

ZZ Production in Proton-Proton Collisions at $\sqrt{s} = 13$ TeV in Four-Lepton Events Using the CMS Detector at the LHC

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Doctoral Thesis Defense

INTRODUCTION

The Standard Model and ZZ Physics

The Standard Model

- Description of fundamental particles and their interactions
- Matter: fermions in 3 generations
 - Quarks form hadron bound states
 - Leptons in (charged, neutral) pairs
- Forces: exchange of gauge bosons
 - Gluon (strong force)
 - Photon (electromagnetism)
 - W^{\pm} , Z (weak force)
 - Massive due to scalar Higgs boson
- Not covered: gravity, dark matter, dark energy, neutrino mass

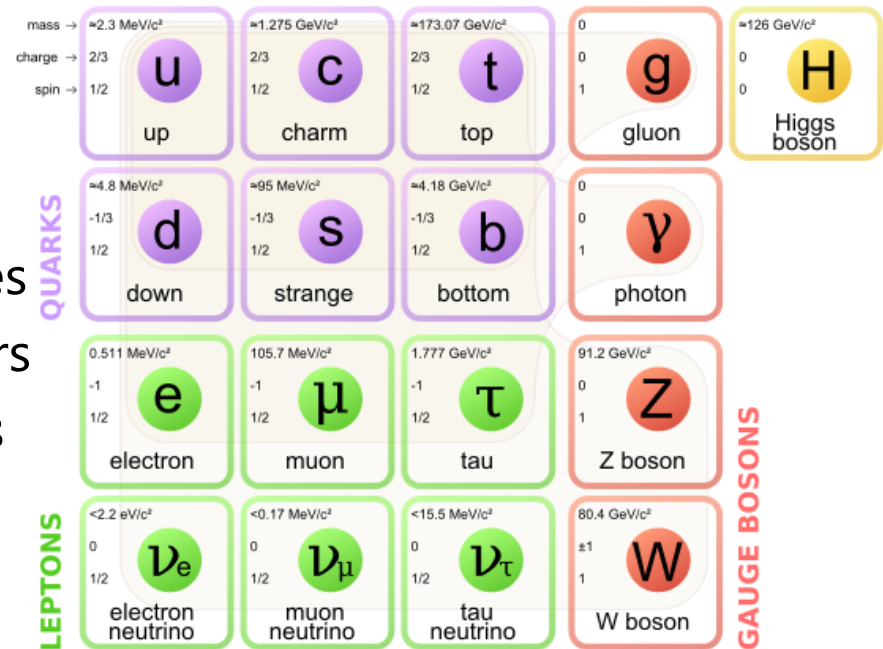


Image: Wikimedia Commons

Particle Interactions

- **Strong force (g)**

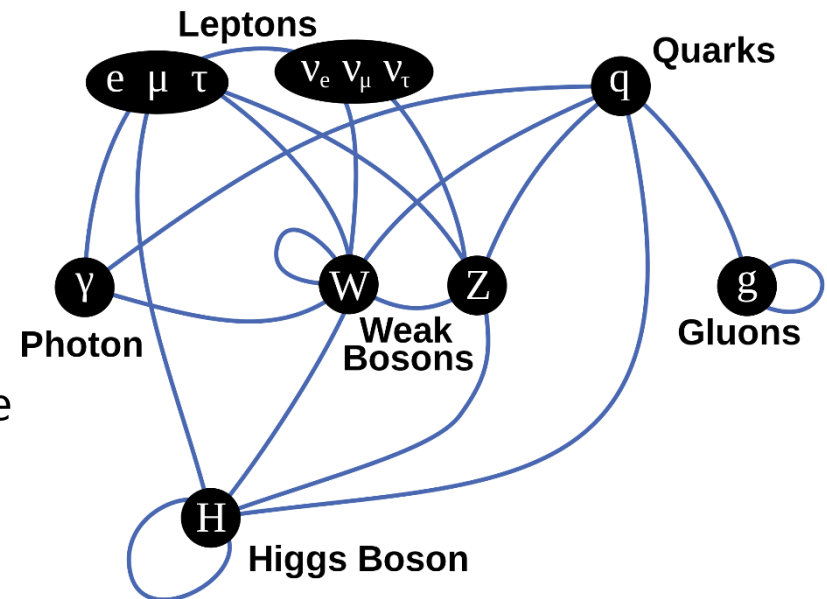
- 3-component color charge carried by quarks and gluons
- Gluon self-interaction causes anti-screening: interaction strength grows with distance
 - Confinement: no free color charge

- **Electromagnetic force (γ)**

- Charge carried by quarks, charged leptons, W^\pm bosons

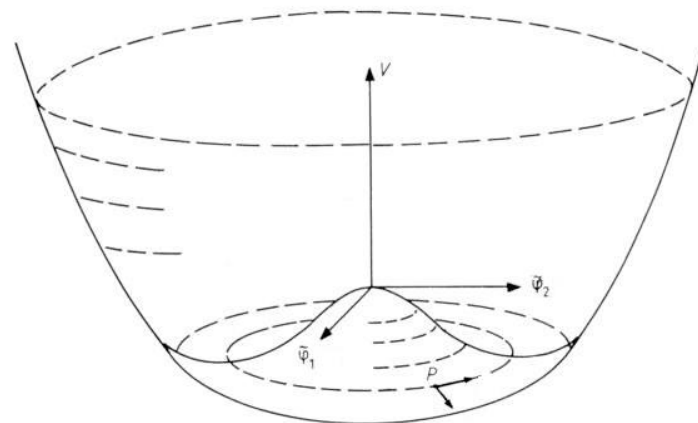
- **Weak force (W^\pm, Z)**

- Interact with all except gluon and (Z only) γ
- Massive mediators \rightarrow short range



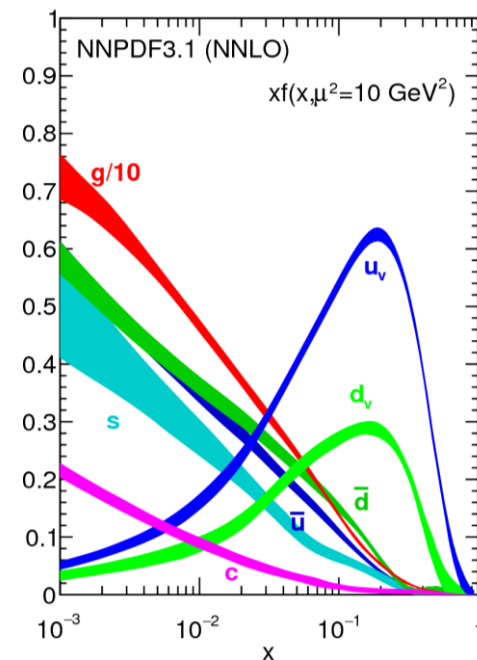
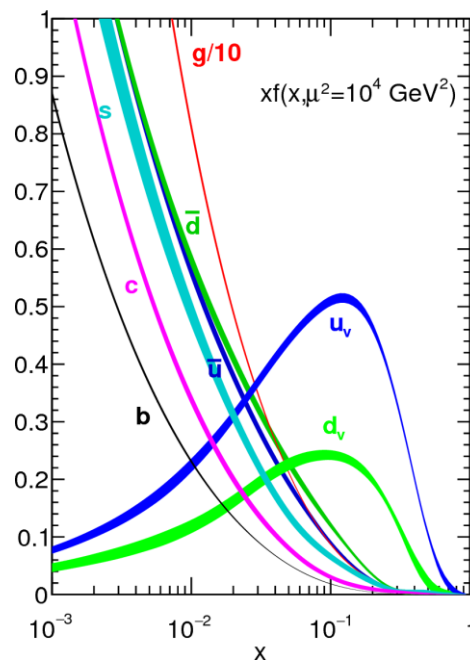
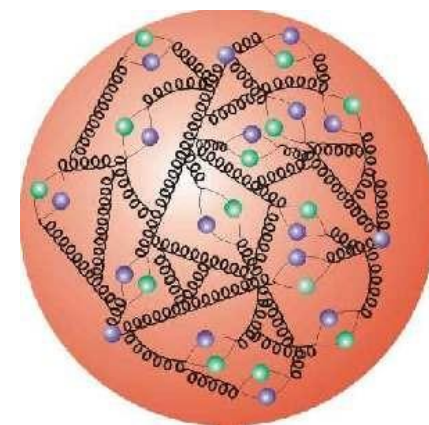
Electroweak Symmetry Breaking

- Glashow-Weinberg-Salam (GWS) model yields a unified electroweak force but with massless mediators
- Higgs mechanism: introduce doublet of complex scalar fields with nonzero vacuum expectation value
- Gauge symmetry is spontaneously broken, leaving massive W^\pm and Z bosons (massless γ remains)
- Higgs field's 4 degrees of freedom become longitudinal W^\pm and Z polarizations and a new scalar, the Higgs boson (H)
- Mixing causes new interactions between bosons
- Fermions acquire mass through H-induced Yukawa couplings



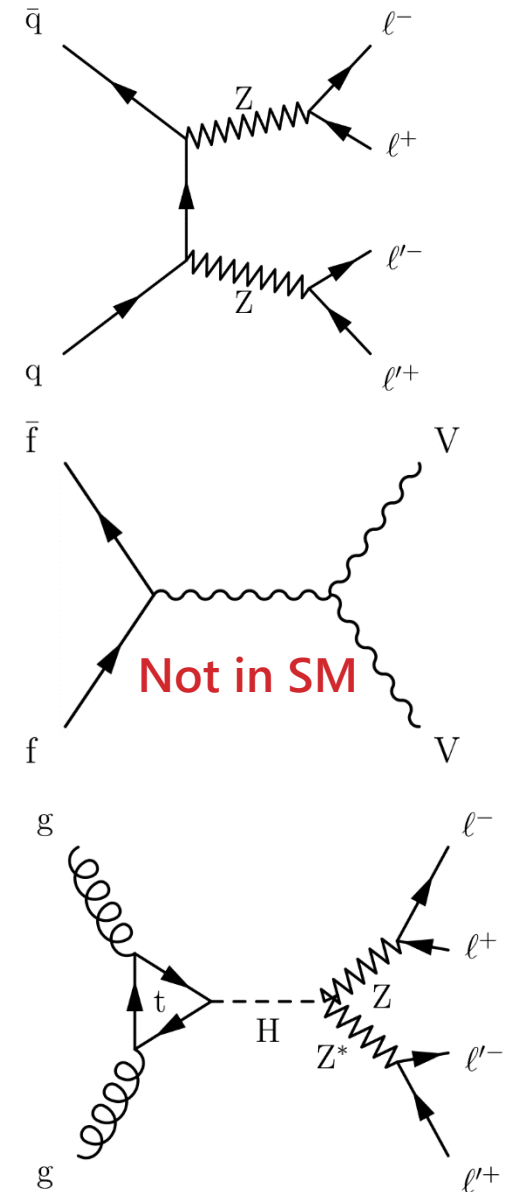
Proton Structure and Collisions

- A proton is a bound state of 3 valence quarks (uud) exchanging virtual gluons
 - Also contains "sea" quarks, including heavy quarks
 - Valence quarks, sea quarks, or gluons can collide
- Parton distribution functions (PDF) give probability of each parton type as functions of momentum transfer μ and the fraction x of proton momentum they carry
- Hadron collisions allow discoveries at many masses with many initial states



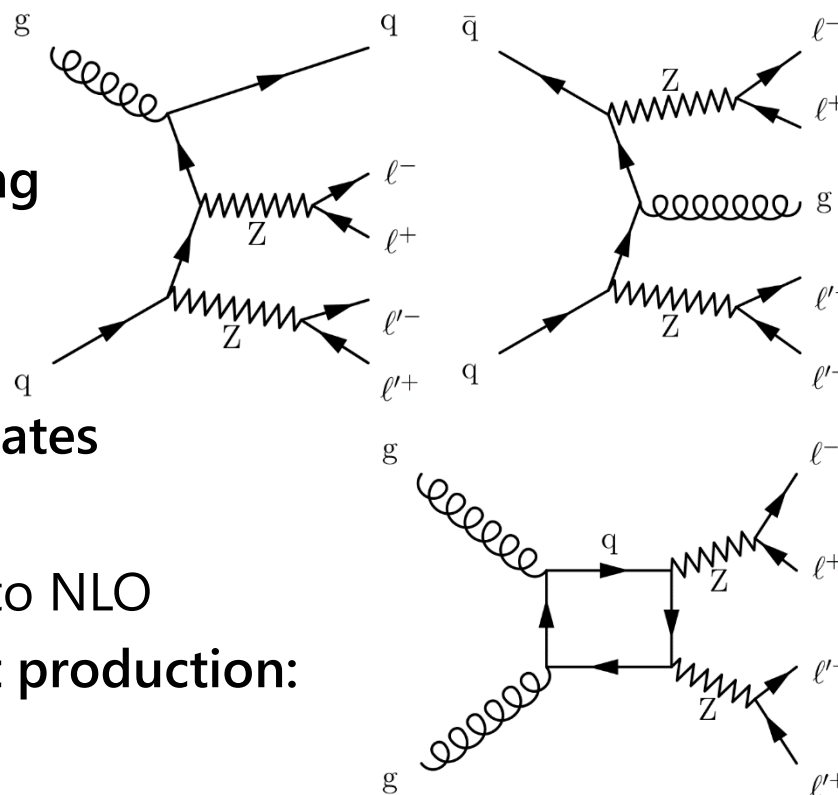
Diboson and 4ℓ Production

- Details of gauge boson interactions are important predictions of the SM
 - No fully neutral gauge couplings
- Anomalous triple or quartic couplings (aTGC, aQGC) would change diboson production rates
- ZZ cross section is small but 4ℓ final state is clean and can be reconstructed well
- ZZ^* is a primary Higgs boson discovery and measurement channel
- Branching fraction to 4ℓ only $\sim 1\%$
 - High efficiency and low background compensate



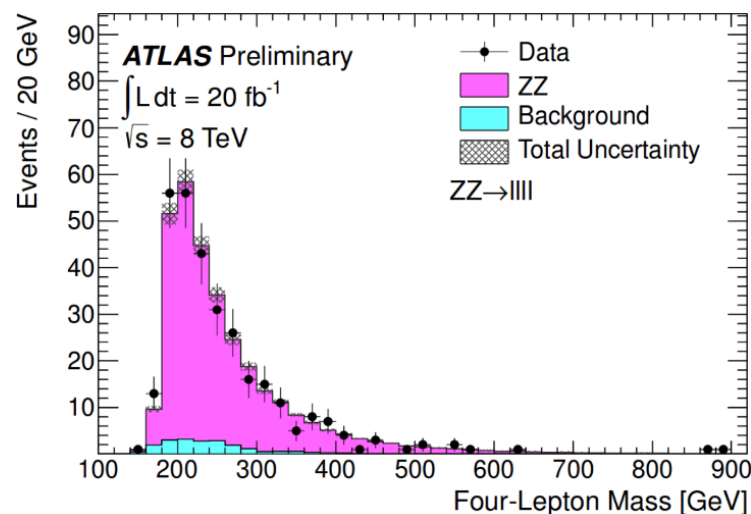
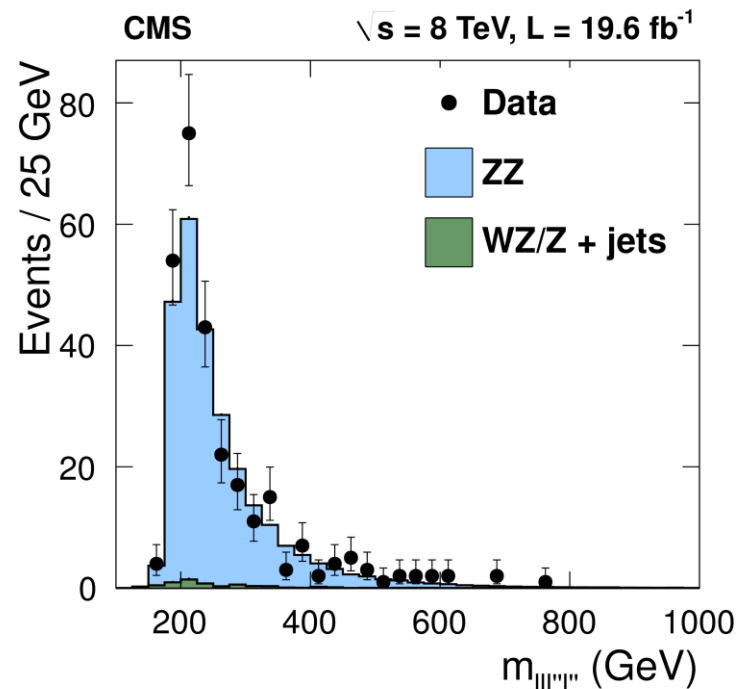
Nonresonant ZZ

- Large corrections at next-to-leading order (NLO) and next-to-next-to-leading order (NNLO) in QCD perturbative calculation from introduction of qg and gg initial states
 - “NLO+gg” often used, with gg fusion box diagrams in addition to NLO
- Our definition for doubly resonant production: both Zs have mass in 60 – 120 GeV
- Sharp turn-on around $m_{4\ell} = 2m_Z \approx 182$ GeV, followed by exponential decay
- Looser Z mass requirements allow Z/γ^* admixture at lower $m_{4\ell}$
 - 4ℓ continuum \sim flat for $m_Z < m_{4\ell} < 2m_Z$



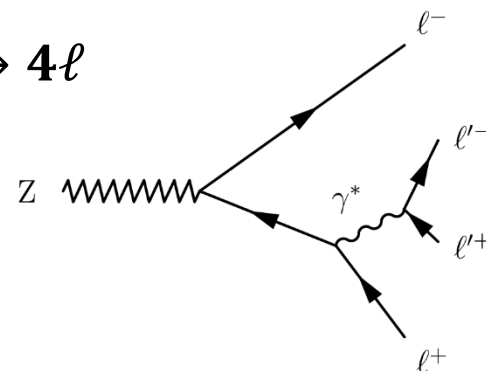
Nonresonant ZZ: Previous Results

- CMS and ATLAS measured ZZ at 7 and 8 (shown) TeV
- CMS (top plot)
 - $60 < m_{Z_{1,2}} < 120$ GeV
 - $ZZ \rightarrow \ell\ell\ell'\ell'$; $\ell = (e, \mu), \ell' = (e, \mu, \tau)$
 - $\sigma_{pp \rightarrow ZZ} = 7.7 \pm 0.5(\text{stat.})_{-0.4}^{+0.5}(\text{syst.}) \pm 0.4(\text{theo.}) \pm 0.3(\text{lum.})$ pb
- ATLAS (bottom plot)
 - $66 < m_{Z_{1,2}} < 116$ GeV
 - $ZZ \rightarrow \ell\ell\ell'\ell'$; $\ell, \ell' = (e, \mu)$
 - $\sigma_{pp \rightarrow ZZ} = 7.1_{-0.4}^{+0.5}(\text{stat.}) \pm 0.3(\text{syst.}) \pm 0.2(\text{lumi.})$ pb

