$)	•	•	•
e Efficiency	10.0% ($4e$), 2.4% ($2e2\mu$)	•	•	•
Z+Jets Norm.				100%
gg PDF	7.2%		7.1%	
$q\bar{q}$ PDF		3.42%		
HZZ4L Acceptance PDF	2%			
QCD Scale $gg \rightarrow H$	7.5%			
QCD Scale $gg \rightarrow VV$			24.35%	
QCD Scale $q\bar{q} \rightarrow VV$		2.85%		
Higgs BR	2%			

- ▶ ZX is normalized directly to the expected reducible background yields – conservatively use 100% error
- ▶ PDF errors from uncertainties in PDF plus strong coupling, α_s
- ▶ QCD uncertainties are derived from moving normalization and factorization scales up/down by x2

Statistical Analysis Strategy



- ▶ Compute a log-likelihood ratio test statistic to separate the different hypotheses

$$q = -2 \ln \left[\frac{\mathcal{L}_{J^P}}{\mathcal{L}_{SM}} \right]$$

- ▶ Compute the likelihood functions from the p.d.f.

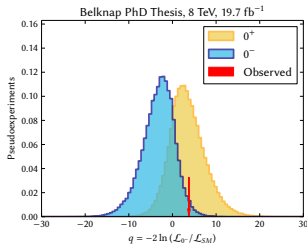
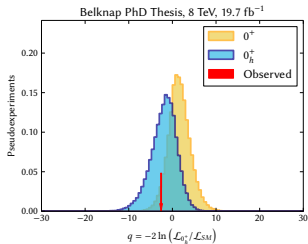
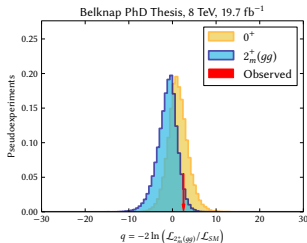
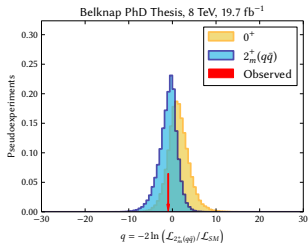
$$f(P_2(\cos \theta_1), P_2(\cos \theta_2), \cos(2\phi))$$

- ▶ Create the p.d.f.s as $8 \times 8 \times 8$ histograms from signal + background Monte Carlo
- ▶ This is done in a mass window surrounding the Higgs resonance

$$121.5 < m(4\ell) < 130.5 \text{ GeV}$$

- ▶ Run 50,000 simulated MC events from the templates to find the expected distributions of q for SM and J^P hypotheses

Spin-Parity Results

 0^+

 0^+_h

 $2^+_m(gg)$

 $2^+_m(q\bar{q})$


The values of q are shown for the **standard model** and the **alternate hypothesis**, and the arrow indicates the **observed value**



Spin-Parity Results

J^P	J^P Production	Expected	Obs. 0^+	Obs. J^P	CL_s
0^-	Any	1.83σ	-0.17σ	$+2.04\sigma$	4.8%
0_h^+	Any	1.33σ	$+1.73\sigma$	-0.30σ	65%
2_m^+	$gg \rightarrow X$	1.11σ	-0.62σ	$+1.77\sigma$	14%
2_m^+	$q\bar{q} \rightarrow X$	1.10σ	$+1.08\sigma$	-0.11σ	63%

- ▶ Effect of systematics (on 0^-)
 - ▶ $Z + jets$ normalization: 0.152%
 - ▶ Lepton systematics: 0.117%
 - ▶ $q\bar{q}$ PDF: 0.094%
- ▶ Expected separation is computed for a value of q such that

$$P(SM < q) = P(J^P > q)$$
- ▶ The observed values are given for $P(SM < Obs)$ and $P(J^P > Obs)$
- ▶ $CL_s = P(J^P > Obs) / P(SM > Obs)$
- ▶ Pseudoscalar hypothesis excluded at 95% CL
- ▶ Results are consistent with Standard Model expectations