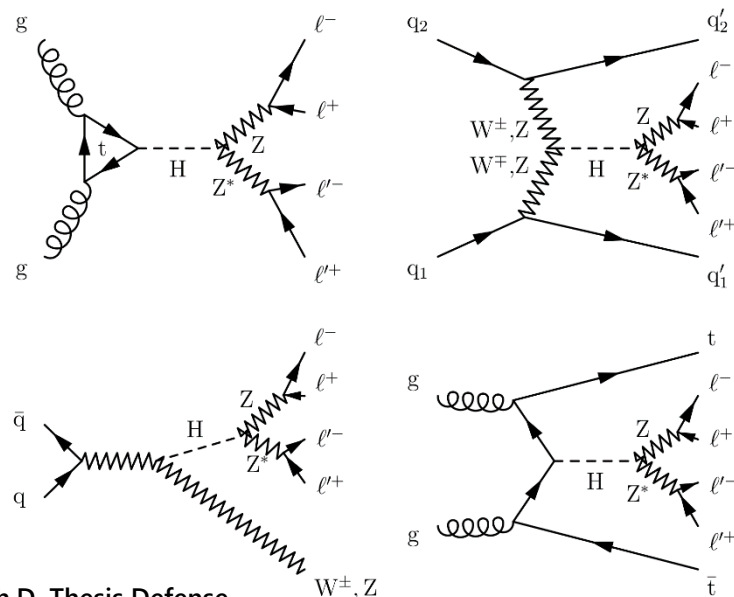
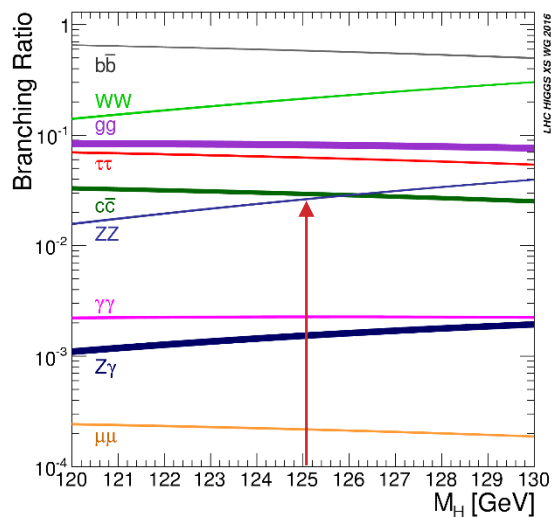
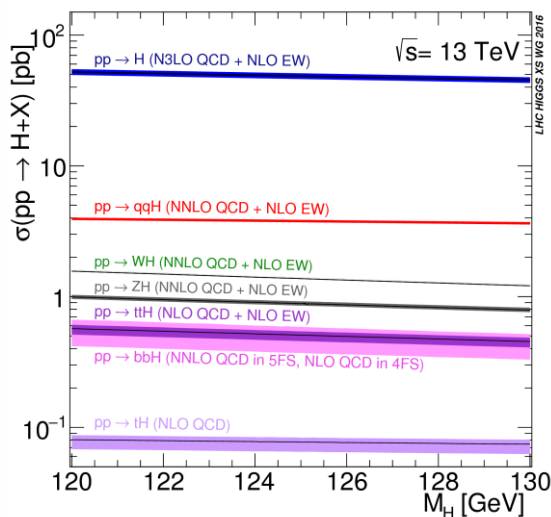


Resonant Production: Single-Z and Higgs Boson

- Expanding "Z" mass window admits $Z \rightarrow \ell\ell\gamma^* \rightarrow 4\ell$ and $H \rightarrow ZZ^* \rightarrow 4\ell$ resonances
- ZZ^* a primary channel for Higgs discovery and measurement of its properties
 - Angular distributions sensitive to spin/parity

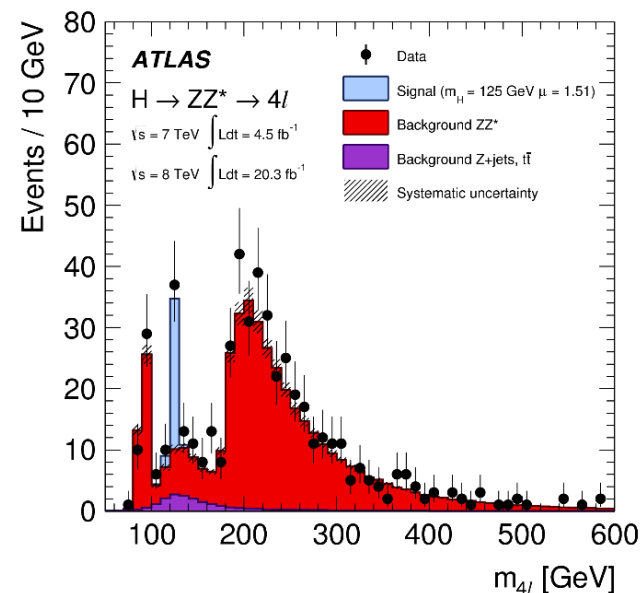
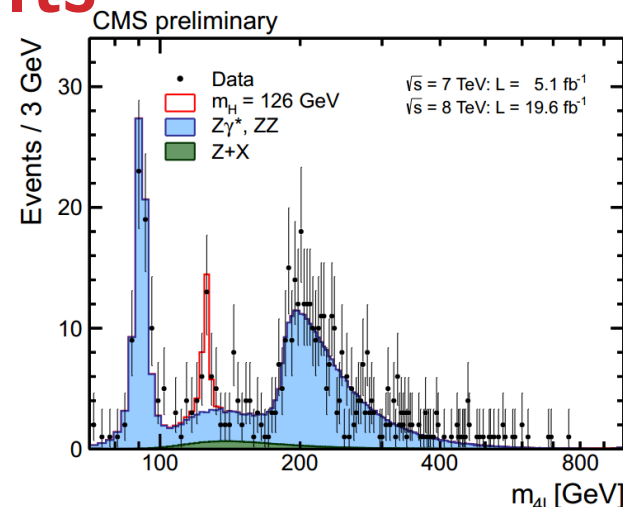


[arXiv:1610.07922](https://arxiv.org/abs/1610.07922)



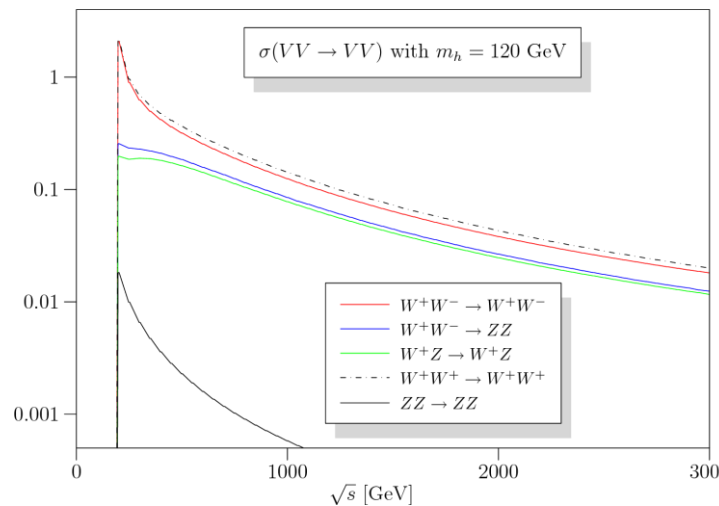
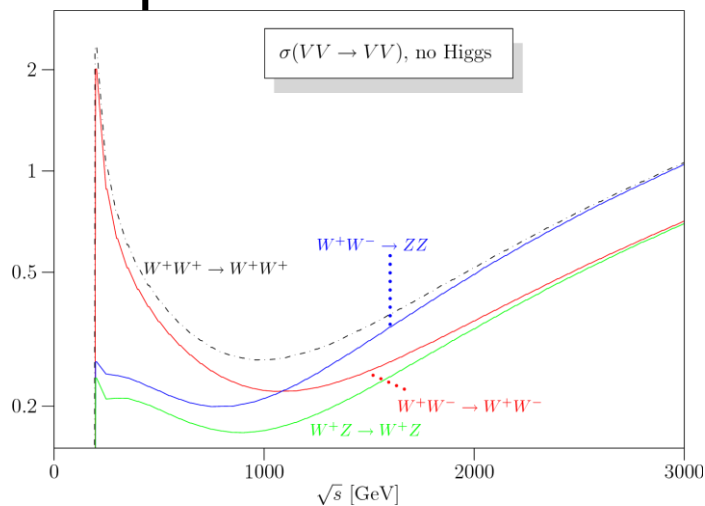
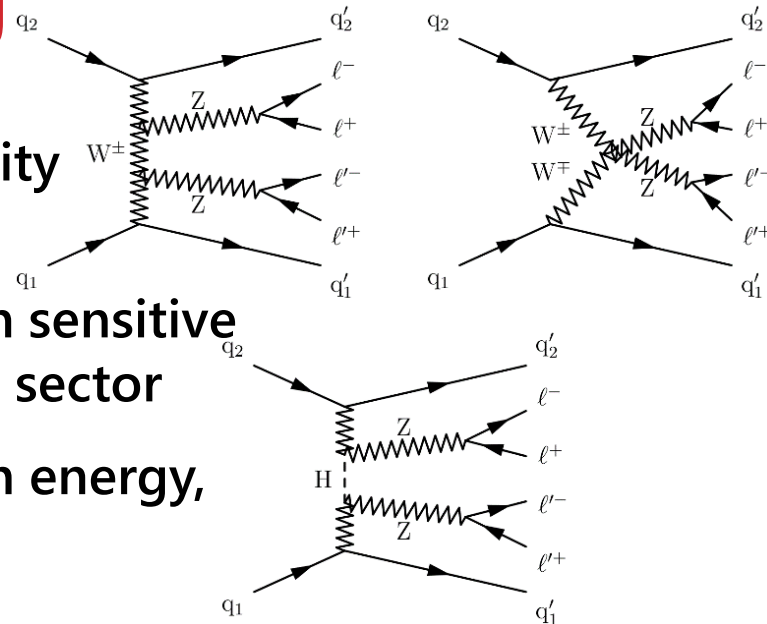
Higgs Boson: Previous Results

- CMS and ATLAS both discovered particle consistent with Standard Model Higgs near 125 GeV in 2012
 - $H \rightarrow ZZ^* \rightarrow 4\ell$ was a primary channel
- 8 TeV measurements in this channel:
 - CMS (top plot)
 - $\sigma/\sigma_{SM} = 0.93^{+0.26}_{-0.23}(\text{stat.})^{+0.13}_{-0.09}(\text{syst.})$
 - ATLAS
 - $\sigma/\sigma_{SM} = 1.50^{+0.35}_{-0.31}(\text{stat.})^{+0.19}_{-0.13}(\text{syst.})$
- Combined mass measurement (both experiments, ZZ and $\gamma\gamma$ channels):
 - $m_H = 125.09 \pm 0.21(\text{stat.}) \pm 0.11(\text{syst.})$



Vector Boson Scattering

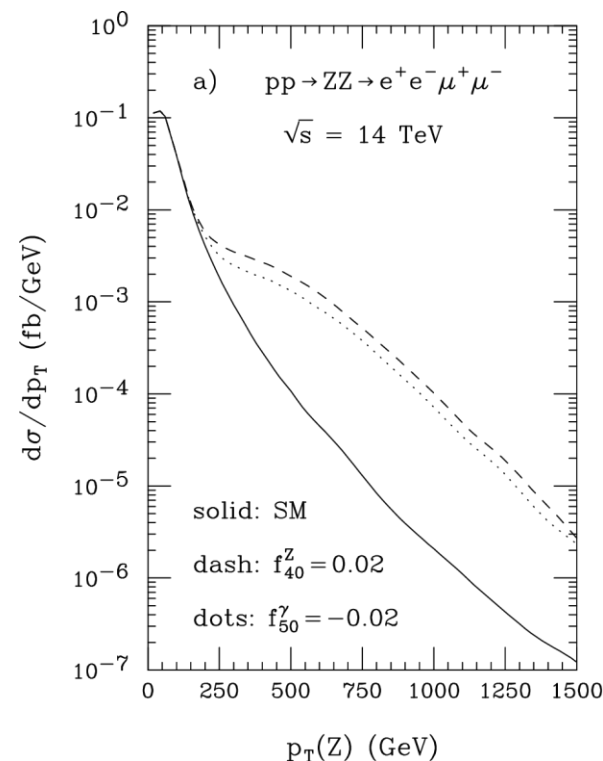
- $VV \rightarrow VV$ scattering (VBS) violates unitarity in SM without Higgs diagrams
- Pure electroweak ZZ + 2jets production sensitive to gauge boson interactions and Higgs sector
- Experimental signature: 2 jets with high energy, high rapidity separation
- No previous ZZ VBS searches



arXiv:0806.4145

Anomalous Triple Gauge Couplings

- ZZZ and ZZ γ couplings forbidden in SM
- New physics, e.g. a new gauge boson at very high mass, could appear as an effective modification to the couplings
- Can be described by an effective Lagrangian parameterized by coefficients f_4^Z, f_4^γ (CP-odd) and f_5^Z, f_5^γ (CP-even)
- Nonzero aTGCs would increase the ZZ cross section at high $m_{4\ell}$
- Previous best limits (from CMS):
 - $0.0022 < f_4^Z < 0.0026$, $-0.0023 < f_5^Z < 0.0023$
 - $0.0029 < f_4^\gamma < 0.0026$, $-0.0026 < f_5^\gamma < 0.0027$



[arXiv:0008063](https://arxiv.org/abs/0008063)

Anomalous Quartic Gauge Couplings

- Fully neutral VVVV vertices also forbidden in SM
- Nonzero aQGCs increase ZZ VBS cross section at high m_{ZZ}
- Parameterize with dimension-8 effective field theory operators

$$\mathcal{L}_{T0} = \frac{f_{T0}}{\Lambda^4} \text{Tr} \left[\hat{W}_{\mu\nu} \hat{W}^{\mu\nu} \right] \times \text{Tr} \left[\hat{W}_{\alpha\beta} \hat{W}^{\alpha\beta} \right]$$

$$\mathcal{L}_{T1} = \frac{f_{T1}}{\Lambda^4} \text{Tr} \left[\hat{W}_{\alpha\nu} \hat{W}^{\mu\beta} \right] \times \text{Tr} \left[\hat{W}_{\mu\beta} \hat{W}^{\alpha\nu} \right]$$

$$\mathcal{L}_{T2} = \frac{f_{T2}}{\Lambda^4} \text{Tr} \left[\hat{W}_{\alpha\mu} \hat{W}^{\mu\beta} \right] \times \text{Tr} \left[\hat{W}_{\beta\nu} \hat{W}^{\nu\alpha} \right]$$

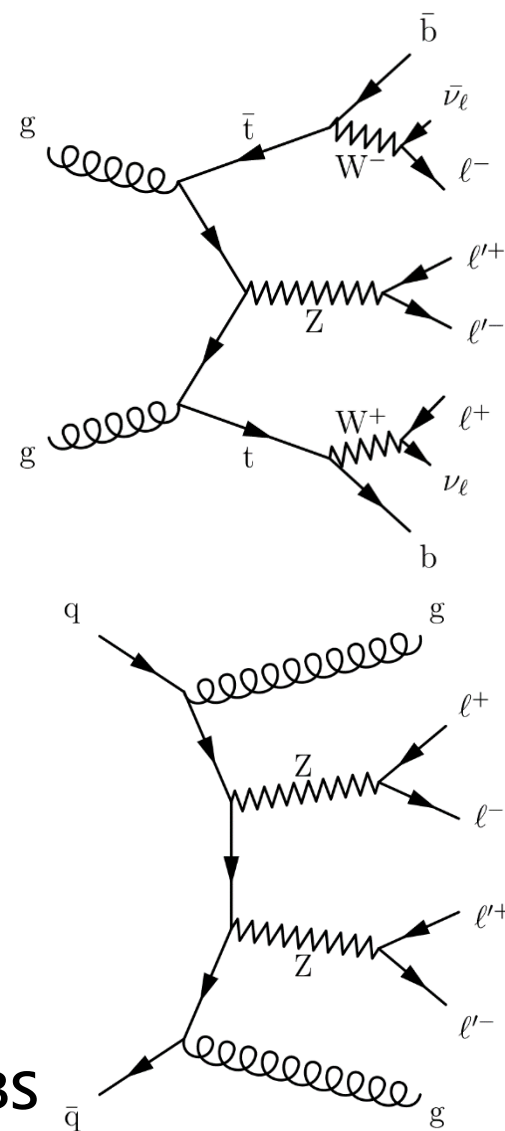
$$\mathcal{L}_{T8} = \frac{f_{T8}}{\Lambda^4} B_{\mu\nu} B^{\mu\nu} B_{\alpha\beta} B^{\alpha\beta}$$

$$\mathcal{L}_{T9} = \frac{f_{T9}}{\Lambda^4} B_{\alpha\mu} B^{\mu\beta} B_{\beta\nu} B^{\nu\alpha},$$

- T8 and T9 affect only the fully neutral couplings
- No previous limits from ZZ searches

Background Processes

- Small contribution from processes with 4 prompt leptons
 - $WWZ \rightarrow 4\ell 2\nu$, $t\bar{t}Z \rightarrow 4\ell 2\nu 2b$
 - Processes are “real physics” that can be modeled
- More often, 2 or 3 prompt leptons plus 2 or 1 jet fragments
 - $WZ + X \rightarrow 3\ell\nu + X'$
 - $t\bar{t} \rightarrow 2\ell 2\nu 2b$
 - $Z/\gamma^* + 2X \rightarrow 2\ell + 2X'$
 - Fake objects are complicated and hard to model, must be estimated from data
- Continuum ZZ with QCD jets is background for VBS search

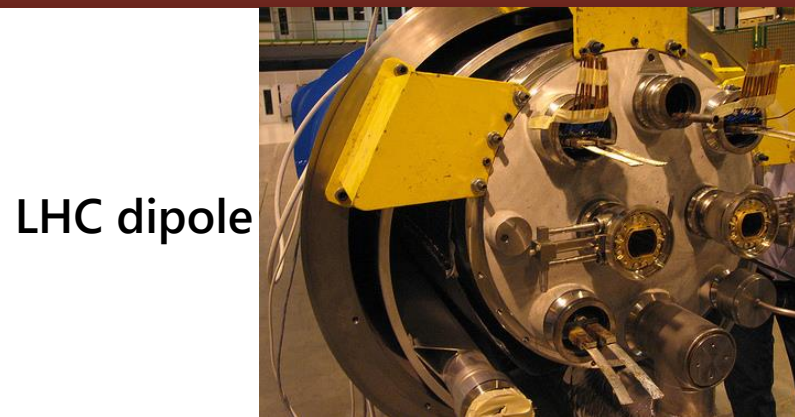
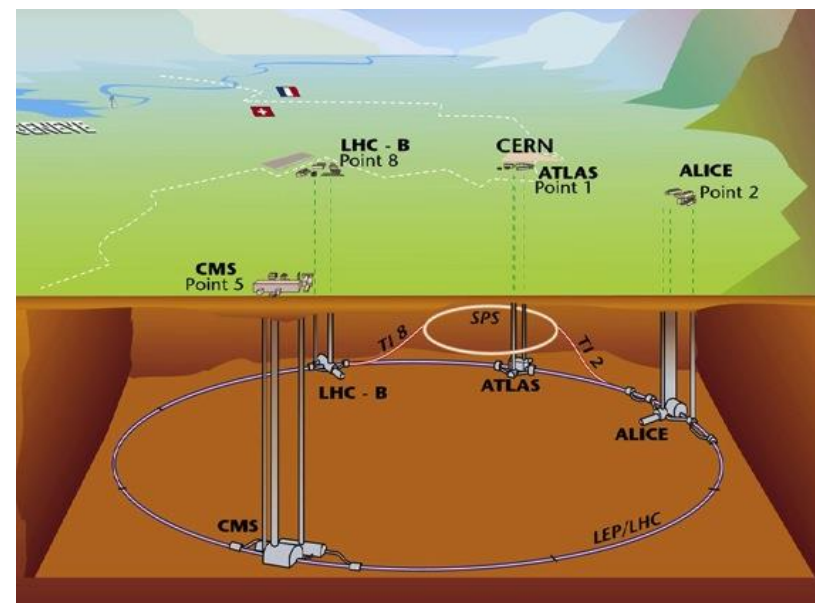


EXPERIMENT

The LHC and CMS

The Large Hadron Collider

- 27 km circumference collider at CERN near Geneva, CH, capable of colliding protons and heavy ions
- Serves four primary experiments
 - CMS and ATLAS: general purpose
 - LHCb: forward hadronic physics
 - ALICE: heavy ion physics
- Designed for 14 TeV center of mass energy
 - Achieved 8 TeV in 2012
 - 13 TeV since 2015



LHC dipole

LHC Operating Conditions

- Superb machine performance

- Instantaneous luminosity:

$$\mathcal{L} = f_{\text{rev}} \frac{n_b N_b^2 \gamma}{4\pi\beta^* \epsilon_N} R$$

- Event yield (cross section σ):

$$N = \sigma \int \mathcal{L} dt$$

- Maximize luminosity with high bunch occupancy, compact bunches

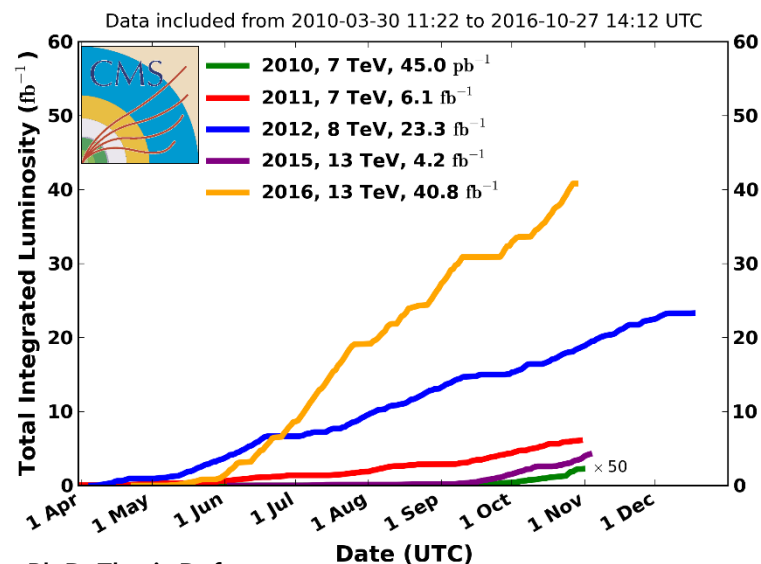
- High pileup (collisions per bunch crossing) a major experimental challenge

- Very high \mathcal{L} in 2016, 2017 even higher

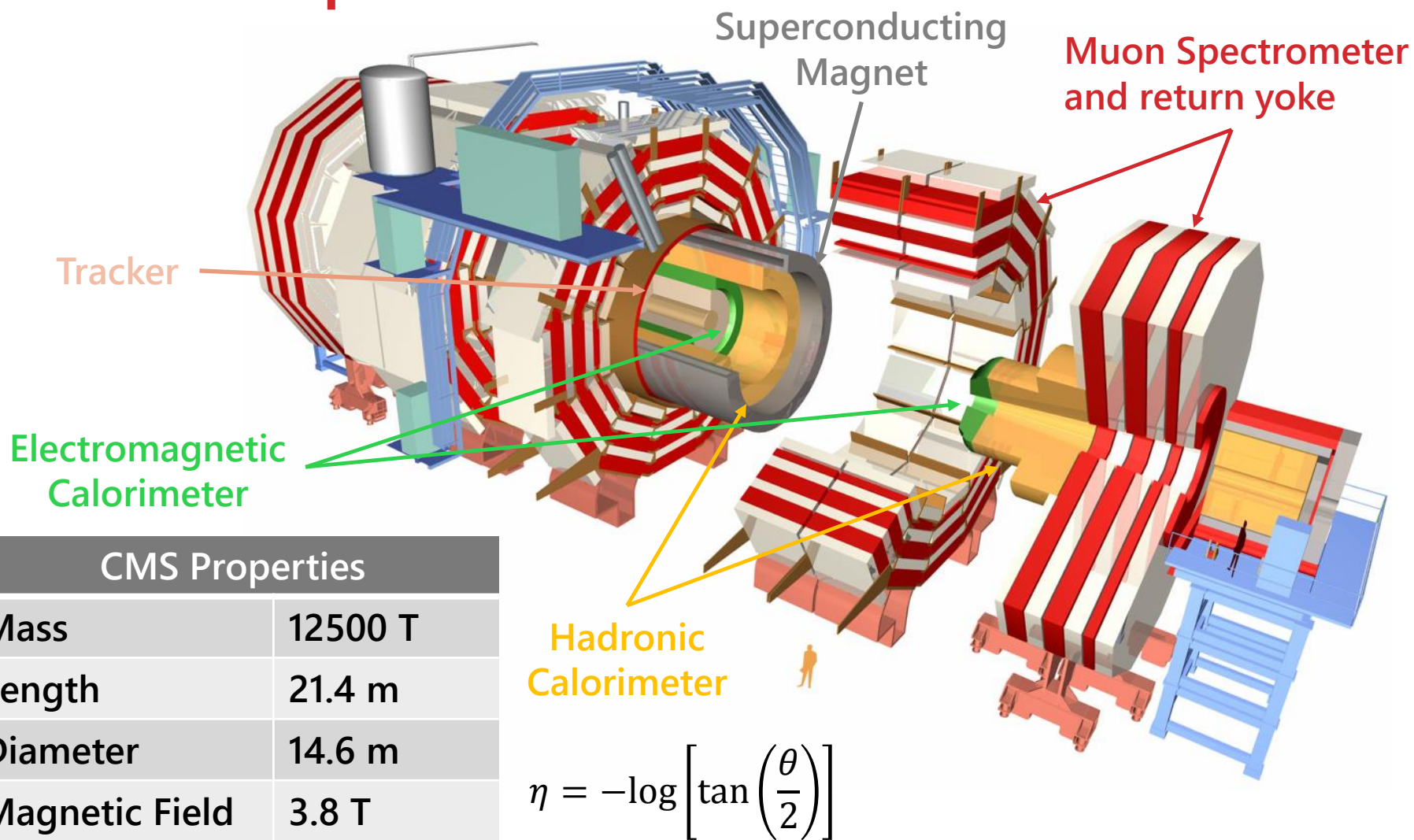
- Results shown today: 35.9 fb⁻¹ (2016)

	Design	Run I			Run II	
Year		2010	2011	2012	2015	2016
Energy per beam (TeV)	7	3.5	3.5	4	6.5	6.5
Bunch spacing (ns)	25	150	50	50	25	25
n_b	2808	348	1331	1368	2232	2208
N_b (10 ¹¹)	1.15	1.2	1.5	1.7	1.15	1.25
β^* (m)	0.55	3.5	1.0	0.6	0.8	0.4
ϵ_N (mm mrad)	3.75	2.2	2.3	2.5	3.5	3.0
Peak pileup		4	17	37	22	49
Peak \mathcal{L} (10 ³⁴ cm ⁻² s ⁻¹)	1	0.02	0.35	0.77	0.52	1.53
\mathcal{L}_{int} (fb ⁻¹)		0.04	6.1	23.3	4.2	41.1

CMS Integrated Luminosity, pp



The Compact Muon Solenoid

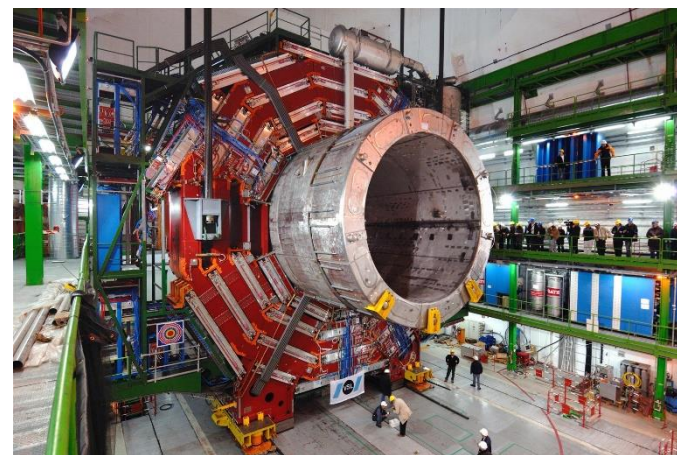
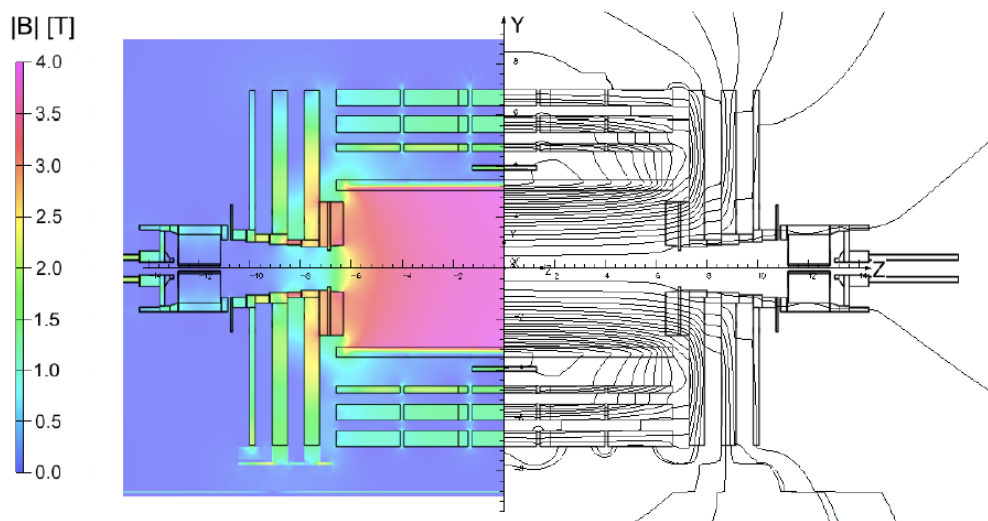


CMS Properties	
Mass	12500 T
Length	21.4 m
Diameter	14.6 m
Magnetic Field	3.8 T

$$\eta = -\log \left[\tan \left(\frac{\theta}{2} \right) \right]$$

Magnet

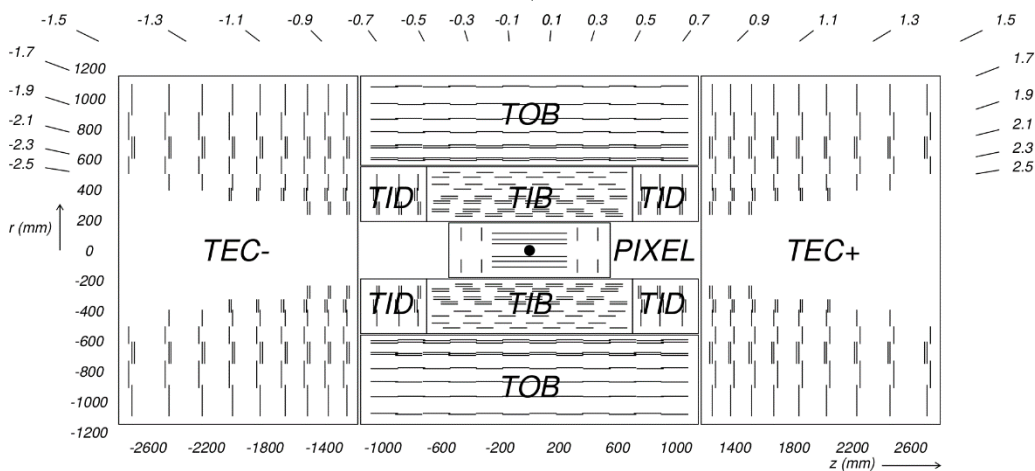
- Charged particle momentum measured by finding curvature of trajectory in magnetic field
- Superconducting solenoid provides 3.8 T field in central barrel of detector
- Iron return yokes provide $\sim 2\text{T}$ field in outer muon system



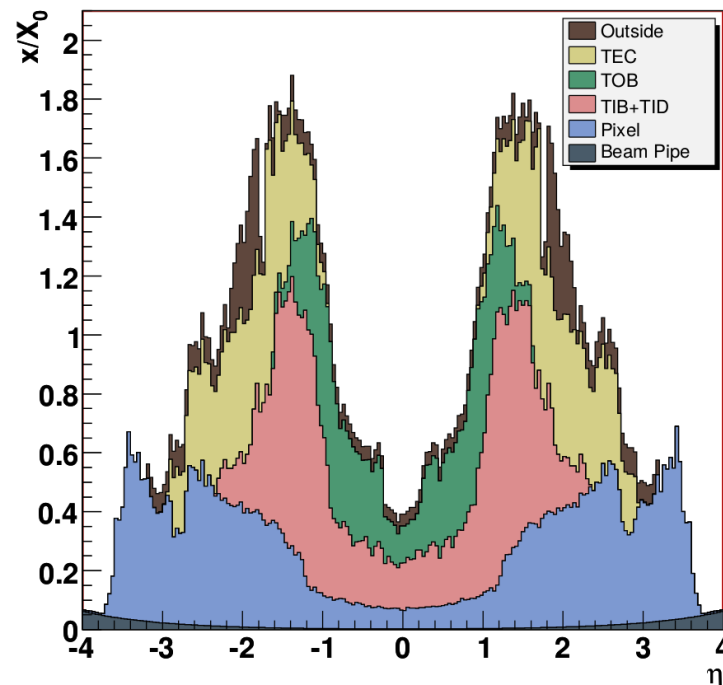
Silicon Tracker

- 66M channel Si pixel system close to interaction point finds primary vertices and seeds tracks
- 9.6M channel Si strip detector iteratively fits tracks from these seeds in $|\eta| < 2.5$
- Resolution (barrel):

$$\frac{\delta p_T}{p_T} = \left(\frac{p_T}{1 \text{ TeV}} 15\% \right) \oplus 0.5\%$$



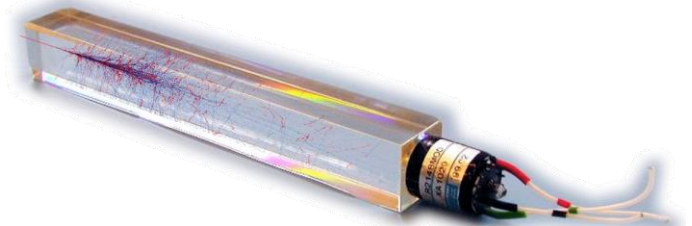
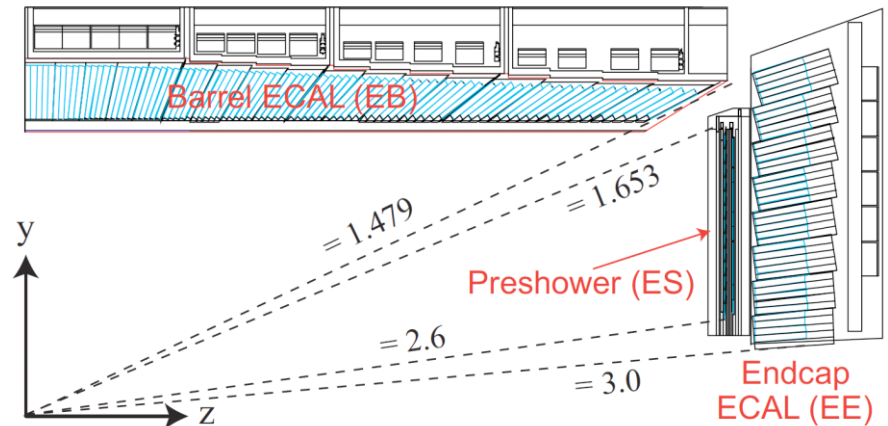
Tracker Material Budget



Electromagnetic Calorimeter

- Electron and photon energy and position measured by high granularity electromagnetic calorimeter (ECAL)
- 61200 PbWO_4 crystal scintillators in barrel region (EB, $|\eta| < 1.48$) and 14648 in endcap (EE, $|\eta| < 3.0$) read out by amplifying photodetectors
- In addition to energy measurement, provides triggering for electrons and photons
- Resolution (stochastic+noise+const.):

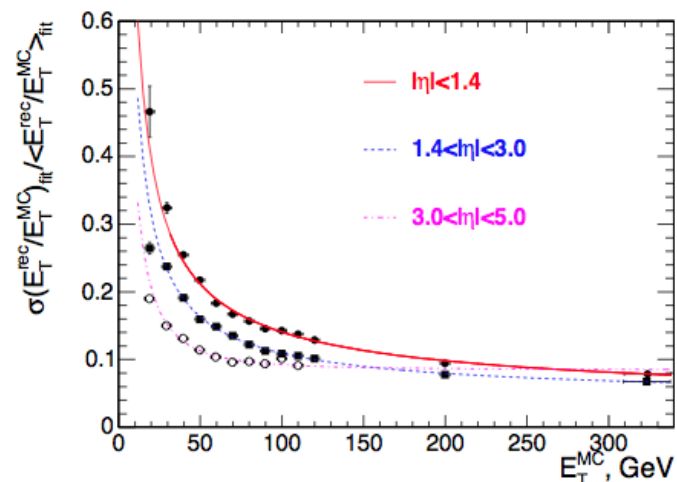
$$\frac{\sigma}{E} = \frac{2.8\%}{\sqrt{E/\text{GeV}}} \oplus \frac{.128}{E/\text{GeV}} \oplus 0.3\%$$