Previously Published CMS Results

- ▶ **CMS Collaboration**, “Measurement of the properties of a Higgs boson in the four-lepton final state”, 2013, [arXiv:1312.5353](https://arxiv.org/abs/1312.5353) [hep-ex]
- ▶ CMS results reflect (5.1 fb^{-1}) 7 TeV and (19.7 fb^{-1}) 8 TeV datasets
- ▶ CMS uses all five kinematic angles, $m(4\ell)$ shape, and $m_{Z_{1,2}}$ shapes for discrimination

J^P	J^P Production	Expected	Obs. 0^+	Obs. J^P	CL_s
0^-	Any	2.4σ	-0.9σ	$+3.6\sigma$	0.09%
0^+_h	Any	1.7σ	-0.0σ	$+1.8\sigma$	7.1%
2^+_m	$gg \rightarrow X$	1.7σ	-0.8σ	$+2.6\sigma$	1.9%
2^+_m	$q\bar{q} \rightarrow X$	1.6σ	-1.6σ	$+3.6\sigma$	0.03%



Comparison

Thesis Results

J^P	J^P Production	Expected	Obs. 0^+	Obs. J^P	CL_s
0^-	Any	1.83σ	-0.17σ	$+2.04\sigma$	4.8%
0_h^+	Any	1.33σ	$+1.73\sigma$	-0.30σ	65%
2_m^+	$gg \rightarrow X$	1.11σ	-0.62σ	$+1.77\sigma$	14%
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CMS Published Results

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0_h^+	Any	1.7σ	-0.0σ	$+1.8\sigma$	7.1%
2_m^+	$gg \rightarrow X$	1.7σ	-0.8σ	$+2.6\sigma$	1.9%
2_m^+	$q\bar{q} \rightarrow X$	1.6σ	-1.6σ	$+3.6\sigma$	0.03%



Doubly Charged Higgs Strategy

- ▶ The doubly charged Higgs is a member of a Higgs triplet (Φ^0 , Φ^+ , Φ^{++})
- ▶ The mass, VEV, and branching ratios are not set by the model
- ▶ We search for different trial configurations of the branching ratios
- ▶ Additionally, we scan over different hypothesized masses of the doubly charged Higgs (130 to 700 GeV)
- ▶ The search is performed by computing an upper limit on the signal strength for the different BR/mass hypotheses
- ▶ CMS has performed a search for the doubly charged Higgs using (4.63 fb^{-1}) 7 TeV data – this analysis is a continuation utilizing (19.7 fb^{-1}) 8 TeV data
- ▶ Focus will be on the pair-production mode with a four-lepton final state



Branching Ratios

- ▶ We examine cases where we assume the Φ^{++} decays 100% to ee , $e\mu$, and $\mu\mu$
- ▶ We also consider four benchmark points that target different neutrino mass hierarchies
 - BP1 Tri-bi-maximal neutrino mixing is assumed, no CP violation, normal neutrino mass hierarchy, and a vanishing lowest neutrino mass ($m_1 < m_2 < m_3$)
 - BP2 Same as BP1, but with an inverted neutrino mass hierarchy ($m_3 < m_1 < m_2$)
 - BP3 Same as BP1, but the lightest neutrino mass is assumed to be 0.2 eV (consistent with cosmological limits for degenerate or nearly degenerate neutrino masses)
 - BP4 All BRs are assumed to be equal
- ▶ These are the same benchmark points used in the 7 TeV CMS analysis
- ▶ 8 TeV analysis does not include τ channels

Φ^{++} Branching Ratios

Benchmark Point	ee	$e\mu$	$e\tau$	$\mu\mu$	$\mu\tau$	$\tau\tau$
BP1	0	0.01	0.01	0.30	0.38	0.30
BP2	1/2	0	0	1/8	1/4	1/8
BP3	1/3	0	0	1/3	0	1/3
BP4	1/6	1/6	1/6	1/6	1/6	1/6

4 ℓ Selections

► Preselection

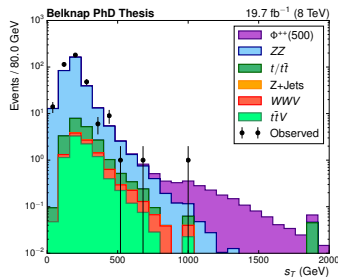
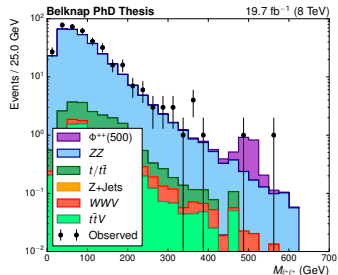
- $p_T^{\text{leading}} > 20.0$ and $p_T^{\text{subleading}} > 10.0$ GeV
- $|p_{\text{rel}}^{pf}| < 0.4$ for all leptons
- For all lepton pairs $m(\ell\ell) > 12.0$ for QCD suppression