ECAL crystal with photodetector and cartoon of an electron shower

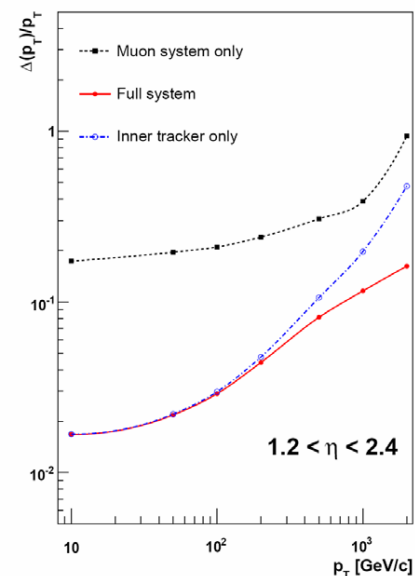
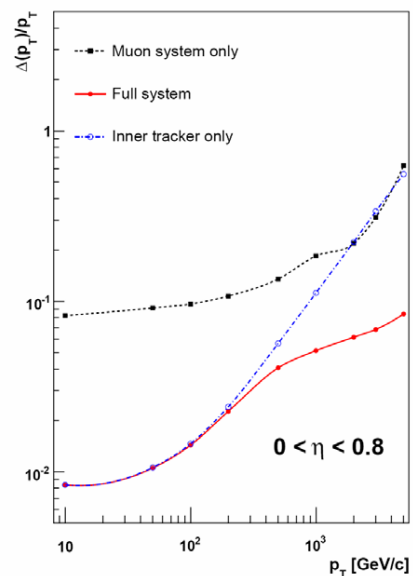
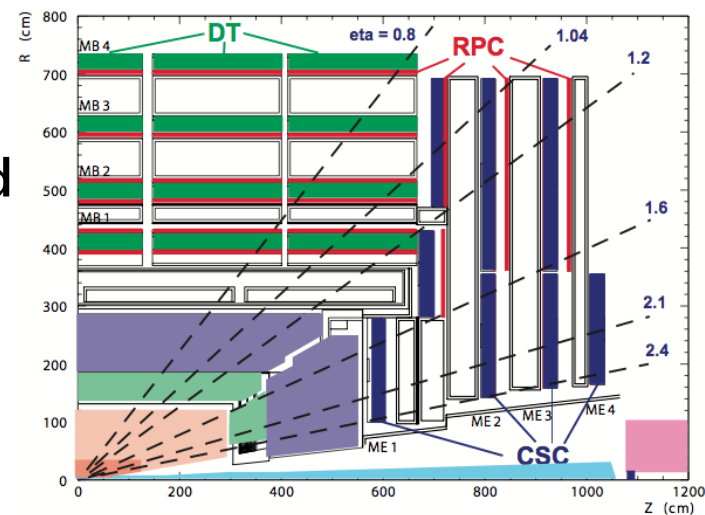
Hadronic Calorimeter

- Long-lived neutral hadrons measured and triggered by compact (inside solenoid), hermetic ($|\eta| < 5$) sampling hadronic calorimeter (HCAL)
 - Barrel and endcap (HB and HE, $|\eta| < 3.0$)
 - Plastic scintillator tiles embedded with wavelength shifting fibers interleaved with brass absorber
 - $\frac{\sigma}{E} \approx \frac{85\%}{\sqrt{E/\text{GeV}}} \oplus 7\%$ (HB), $\frac{\sigma}{E} \approx \frac{113\%}{\sqrt{E/\text{GeV}}} \oplus 3\%$ (HE)
 - Forward (HF, $3 < |\eta| < 5$)
 - Steel with embedded quartz fibers
 - Also measures EM rich jets outside ECAL acceptance
 - $\frac{\sigma}{E} \approx \frac{280\%}{\sqrt{E/\text{GeV}}} \oplus 11\%$, $\frac{\sigma}{E} \approx \frac{198\%}{\sqrt{E/\text{GeV}}} \oplus 9\%$ (EM)



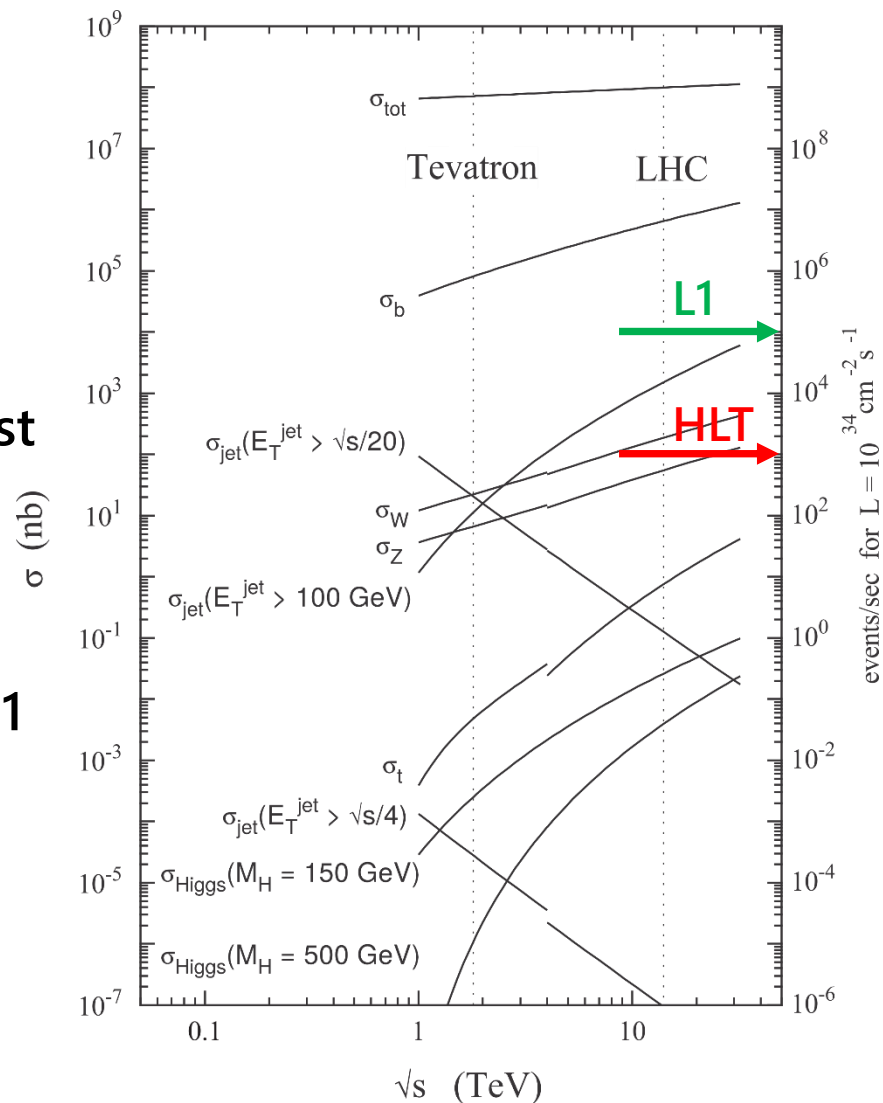
Muon System

- Several gas ionization systems interleaved with iron return yoke outside solenoid
- Muon triggering and identification
- Improved high- p_T muon measurements
- Drift Tubes (DT) in barrel ($|\eta| < 1.2$)
 - Resolution: 80-120 μm , ~ 3 ns
- Cathode Strip Chambers (CSC) in endcap ($0.9 < |\eta| < 2.4$)
 - Resolution: 40-150 μm , ~ 3 ns
- Resistive Plate Chambers (RPC, $|\eta| < 1.6$)
 - 1 ns timing, redundant triggering



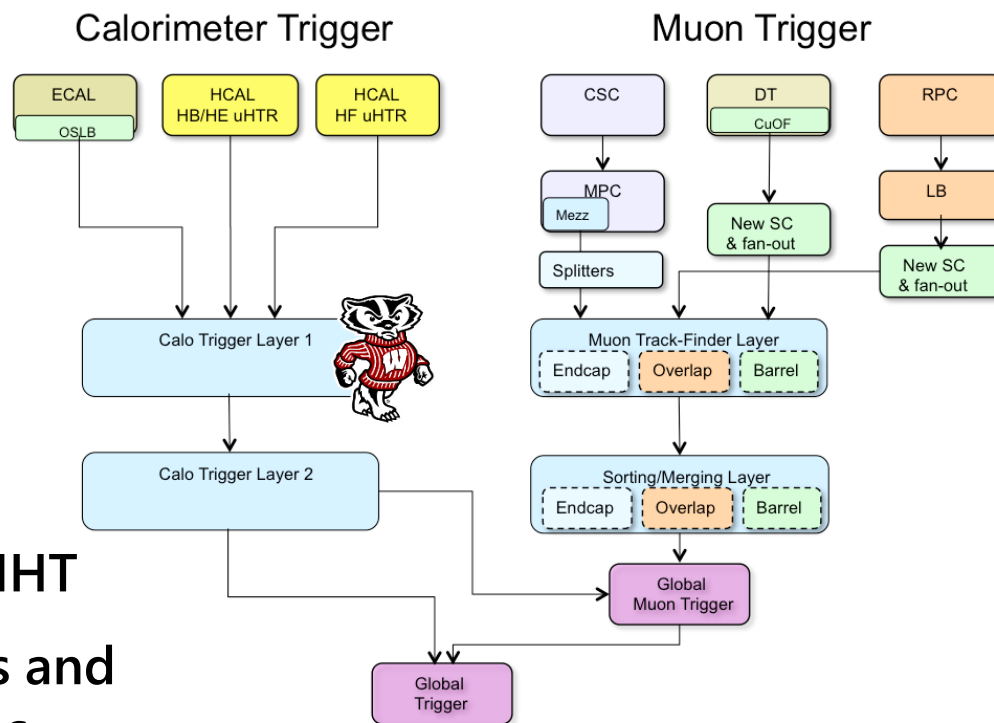
Trigger

- LHC 40 MHz bunch crossing rate with ~ 40 interactions per crossing gives potential ~ 40 TB/s data rate
- CMS produces far too much raw data to store and analyze, but most is uninteresting soft QCD
- 2-tier trigger system reduces 40 MHz bunch crossing rate to ~ 100 kHz in dedicated hardware (Level-1 Trigger), then to ~ 1 kHz appropriate for storage and analysis with software (High Level Trigger)



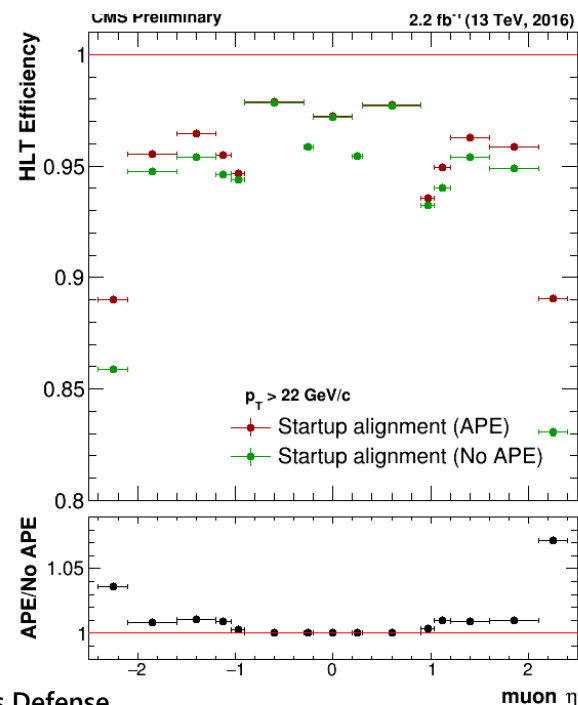
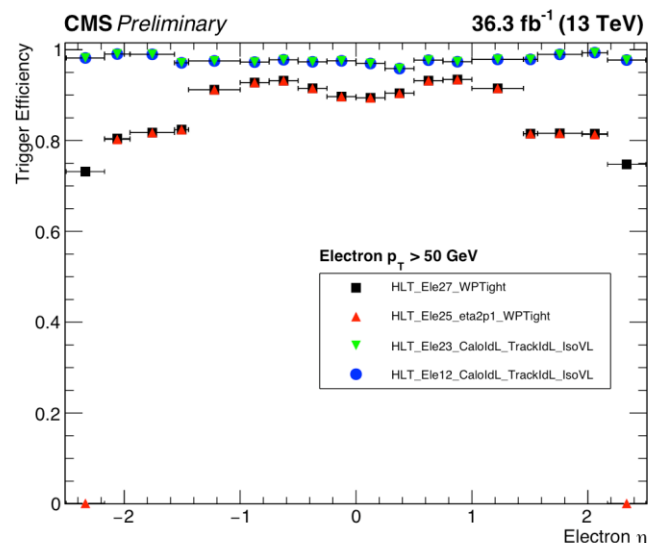
Level-1 Trigger

- Low granularity detector information is processed in dedicated hardware
- L1 Calorimeter Trigger finds (possibly isolated) electrons, photons, taus, jets, total E_T , MET, hadronic E_T (HT) and MHT
- L1 Muon Trigger builds tracks and reconstructs muon candidates
- Global Trigger combines calo and muon objects and makes final decision based on configurable menu
 - Topological selections possible
- $< \sim 4 \mu\text{s}$ latency, ~ 100 kHz max readout



High Level Trigger

- Modified version of offline reconstruction software run on commercial processor farm
- Uses full detector information, including tracker
- Can perform complex analysis-specific algorithms such as vertex tagging, tau reconstruction, etc.
- Optimized for speed
 - Check detector only in region of L1 objects
 - Reconstruct fast objects first to allow early rejection

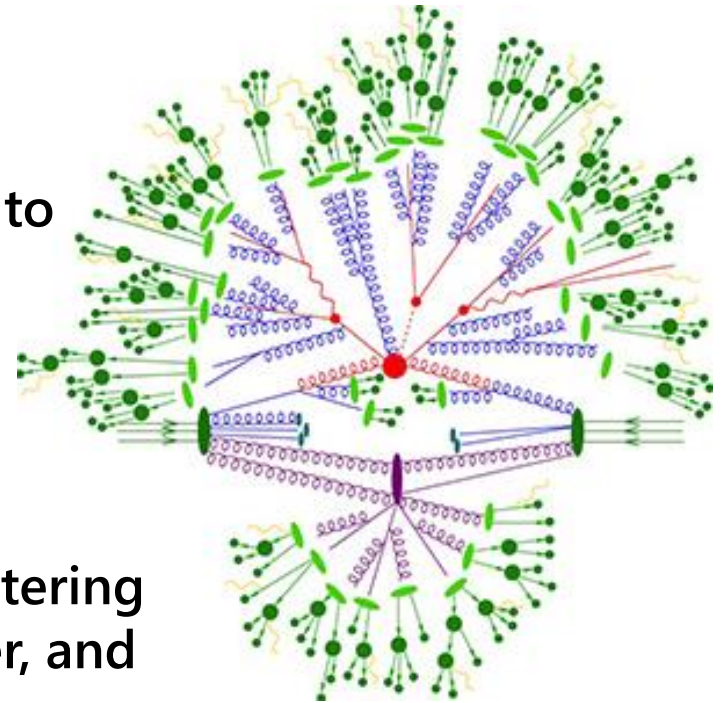


EXPERIMENTAL METHODS

Simulation and Reconstruction

Simulation

- Simulation of physics observables is vital to experiment design and validation, and data-theory comparison
- Use Monte Carlo methods to generate individual events
- Matrix element generation: calculate scattering amplitudes at a chosen perturbative order, and generate a hard process-level spectrum
- Parton showering and hadronization performed by shower MC
- Pileup simulated with overlaid minimum bias events
- Particle-matter interactions and detector response simulated with GEANT4
- Reconstruction and analysis with same software as data

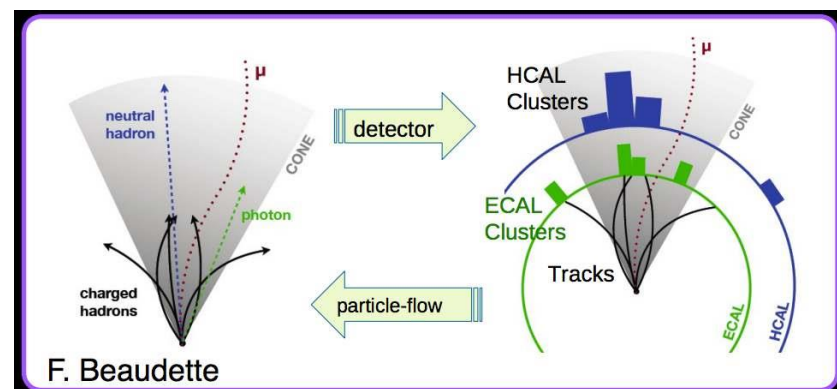


Generators

- **Matrix element generators**
 - POWHEG (NLO, scaled to NNLO yields)
 - $gg \rightarrow H \rightarrow ZZ^*$, $q\bar{q}/qg \rightarrow ZZ$, $q\bar{q} \rightarrow Z \rightarrow 4\ell$
 - MadGraph5_aMC@NLO
 - $q\bar{q}/qg \rightarrow ZZ$ (NLO)
 - $ZZ + 2\text{jets}$ (QCD and EWK), aQGC signal (LO)
 - MCFM
 - $gg \rightarrow ZZ$ (LO, scaled to NLO yield)
 - SHERPA
 - aTGC signal (LO)
- **Parton shower and hadronization with Pythia 8 (except SHERPA samples)**

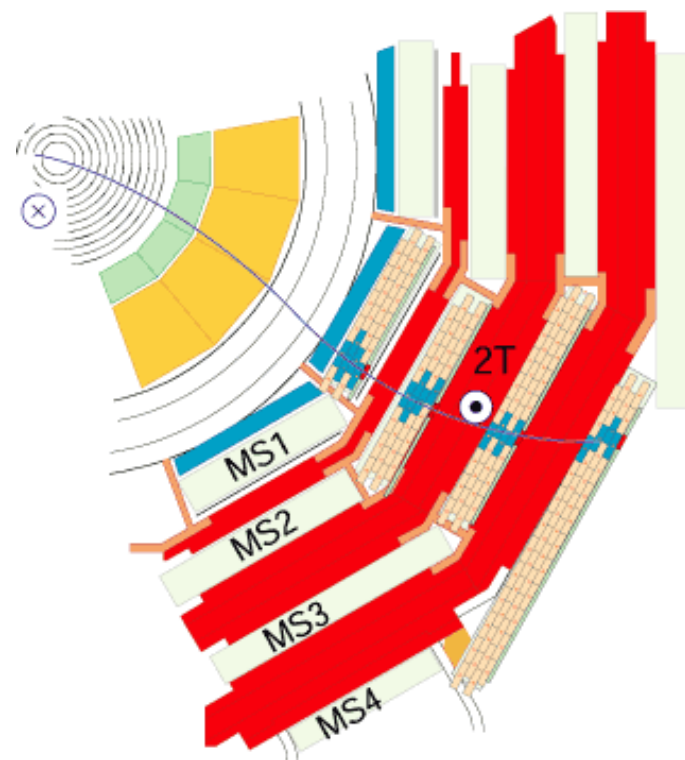
Particle Flow Reconstruction

- CMS design is optimized to allow signals to be combined across detector subsystems
- Correlations improve identification and resolution
- Tracks and calorimeter clusters are matched and combined to reconstruct particle flow (PF) candidates
 - Tracker tracks matched to muon system hits are muons
 - Remaining tracks are associated to ECAL clusters to make electrons or HCAL clusters to make charged hadrons
 - Remaining ECAL clusters are photons, remaining HCAL clusters are neutral hadrons
- PF candidates can be clustered into higher-level objects (jets, taus, missing energy) or further selected for analysis use



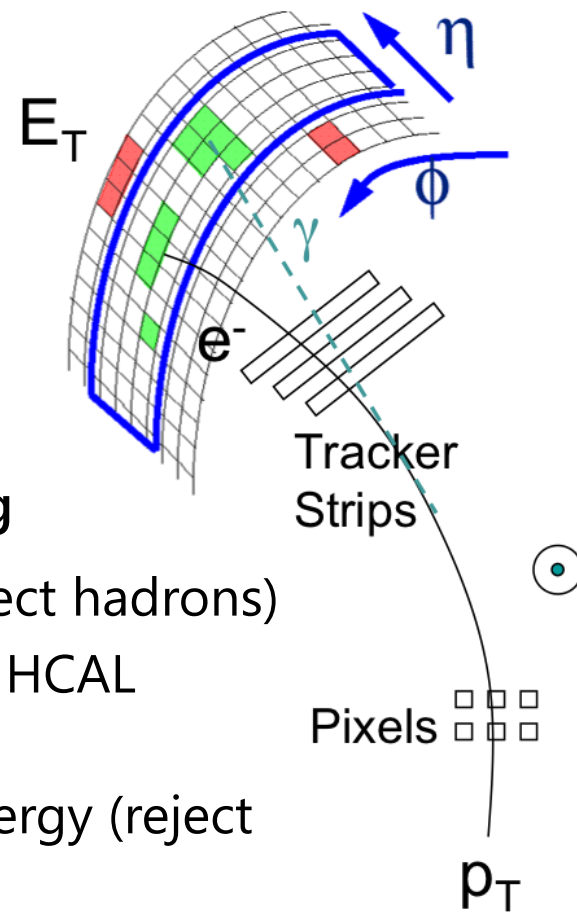
Muon Reconstruction and Selection

- Three types of muon reconstruction
 - Tracker muon, found by silicon tracker
 - Standalone muon, found by muon system
 - Global muon, matched tracks in both
- This study requires
 - Global or tracker
 - Close to primary interaction vertex
 - Several more cuts (e.g. small χ^2 of track fit) to eliminate hadrons that “punch through” to the muon system



Electron Reconstruction and Selection

- **Electrons lose substantial energy to material interactions**
 - Tracks re-reconstructed with Gaussian sum filter algorithm due to stochastic energy loss
 - ECAL clusters extended in ϕ to collect bremsstrahlung photons
- **Track must originate from primary vertex**
- **Identification uses boosted decision tree (BDT) multivariate discriminator with inputs including**
 - Track quality and energy loss observables (reject hadrons)
 - Calorimeter cluster shape and properties, e.g. HCAL activity (reject EM-rich jets)
 - Track-cluster compatibility by position and energy (reject photons)



Lepton Isolation

- QCD backgrounds produce lepton candidates inside jets that may be strongly rejected by limiting the energy in cones around them

- Particle Flow Relative Isolation:

$$R_{\text{Iso}}^{\ell} \equiv \frac{\sum p_{\text{T}}^{\text{charged}} + \max[0, \sum p_{\text{T}}^{\text{neutral}} + \sum p_{\text{T}}^{\gamma} - p_{\text{T}}^{\text{PU}}(\ell)]}{p_{\text{T}}^{\ell}}$$

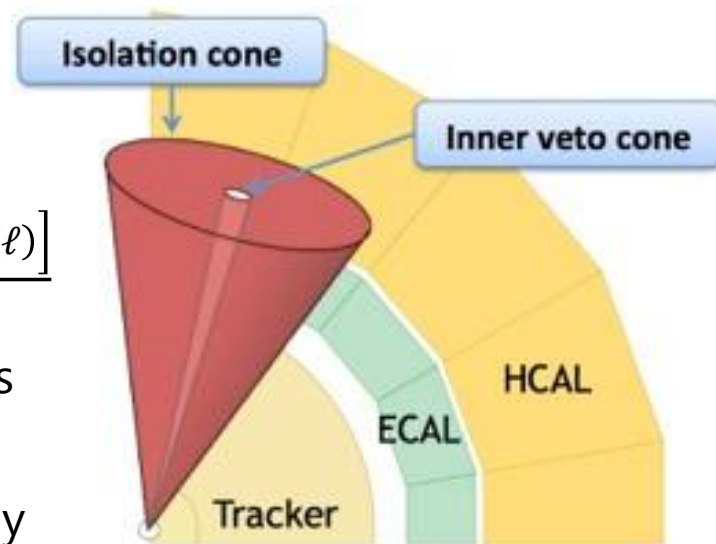
- Charged and neutral refer to PF hadrons

- $p_{\text{T}}^{\text{PU}}(e) \equiv \rho \times A_{\text{eff}}$

- ρ : median jet neutral particle energy

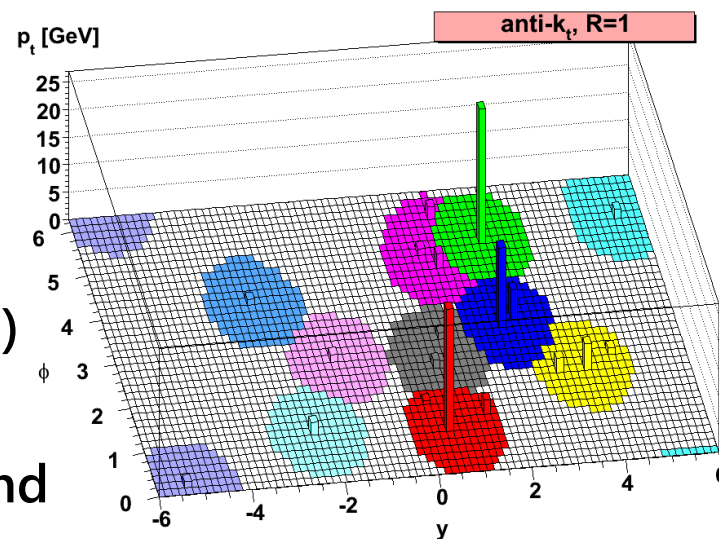
- A_{eff} : cone area scaled for N_{vtx}

- $p_{\text{T}}^{\text{PU}}(\mu) \equiv 0.5 \times \sum_i p_{\text{T}}^{\text{PU},i}$, i runs over charged hadrons from other vertices



Jet Reconstruction

- Jets are made from clusters of charged hadrons (~65%), neutral hadrons (~25%) and photons (10%)
- Clustering algorithm must be infrared and collinear safe, i.e. insensitive to processes that surround a hadron with soft radiation or split one hadron into two nearly collinear ones
- Here: anti- k_T algorithm with $R = 0.4$
 - Iteratively merge particle pairs with smallest d_{ij}
 - $d_{ij} = \min(p_{Ti}^{-2}, p_{Tj}^{-2}) \frac{\Delta_{ij}}{R}, \quad \Delta_{ij}^2 \equiv (y_i - y_j)^2 + (\phi_i - \phi_j)^2$
- MC jet energies corrected to remove pileup and smeared to match data
- Reject jets within $\Delta R < 0.4$ of leptons and FSR photons

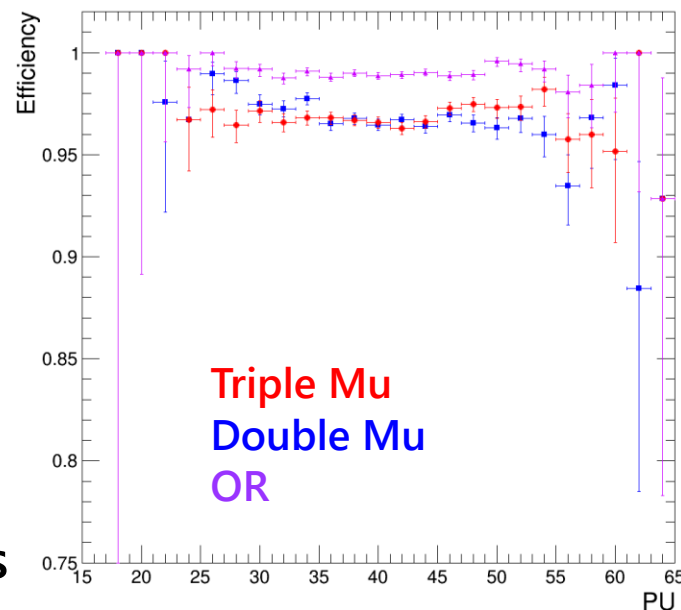


ANALYSIS STRATEGY

Event Selection

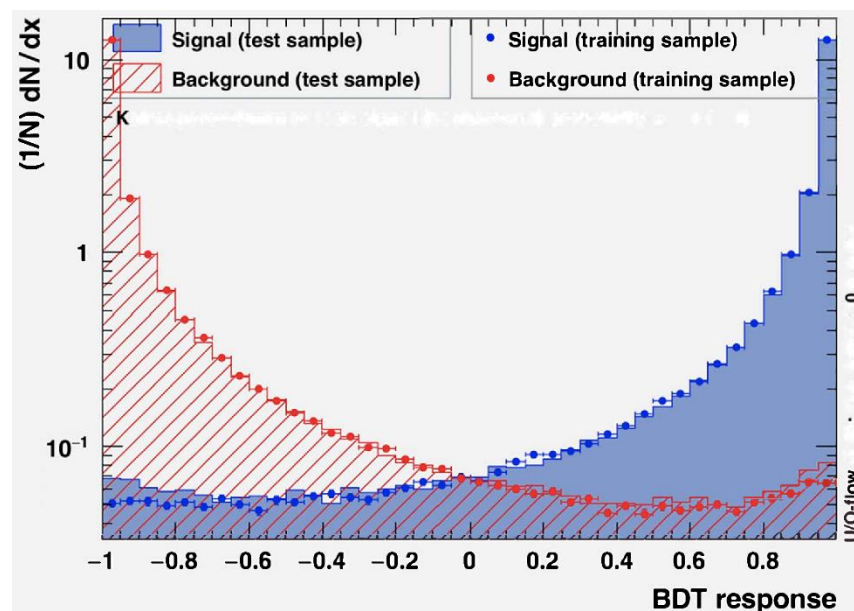
Triggers

- Trigger on pairs of isolated leptons
- Additional triggers with a single very high p_T or isolated lepton, or three leptons, to bring efficiency close to 1
- p_T thresholds increased with instantaneous luminosity, full list in backup slides
- Most important: 23 GeV electron/17 GeV muon + 12 GeV electron/8 GeV muon (both particles isolated)
- 2- and 3-lepton triggers may be same flavor or cross trigger (e.g. ee, e μ , μ e, $\mu\mu$ all used)
- Use simple OR of all paths



Lepton Selection Details

- Leptons must pass minimal “loose” ID cuts to be used in control regions, plus an additional “tight” requirement for signal leptons
- $p_T^{e(\mu)} > 7$ (5) GeV, $|\eta^{e(\mu)}| < 2.5$ (2.4)
- $SIP \equiv \frac{|IP_{3D}|}{\sigma_{IP_{3D}}} < 10$ for all leptons
- Electrons: $\Delta R(e, \text{any } \mu) > 0.05$
 - Tight requirement: Pass BDT discriminator
- Muons: $|\eta| < 2.4$, global or tracker muon
 - Tight requirement: PF muon
- $R_{Iso}^\ell < 0.35$



Electron BDT ID performance

Event Selection

- Consider all possible lepton pairings that give 2 opposite sign, same flavor Z/γ^* candidates ($\ell^+ \ell^- \ell'^+ \ell'^-$, $\ell \in e, \mu$)
 - One with $40 < m_{\ell\ell} < 120$ GeV, other with $m_{\ell\ell} < 120$ GeV
 - All opposite-sign pairs must have $m_{\ell\ell'} > 4$ GeV
- Z_1 : lepton pair with $m_{\ell\ell}$ closest to nominal m_Z
- $p_T^{\ell 1} > 20$ GeV, $p_T^{e(\mu)2} > 12(10)$ GeV
- In case of more than one possible ZZ system, the one with smallest $|m_{Z_1} - m_Z|$ is chosen, then $\sum_i p_T^{\ell i}$ is maximized
- Further requirements for specific measurements:
 - On-shell: $60 < m_{Z_{1,2}} < 120$ GeV
 - ZZjj (VBS): Two jets with $m_{jj} > 100$ GeV
 - $Z \rightarrow 4\ell$: $80 < m_{4\ell} < 100$ GeV, $H \rightarrow 4\ell$: $118 < m_{4\ell} < 130$ GeV

Cut Flow

- Number of $2\ell 2\ell'$ events passing each cut
- $ZZ \rightarrow 4\ell$, $Z \rightarrow 4\ell$, and $H \rightarrow 4\ell$ selections applied on top of full spectrum selection
- Best candidate in each event chosen in full spectrum selection
 - Measurement-specific samples are strict subsets
 - At earlier steps, events may appear in multiple channels

Selection	4e	2e2 μ	4 μ	Total
Trigger	580633	645640	399212	1598705
Lepton ID	2195	6760	11614	20563
Lepton Isolation	597	1189	1548	3334
Full Spectrum	440	1111	838	2389
$Z \rightarrow 4\ell$	78	206	225	509
$H \rightarrow 4\ell$	19	41	34	94
$ZZ \rightarrow 4\ell$	220	543	335	1098

Signal Efficiency (%)			
	4e	2e2 μ	4 μ
$Z \rightarrow 4\ell$	24	36	73
$ZZ \rightarrow 4\ell$	54	65	78

Background Estimation

Overview

- Backgrounds are small ($\sim 6\%$ of ZZ yield)
- WWZ and $t\bar{t}Z$ from MC; data driven Z + X and $t\bar{t}$
- Strategy: weight events in control regions with 1 or 2 non-prompt lepton candidates by the rate at which such non-prompt leptons are misidentified as prompt
- Lepton fake rate $f^\ell(p_T^\ell, \eta^\ell)$ found with $Z+l_{\text{loose}}$ sample
- 4 l control regions:
 - 3P1F (3 prompt, 1 fake): one lepton fails tight ID or isolation
 - 2P2F (2 prompt, 2 fake): both leptons in one Z fail
- 2P2F is already counted (twice) in 3P1F, so it is subtracted out

Process	Approx. fraction of ZZ background
$t\bar{t}Z$	10%
Z+X	70%
WZ	10%
$t\bar{t}$	10%

$$N_{SR} = \sum_{3P1F_i} \frac{f^{\ell i}}{1-f^{\ell i}} - \sum_{2P2F_{i,j}} \frac{f^{\ell i} f^{\ell j}}{(1-f^{\ell i})(1-f^{\ell j})}$$

Lepton Fake Rate Estimation

- $Z + \ell_{\text{loose}}$
 - Z selected as in signal region but with $|m_{\ell\ell} - m_Z| < 10 \text{ GeV}$
 - 3rd lepton passes loose ID, no isolation cut
 - MET < 20 GeV and $m_T(\vec{p}_T^{\ell_3}, \vec{p}_T^{\text{miss}}) < 30 \text{ GeV}$ to remove WZ
 - 4th lepton veto
 - Dielectron and dimuon triggers only
- Any remaining WZ and ZZ contamination estimated from MC and removed
- Fake rate: $f = \frac{\text{\# also passing tight ID+isolation}}{\text{total}}$

Systematic Uncertainties

- Lepton ID and isolation efficiency systematics found by varying scale factors by fit uncertainties
- Pileup uncertainty found by varying estimated minbias cross section by 4.6%
- 40% uncertainty on fake rate

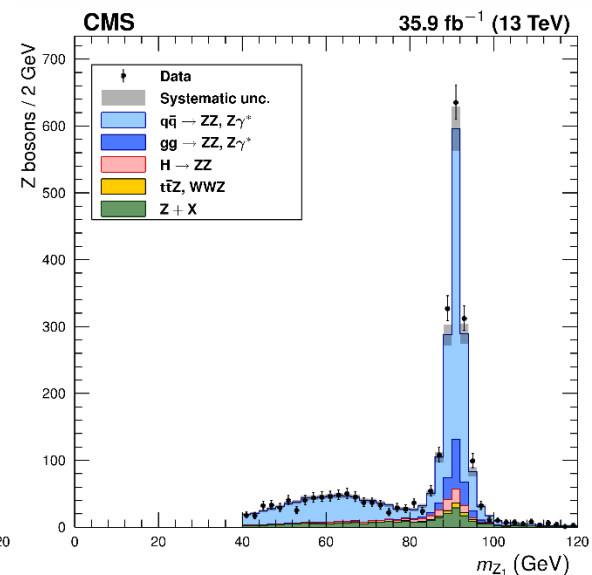
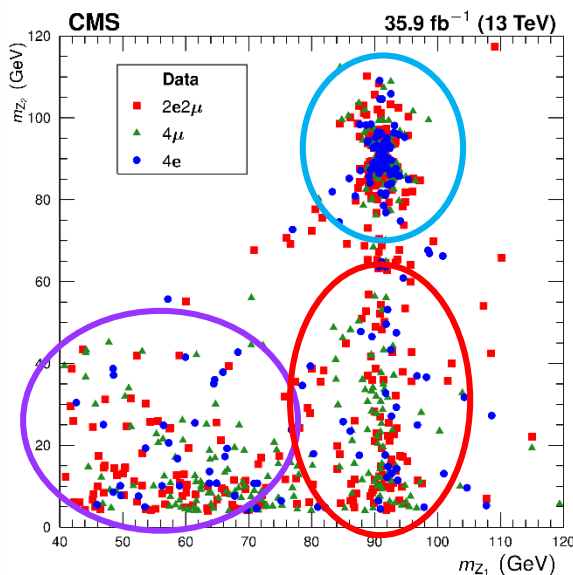
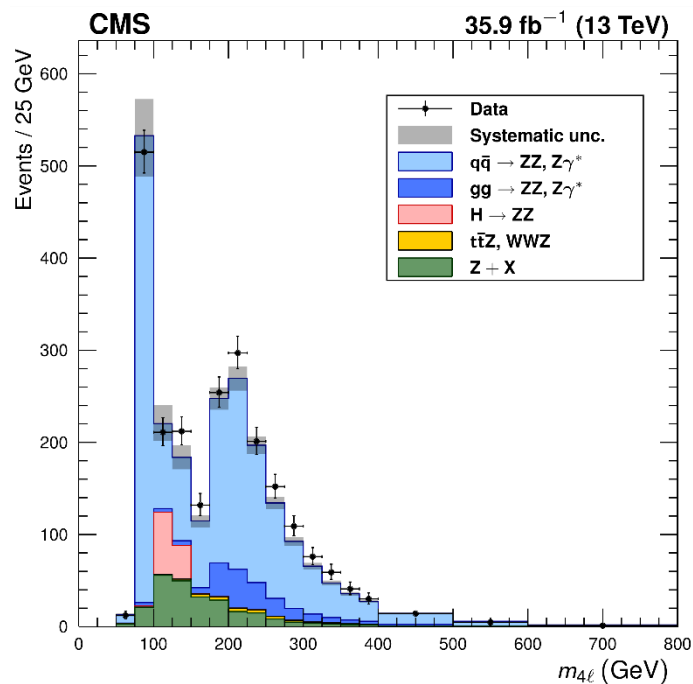
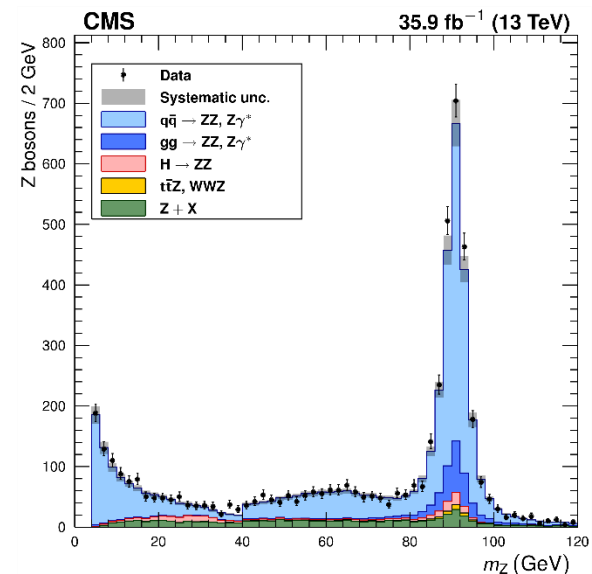
Uncertainty	$Z \rightarrow 4\ell$	$ZZ \rightarrow 4\ell$
Lepton efficiency	6–10%	2–6%
Trigger efficiency	2–4%	2%
MC statistics	1–2%	0.5%
Background	0.6–1.3%	0.5–1%
Pileup	1–2%	1%
PDF	1%	1%
QCD Scales	1%	1%
Integrated luminosity	2.5%	2.5%