► Build the 4 ℓ event

- Form ++ and -- lepton pairs: in case of degeneracy, select the combination where $|m(\ell^+\ell^+) - m(\ell^-\ell^-)|$ is minimized

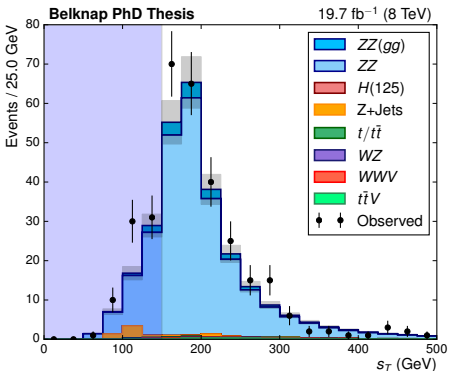
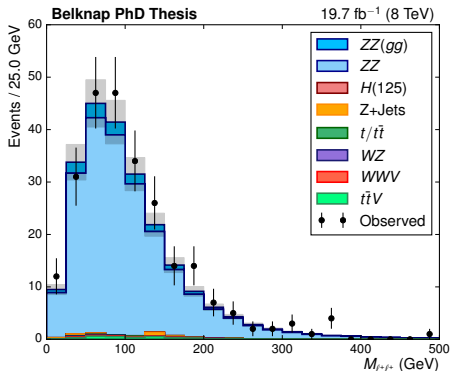
► Final Selection

- $s_T = \sum p_T > (0.6 \cdot M_{\Phi^{++}} + 130 \text{ GeV})$
- $0.9 \cdot M_{\Phi^{++}} < M_{\ell^+\ell^+} < 1.1 \cdot M_{\Phi^{++}}$
- $0.9 \cdot M_{\Phi^{++}} < M_{\ell^-\ell^-} < 1.1 \cdot M_{\Phi^{++}}$



ZZ Background Control Region

- ▶ Start with 4ℓ selections (except final selection)
 - ▶ Z-tag: require at least one opposite-sign same-flavor pair where $|m(\ell\ell) - M_Z| < 20.0$
 - ▶ $s_T > 150$ GeV
- ▶ Shaded error bands shown for 10% uncertainty



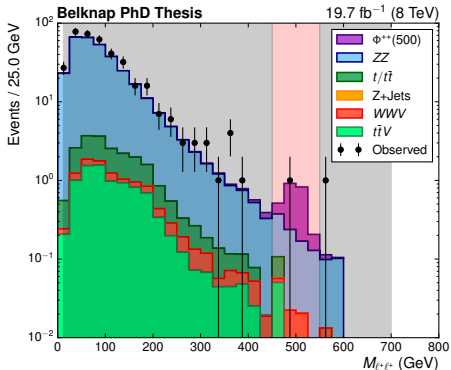
Data-Driven Background Estimation

- ▶ Backgrounds are estimated with a sideband method
- ▶ The sidebands are defined as follows:
 - 4ℓ Excludes the signal region: $[0.9 \cdot M_\Phi; 1.1 \cdot M_\Phi]$ for both M_Φ^{++} and M_Φ^{--}
- ▶ Define the ratio of background MC events in the signal region to the sidebands

$$\alpha = N_{SR}/N_{SB}$$

- ▶ The background rate in the signal region is given as

$$B_{BGR} = \alpha \left(1 + N_{SB}^{Data} \right)$$



- ▶ with relative error

$$\frac{1}{\sqrt{1 + N_{SB}^{Data}}}$$



Systematics

- ▶ Signal cross section: 15%
- ▶ Luminosity: 2.6%
- ▶ Ratio used in sideband method: 10%
- ▶ Lepton systematics:

Leptons in Final State	Muon Systematic	Electron Systematic
4μ	1.0%	-
$4e$	-	6.6%
$2e + 2\mu$	0.5%	3.2%
$3e + 1\mu$	0.2%	4.7%
$1e + 3\mu$	0.7%	1.6%



Excluded Masses

- ▶ If the 95% upper limit on the signal strengths drops below 1 for a given mass point, we exclude it
- ▶ We exclude masses up to the values shown in the table below
- ▶ Expected values are computed assuming a background-only hypothesis

Benchmark Point	Expected (GeV)	Observed (GeV)
100% ee	564	564
100% $e\mu$	580	580
100% $\mu\mu$	585	585
BP1	388	388
BP2	490	490
BP3	506	506
BP4	436	436



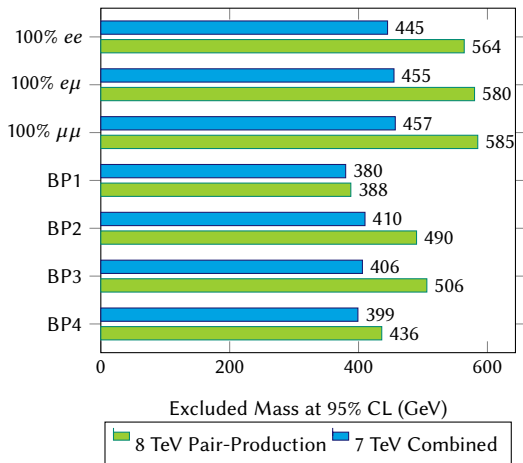
Previous CMS Results

- ▶ CMS performed the search utilizing pair-production and associated production channels
- ▶ **CMS Collaboration**, “A search for a doubly-charged Higgs boson in pp collisions at $\sqrt{s} = 7$ TeV”, [arXiv:1207.2666](https://arxiv.org/abs/1207.2666) [hep-ex]
- ▶ Used 4.63 fb^{-1} of 7 TeV pp collision data
- ▶ Included τ final states
- ▶ Shown below are the observed excluded masses at 95% CL

Benchmark Point	Combined	Pair-Production
100% ee	445	387
100% $e\mu$	455	389
100% $e\tau$	352	300
100% $\mu\mu$	457	391
100% $\mu\tau$	369	313
100% $\tau\tau$	198	165
BP1	380	326
BP2	410	361
BP3	406	350
BP4	399	353



Comparison



- ▶ 7 TeV dataset of 4.63 fb^{-1}
- ▶ 8 TeV dataset of 19.7 fb^{-1}
- ▶ Increasing the data significantly extends the reach to higher mass values
- ▶ 7 TeV – excludes 380 to 457 GeV
- ▶ 8 TeV – excludes 388 to 585 GeV



Conclusions

- ▶ Two analyses were presented
 - ▶ Spin-parity measurement of the Higgs boson in the 4ℓ final state
 - ▶ Search for a doubly charged Higgs
- ▶ Both utilize 19.7 fb^{-1} of 8 TeV pp collision data collected by CMS
- ▶ **Spin-Parity**
 - ▶ $H \rightarrow ZZ \rightarrow 4\ell$ very well suited for property measurements
 - ▶ Tested SM 0^+ hypothesis against 0^- , 0_h^+ , $2_m^+(gg)$, and $2_m^+(q\bar{q})$ hypotheses
 - ▶ Results consistent with SM, excluded 0^- at 95% CL
 - ▶ More powerful measurement techniques are possible with more data
 - ▶ Ideally, estimate the parameters of an effective Higgs Lagrangian from data
- ▶ **Doubly Charged Higgs**
 - ▶ Considered pair-production with a 4ℓ final state ($\ell = e, \mu$)
 - ▶ Considered cases where Φ^{++} decays 100% to ee , $e\mu$, $\mu\mu$, and four alternate BR configurations
 - ▶ Excluded masses 564 to 585 for the 100% BR cases at 95% CL
 - ▶ Excluded masses 388 to 506 for the benchmark points at 95% CL
 - ▶ Significant increase in sensitivity to higher masses with an increase in \sqrt{s} and data
 - ▶ LHC Run II is at $\sqrt{s} = 13 \text{ TeV}$, $\sim 100 \text{ fb}^{-1}$ expected