MEASUREMENTS

Yields and Inclusive Cross Sections

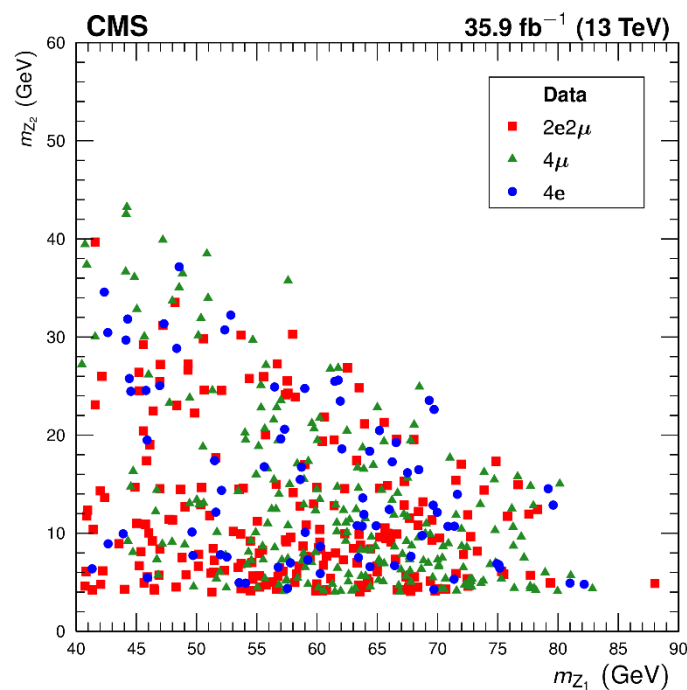
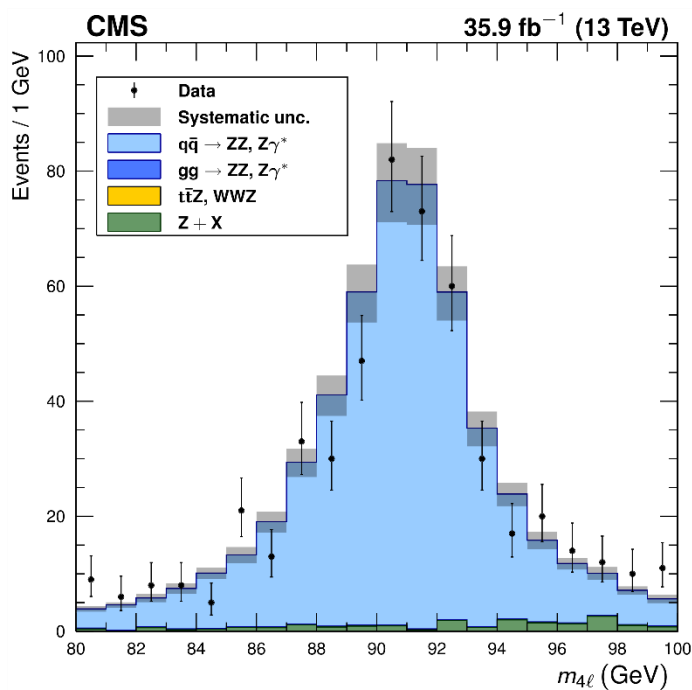
Full Spectrum

- Various production modes ($Z \rightarrow 4\ell, \gamma^* \gamma^*$, $H \rightarrow 4\ell, Z\gamma^*, ZZ$) clearly visible
- Not used for cross section measurements



Z \rightarrow 4 ℓ Yield

Final state	Expected $N_{4\ell}$	Background	Total expected	Observed
4 μ	224 \pm 1 \pm 16	7 \pm 1 \pm 2	231 \pm 2 \pm 17	225
2e2 μ	207 \pm 1 \pm 14	9 \pm 1 \pm 2	216 \pm 2 \pm 14	206
4e	68 \pm 1 \pm 8	4 \pm 1 \pm 2	72 \pm 1 \pm 8	78
Total	499 \pm 2 \pm 32	19 \pm 2 \pm 5	518 \pm 3 \pm 33	509



Z \rightarrow 4 ℓ Cross Section

- Total inclusive signal strength found with maximum likelihood fit of yields, treating each channel as an independent bin

$$\mu = 0.980_{-0.044}^{+0.046} (\text{stat})_{-0.059}^{+0.065} (\text{syst}) \pm 0.025 (\text{lumi})$$

- $\sigma_{\text{fid}} = \mu \times \sigma_{\text{fid}}^{\text{theo}}$

$$\sigma_{\text{fid}} (\text{pp} \rightarrow \text{Z} \rightarrow 4\ell) = 31.2_{-1.4}^{+1.5} (\text{stat})_{-1.9}^{+2.1} (\text{syst}) \pm 0.8 (\text{lumi}) \text{ fb}$$

- σ_{fid} scaled to total $\sigma \times \mathcal{B}$ by $\mathcal{A} = 0.125 \pm 0.002$

- Removes all but $80 < m_{4\ell} < 100$ GeV and $m_{\ell\ell} > 4$ GeV requirements
- Includes correction for nonresonant contribution

$$\sigma(\text{pp} \rightarrow \text{Z}) \times \mathcal{B}(\text{Z} \rightarrow 4\ell) = 249 \pm 8 (\text{stat})_{-8}^{+9} (\text{syst}) \pm 4 (\text{theo}) \pm 6 (\text{lumi}) \text{ fb}$$

$\pm 5.5\%$

- But we already know the Z cross section with higher precision
 - More interesting to interpret as branching fraction

Z \rightarrow 4 ℓ Branching Fraction

$$\mathcal{B}(Z \rightarrow \ell\ell\ell'\ell') = \frac{\sigma(\text{pp} \rightarrow Z) \times \mathcal{B}(Z \rightarrow \ell\ell\ell'\ell')}{\sigma(\text{pp} \rightarrow Z) \times \mathcal{B}(Z \rightarrow \ell\ell) / \mathcal{B}(Z \rightarrow \ell\ell) \cdot \mathcal{C}_{80-100}^{60-120}}$$

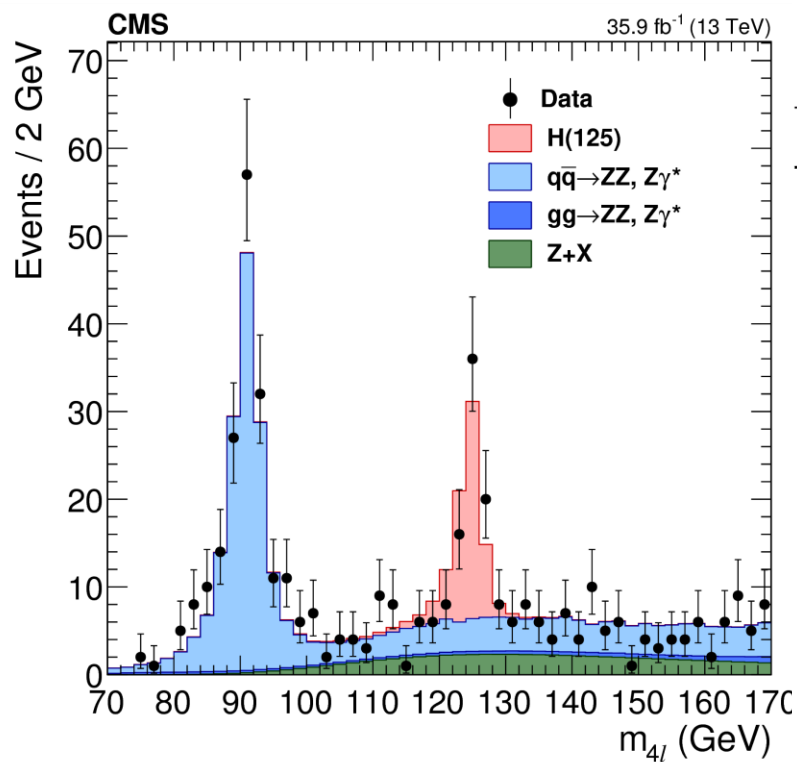
- **Z cross section (FEWZ NNLO):**
 - $\sigma(\text{pp} \rightarrow Z) \times \mathcal{B}(Z \rightarrow \ell\ell) = 1870_{-40}^{+50}$ pb
- **Translation between Z mass windows:**
 - $\mathcal{C}_{80-100}^{60-120} = 0.926 \pm 0.001$
- **Nominal dilepton branching fraction (PDG):**
 - $\mathcal{B}(Z \rightarrow \ell\ell) = 0.03366$

$$\mathcal{B}(Z \rightarrow 4\ell) = 4.8 \pm 0.2 \text{ (stat)} \pm 0.2 \text{ (syst)} \pm 0.1 \text{ (theo)} \pm 0.1 \text{ (lumi)} \times 10^{-6}$$

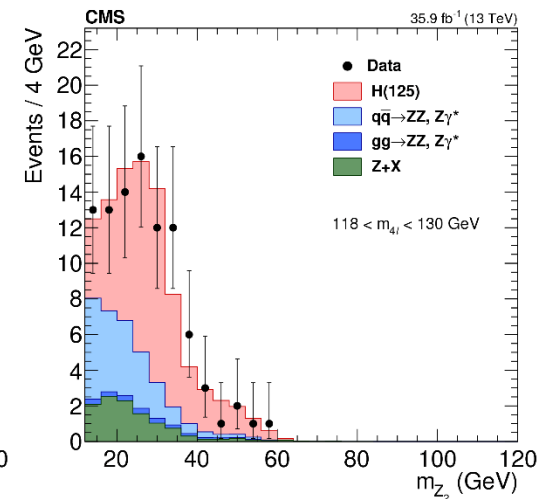
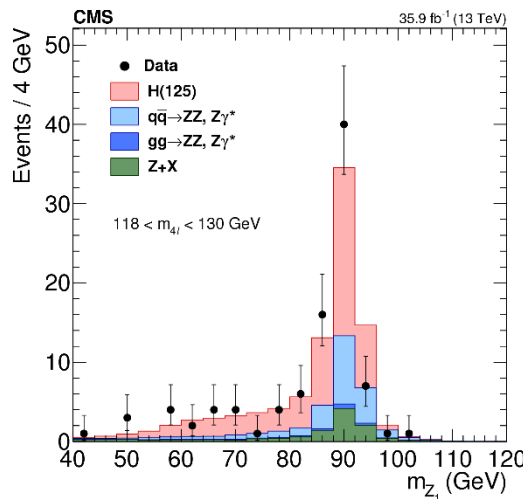
$\pm 6.6\%$

- **Compare to 4.6×10^{-6} (MCFM or MadGraph5_aMC@NLO)**

H \rightarrow 4 ℓ Yield

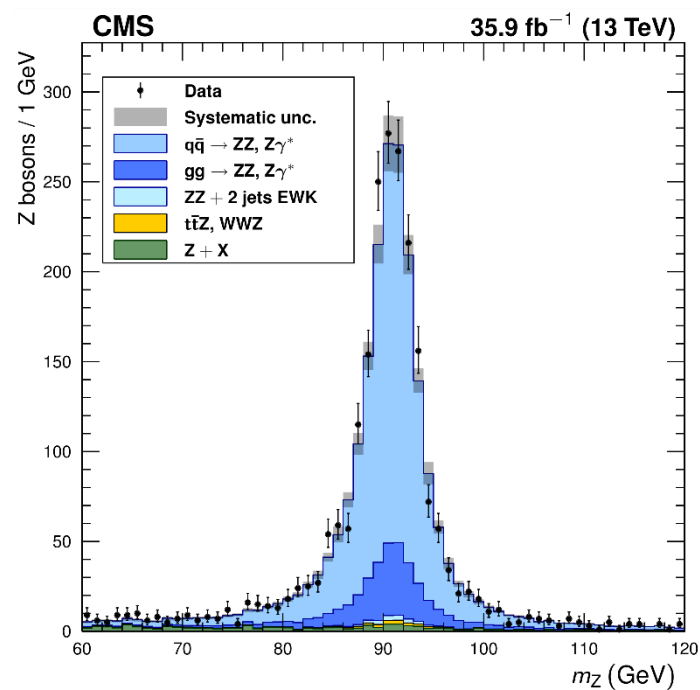
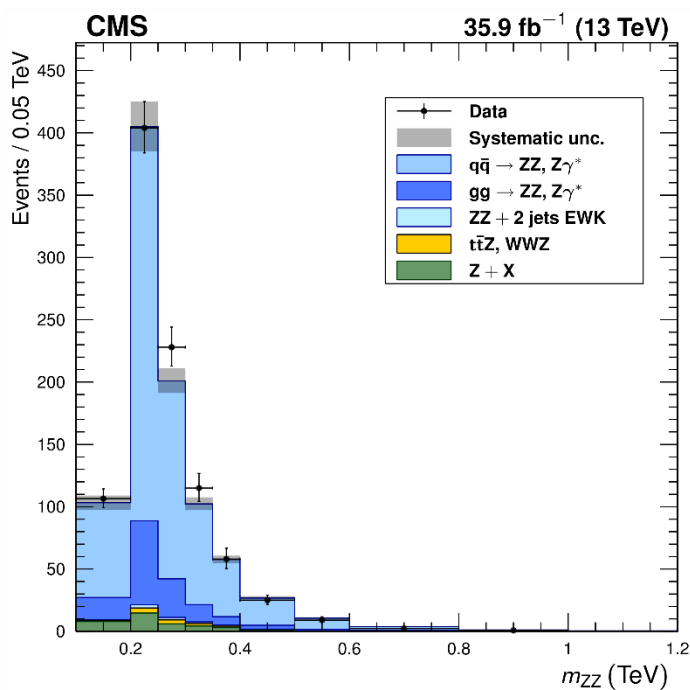


Final state	Expected N_H	SM continuum background	Z + X	Total expected	Observed
4 μ	21.6 ± 1.9	$9.4^{+0.6}_{-0.7}$	$4.7^{+2.0}_{-1.8}$	35.8 ± 2.9	34
2e2 μ	26.5 ± 2.3	$11.0^{+0.7}_{-0.8}$	$6.9^{+3.1}_{-2.9}$	$44.4^{+3.7}_{-3.6}$	41
4e	10.2 ± 1.1	3.6 ± 0.3	$1.9^{+0.8}_{-1.0}$	15.8 ± 1.6	19
Total	58.3 ± 5.0	$24.1^{+1.5}_{-1.6}$	$13.5^{+3.7}_{-3.5}$	96.0 ± 6.7	94



ZZ \rightarrow 4 ℓ Yield

Final state	Expected N_{ZZ}	Background	Total expected	Observed
4 μ	$301 \pm 2 \pm 9$	$10 \pm 1 \pm 2$	$311 \pm 2 \pm 9$	335
2e2 μ	$503 \pm 2 \pm 19$	$31 \pm 2 \pm 4$	$534 \pm 3 \pm 20$	543
4e	$205 \pm 1 \pm 12$	$20 \pm 2 \pm 2$	$225 \pm 2 \pm 13$	220
Total	$1009 \pm 3 \pm 36$	$60 \pm 3 \pm 8$	$1070 \pm 4 \pm 37$	1098



ZZ \rightarrow 4 ℓ Cross Section

- Signal strength

$$\mu = 1.040_{-0.032}^{+0.033} (\text{stat})_{-0.035}^{+0.037} (\text{syst}) \pm 0.026 (\text{lumi})$$

$$\sigma_{\text{fid}}(\text{pp} \rightarrow \text{ZZ} \rightarrow 4\ell) = 40.9 \pm 1.3 (\text{stat}) \pm 1.4 (\text{syst}) \pm 1.0 (\text{lumi}) \text{ fb}$$

- Total cross section requires only $60 < m_{Z_{1,2}} < 120 \text{ GeV}$, $m_{\ell\ell} > 4 \text{ GeV}$

$$\sigma(\text{pp} \rightarrow \text{ZZ}) = 17.5_{-0.5}^{+0.6} (\text{stat}) \pm 0.6 (\text{syst}) \pm 0.4 (\text{theo}) \pm 0.4 (\text{lumi}) \text{ pb}$$

- Measured on 2015 data (2.6 fb⁻¹)

$$\sigma(\text{pp} \rightarrow \text{ZZ}) = 14.6_{-1.8}^{+1.9} (\text{stat})_{-0.5}^{+0.3} (\text{syst}) \pm 0.2 (\text{theo}) \pm 0.4 (\text{lumi}) \text{ pb}$$

- Combining 2016 and 2017 data gives

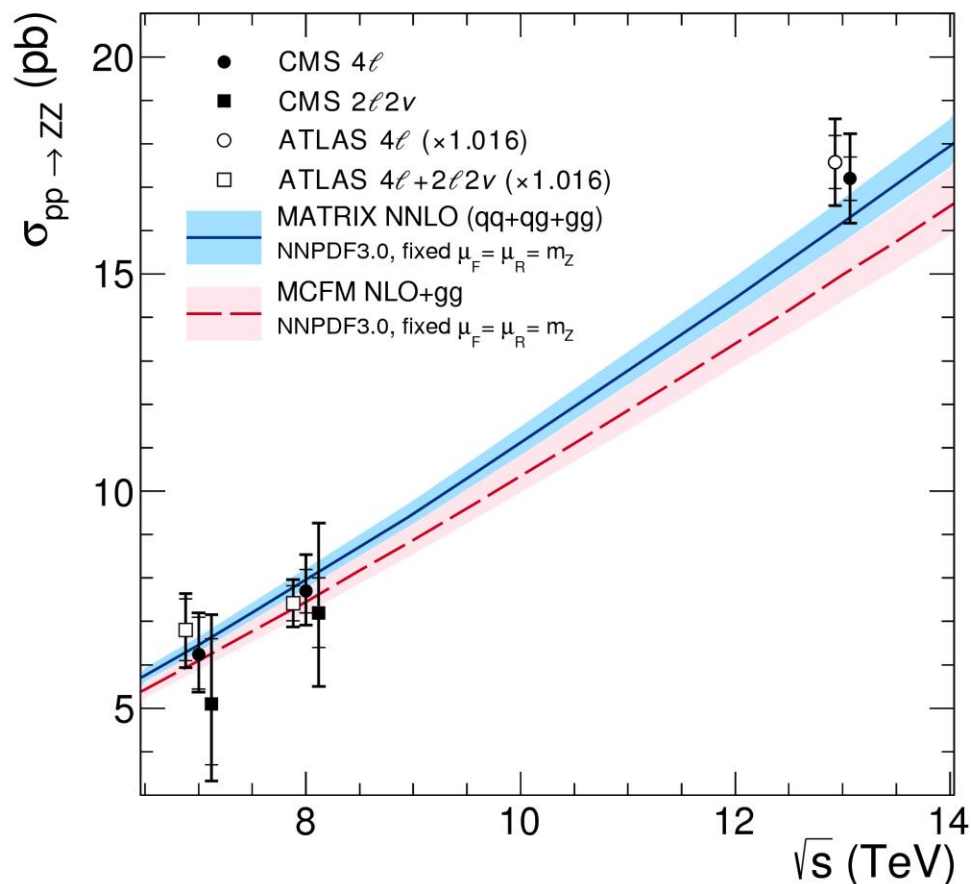
$$\sigma(\text{pp} \rightarrow \text{ZZ}) = 17.2 \pm 0.5 (\text{stat}) \pm 0.7 (\text{syst}) \pm 0.4 (\text{theo}) \pm 0.4 (\text{lumi}) \text{ pb}$$

- Compare total cross section to $16.5_{-0.7}^{+0.5} \text{ pb}$ (MATRIX NNLO, NNPDF3) or $15.4_{-0.4}^{+0.5} \text{ pb}$ (MCFM NLO, MSTW2008)

$\pm 6.0\%$

Total Cross Section Vs. \sqrt{s}

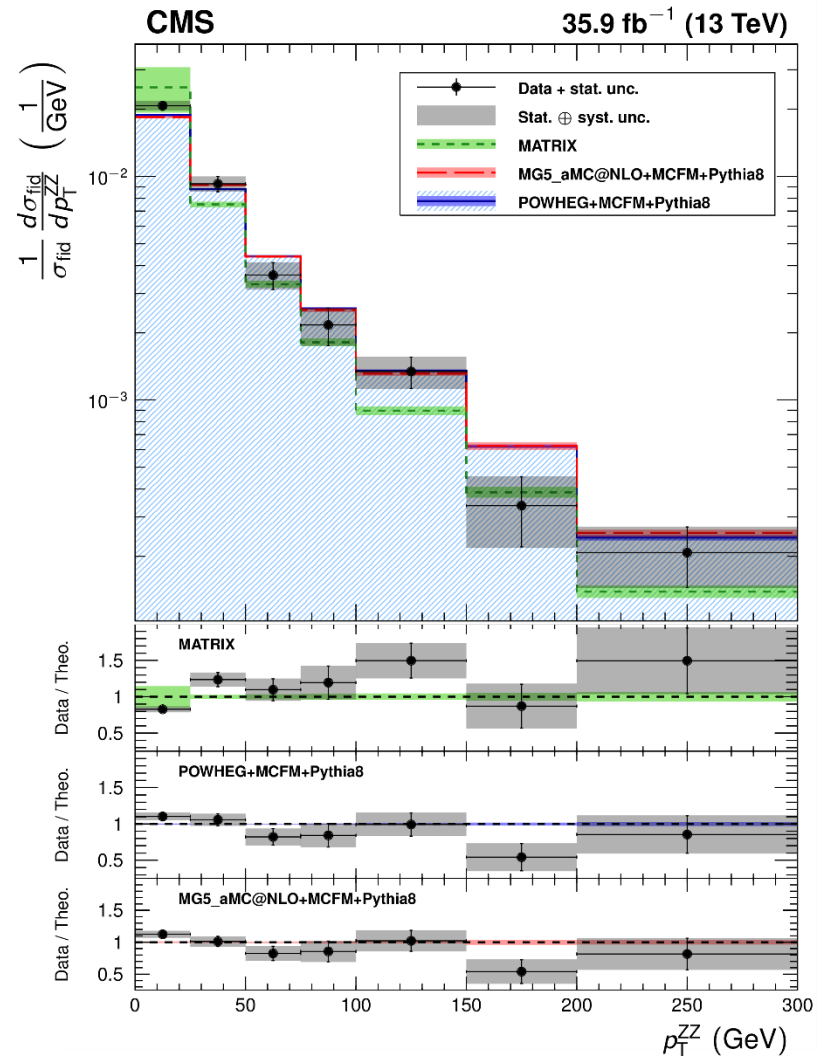
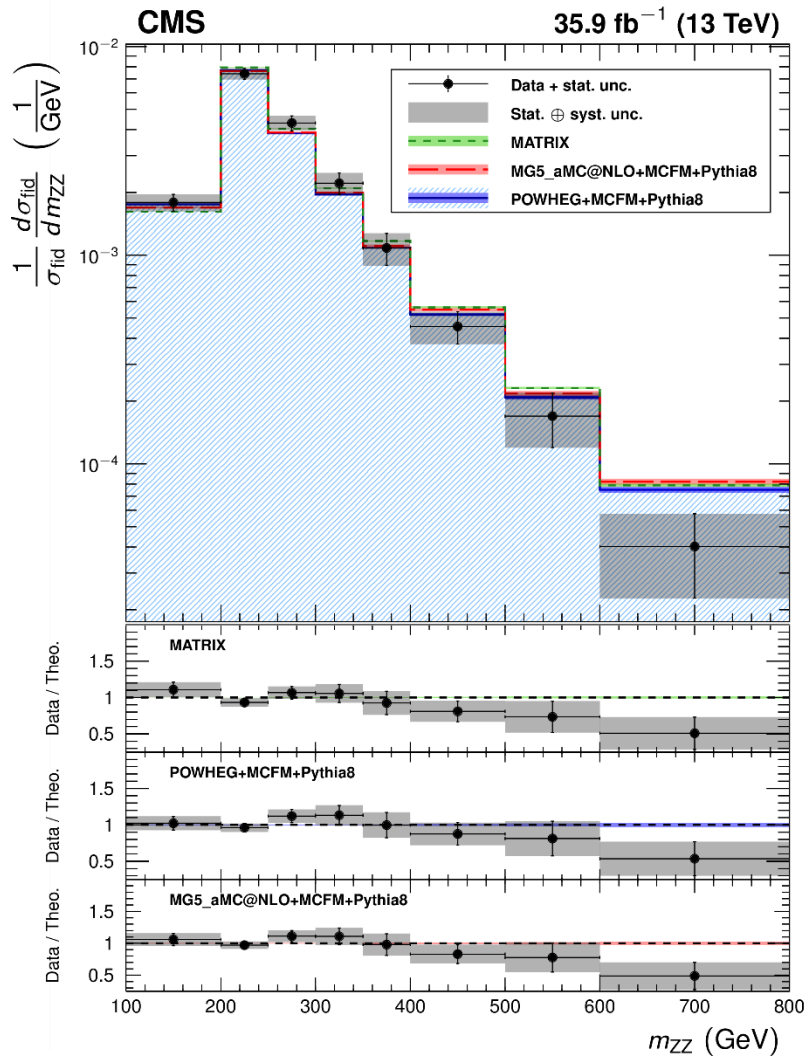
- Cross section very sensitive to NLO and NNLO corrections (outside scale uncertainties of lower orders)
- Comparisons here are to MCFM NLO+gg and MATRIX NNLO
 - Both: $\mu_F = \mu_R = m_Z$
- CMS and ATLAS measurements all agree with NNLO theory over large energy range



MEASUREMENTS

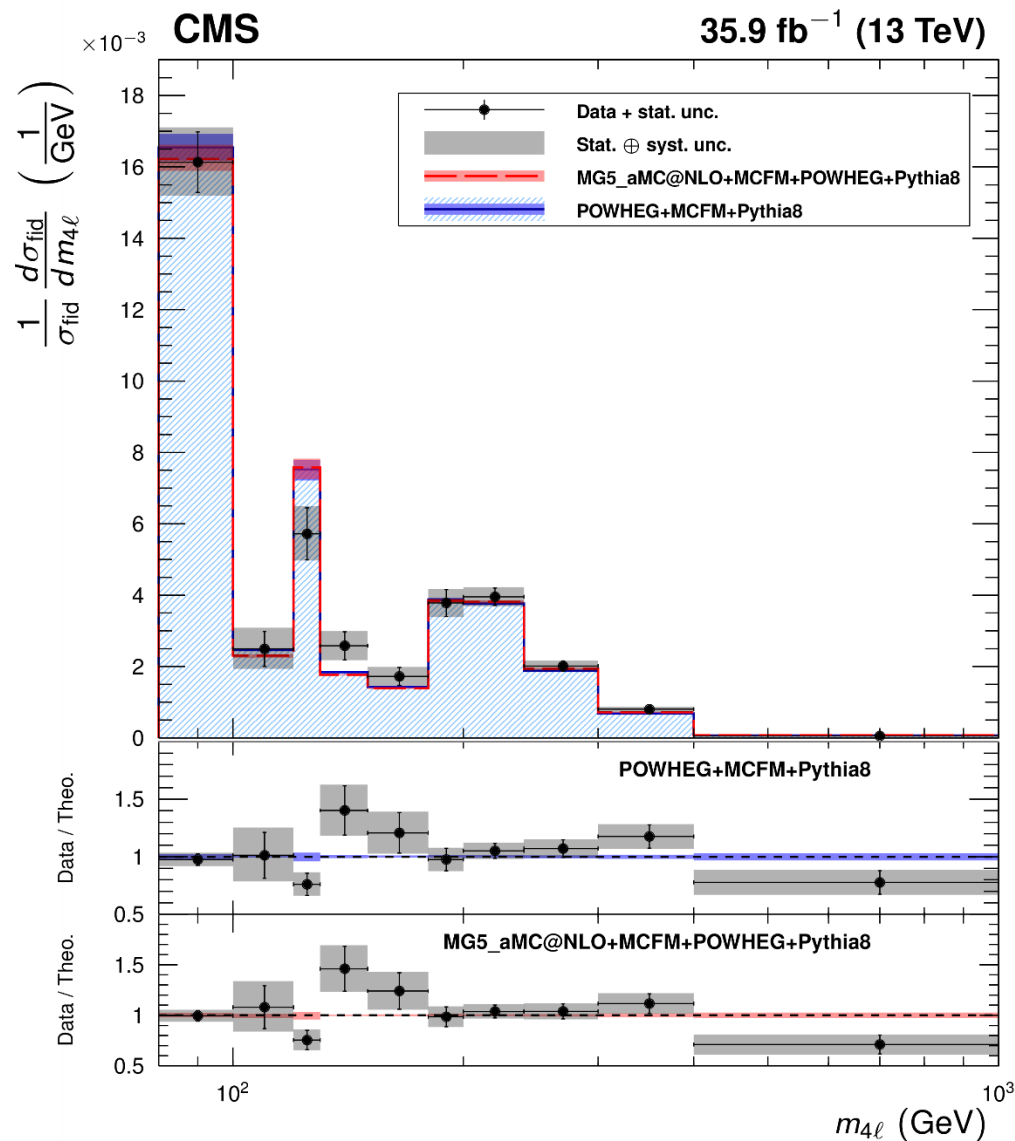
Differential Cross Sections

Measured Differential Cross Sections



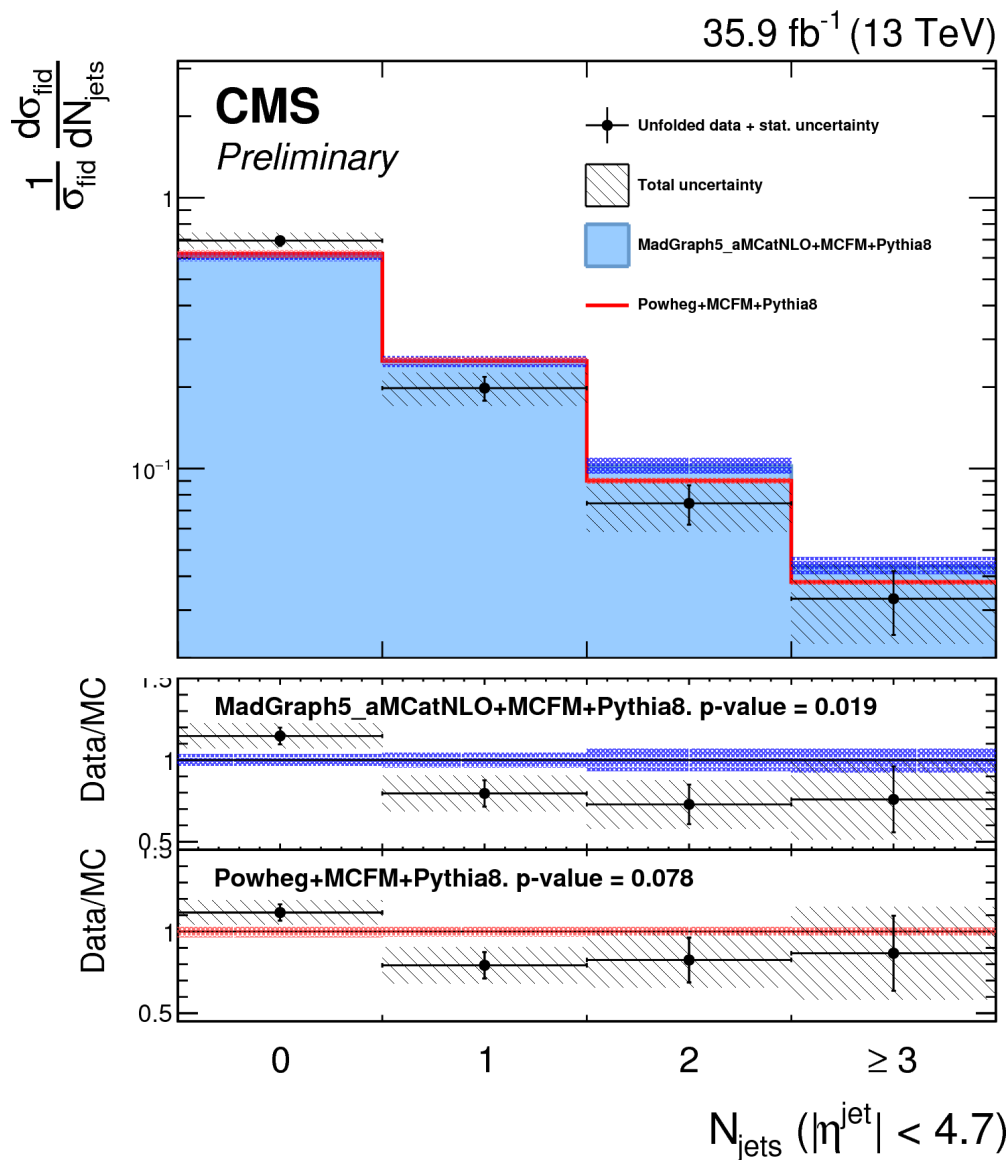
Measured Differential Cross Sections

- Expand to full spectrum selection to include $Z \rightarrow 4\ell$, Higgs resonance, full continuum



Measured Differential Cross Sections

- Include jets with $p_T > 30$ GeV, $|\eta| < 4.7$

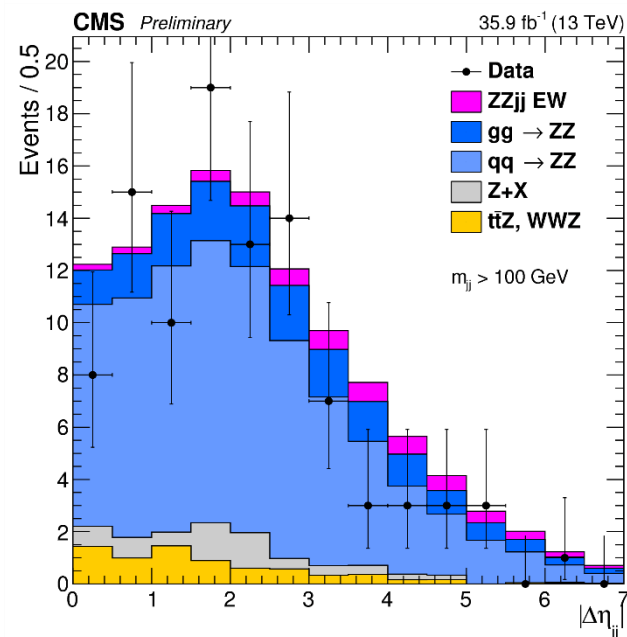
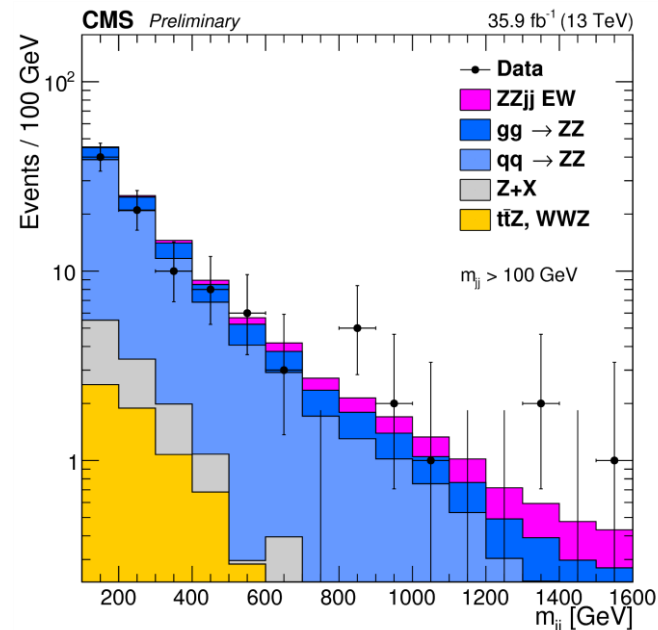


SEARCHES

VBS and Anomalous Couplings

VBS Search

- VBS signal is too small compared to QCD ZZ + 2jets for a cut-and-count analysis to be sensitive
- Instead, extract signal with BDT
 - Observables considered include dijet and 4 ℓ kinematics, hadronic activity levels, and whole-event topology
 - Poorly modeled observables (e.g. p_T^{j3}) not used
 - Seven observables with highest discrimination power retained
- Use whole ZZjj sample, extract signal and background yields with shape fits to BDT output

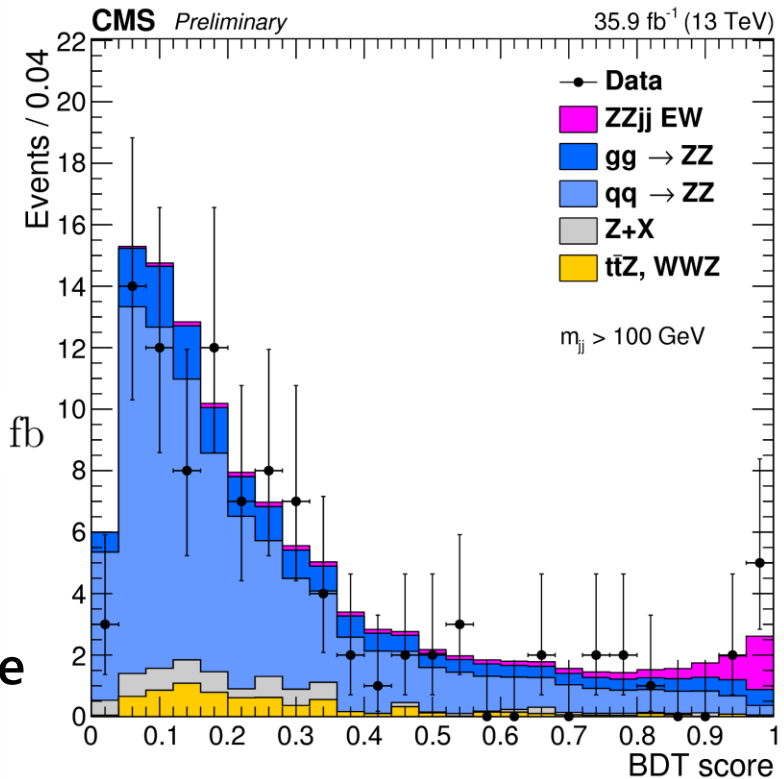


VBS Search Results

- Excess of events consistent with VBS observed at the level of 2.7σ
 - 1.6σ expected
- Measured VBS fiducial cross section

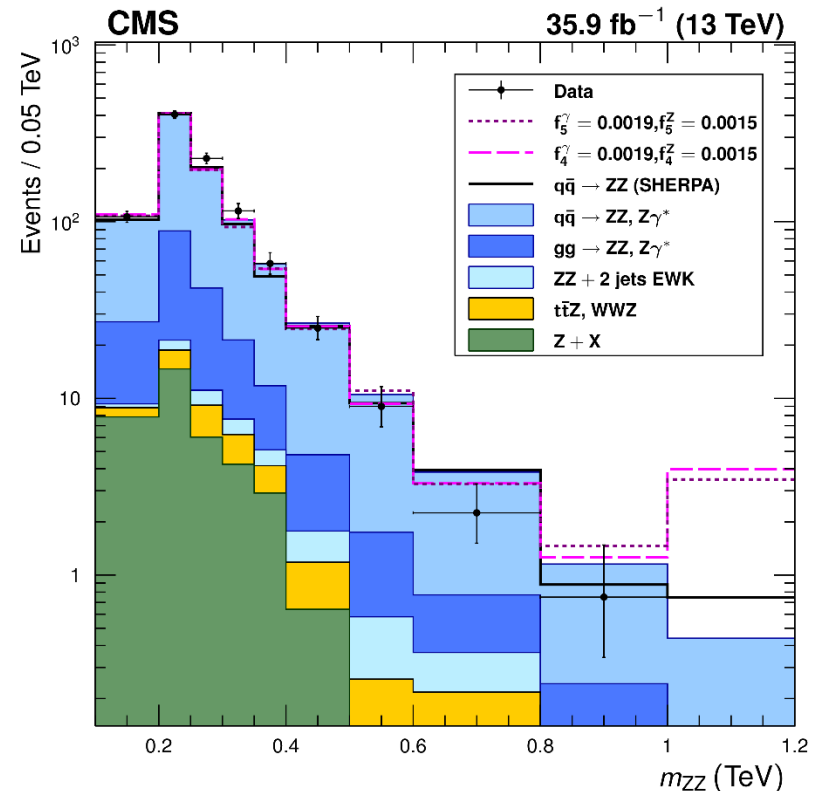
$$\sigma_{\text{fid}}(\text{pp} \rightarrow \text{ZZjj}(\text{EWK}) \rightarrow 4\ell\text{jj}) = 0.40_{-0.16}^{+0.21} (\text{stat})_{-0.09}^{+0.13} (\text{syst}) \text{ fb}$$

- Consistent with SM prediction of $0.29_{-0.03}^{+0.02} \text{ fb}$
- This is the first ZZ VBS search; there are no previous results to compare to



aTGC Search

- Nonzero ZZZ and ZZ γ couplings would increase ZZ cross section at high mass
- Strategy to obtain continuous limits: generate samples at several aTGC working points, fit yields in each $m_{4\ell}$ bin to a polynomial of 2nd order in both $f_{4(5)}^Y$ and $f_{4(5)}^Z$
- Set limits at 95% CL

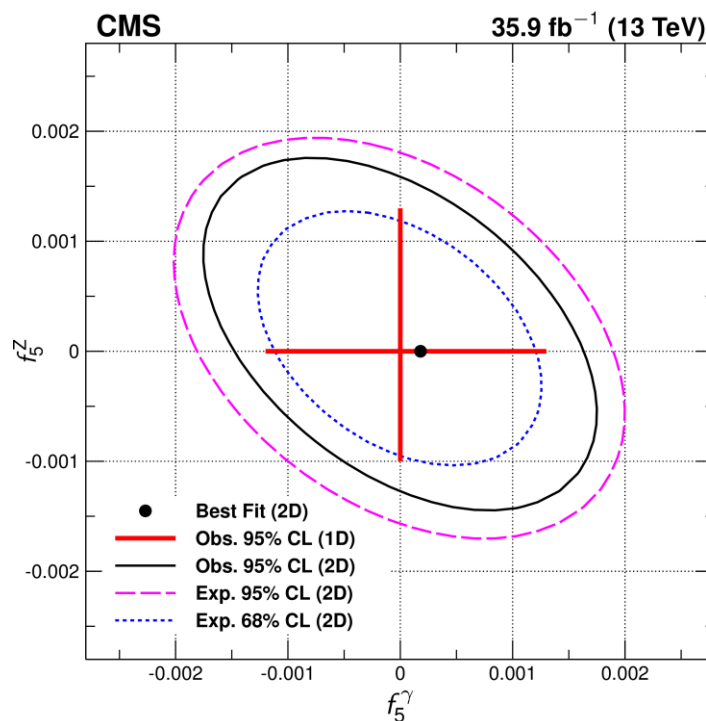
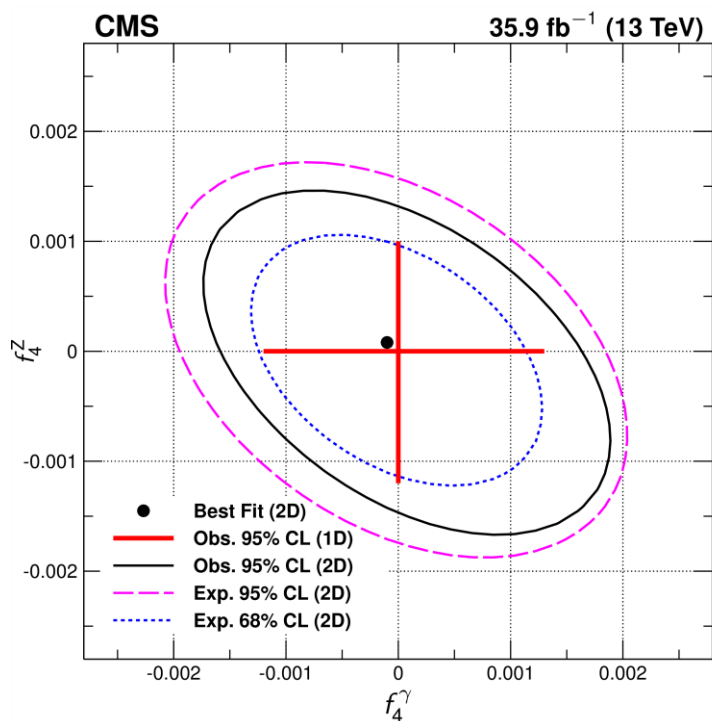


aTGC Limits

- ~2x better than previous best (also from CMS)

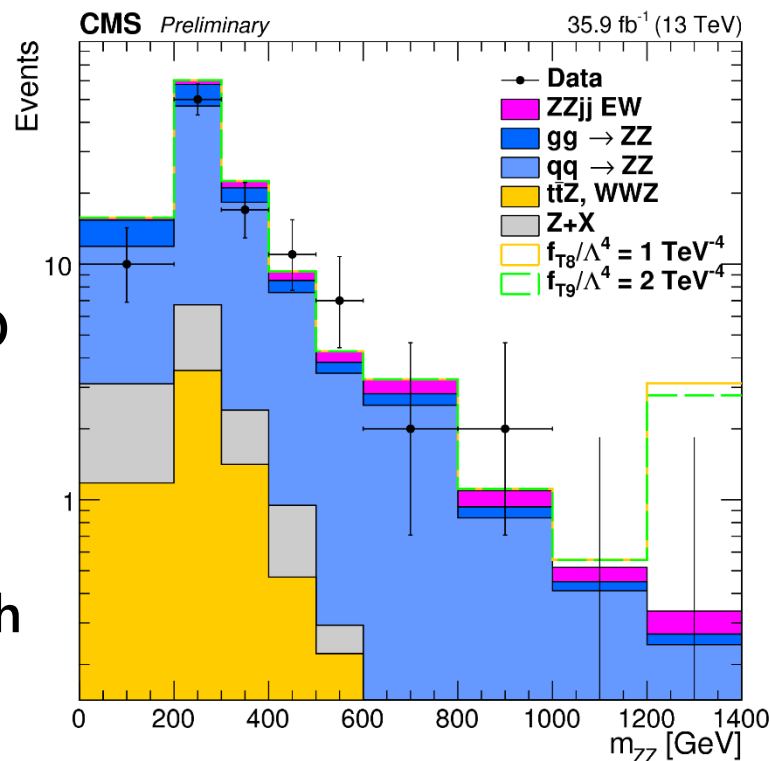
$$-0.0012 < f_4^Z < 0.0010, \quad -0.0010 < f_5^Z < 0.0013$$

$$-0.0012 < f_4^\gamma < 0.0013, \quad -0.0012 < f_5^\gamma < 0.0013$$



aQGC Search

- Sensitivity in ZZjj phase space
- Signal samples at several working points obtained with matrix element reweighting of MadGraph5_aMC@NLO signal samples
- Unitarization: set cutoff scale Λ as the energy at which the observed 95% CL limit violates unitarity (determined with VBFNLO generator)
 - Report limits on f/Λ^4
- Yield parameterization and limit setting done with same methods as aTGCs
 - 1D only



aQGC Limits

- Most sensitive limits on all parameters when published

$$-0.46 < f_{T0}/\Lambda^4 < 0.44 \text{ TeV}^{-4} \quad \text{Previous: } [-3.4, 2.9] \text{ (ATLAS } Z\gamma)$$

$$-0.61 < f_{T1}/\Lambda^4 < 0.61 \text{ TeV}^{-4} \quad \text{Previous: } [-2.1, 2.4] \text{ (CMS } W^\pm W^\pm)$$

$$-1.2 < f_{T2}/\Lambda^4 < 1.2 \text{ TeV}^{-4} \quad \text{Previous: } [-5.9, 7.1] \text{ (CMS } W^\pm W^\pm)$$

$$-0.84 < f_{T8}/\Lambda^4 < 0.84 \text{ TeV}^{-4} \quad \text{Previous: } [-1.8, 1.8] \text{ (CMS/ATLAS } Z\gamma)$$

$$-1.8 < f_{T9}/\Lambda^4 < 1.8 \text{ TeV}^{-4} \quad \text{Previous: } [-3.9, 3.9] \text{ (ATLAS } Z\gamma)$$

- First limits set with ZZ search
- Limits on f_{T0} , f_{T1} , and f_{T2} have been superseded by tighter limits set by CMS $W^\pm W^\pm$ analysis

CONCLUSIONS

Summary and Outlook

Summary

- Several studies of four-lepton final states in proton-proton collisions at $\sqrt{s} = 13$ TeV have been performed on data collected by the CMS experiment at the LHC
 - Inclusive and differential ZZ cross section measurements
 - Measurement of the $Z \rightarrow 4\ell$ branching fraction
 - A search for ZZ vector boson scattering
 - Searches for anomalous triple and quartic gauge couplings
- **All results are consistent with the standard model**
 - Excess consistent with VBS at 2.7σ level
 - World best limits on many anomalous couplings parameters

Outlook

- LHC may run at 14 TeV soon, but no further energy increases are expected in the near future
 - Progress must come from more precise measurements of quantities we have access to now
- Rough estimate: as much data in 2017 as 2016, as much in 2018 as 2016+2017
- Inclusive cross section uncertainties will improve only marginally; they will be systematics dominated after 2017
- Most bins in differential cross sections are statistically dominated and should improve by $\sim 2x$ after 2018
- VBS and aGC searches driven by low-occupancy tails, should improve by more than $2x$

Backup

Anomalous Quartic Gauge Coupling Details

- Treat SM as a low-energy effective theory and add terms with new dimension-8 operators to represent new physics
 - Lowest dimension that gives aQGC without aTGC
- Parameterize search in coefficients of these new terms
- Non-unitary without model-dependent form factor or cutoff

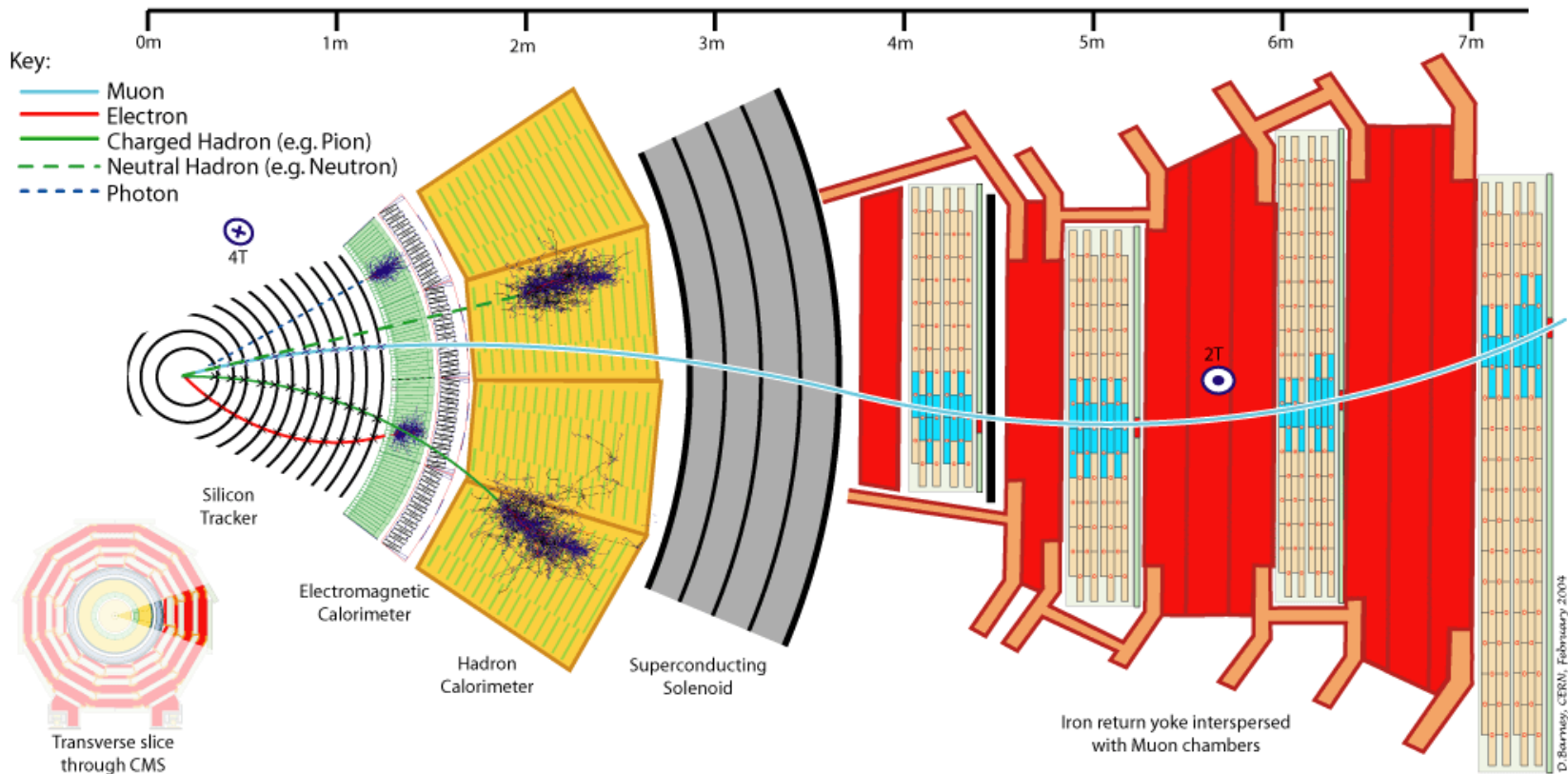
		Couplings modified								
Terms		WWWW	WWZZ	ZZZZ	WWZ γ	WW $\gamma\gamma$	ZZZ γ	ZZ $\gamma\gamma$	Z $\gamma\gamma\gamma$	$\gamma\gamma\gamma\gamma$
Longitudinal + transverse	$f_{M0}, f_{M1}, f_{M6}, f_{M7}$	✓	✓	✓	✓	✓	✓	✓		
	$f_{M2}, f_{M3}, f_{M4}, f_{M5}$		✓	✓	✓	✓	✓	✓		
Transverse	f_{T0}, f_{T1}, f_{T2}	✓	✓	✓	✓	✓	✓	✓	✓	✓
	f_{T5}, f_{T6}, f_{T7}		✓	✓	✓	✓	✓	✓	✓	✓
	f_{T8}, f_{T9}			✓			✓	✓	✓	✓

Table modified from [here](#)

All Trigger Paths

Muon triggers
HLT_IsoMu20_v*
HLT_IsoTkMu20_v*
HLT_IsoMu22_v*
HLT_IsoTkMu22_v*
HLT_IsoMu24_v* ††
HLT_IsoTkMu24_v* ††
HLT_Mu50_v*
HLT_Mu45_eta2p1_v*
HLT_Mu17_TrkIsoVVL_Mu8_TrkIsoVVL_v*
HLT_Mu17_TrkIsoVVL_TkMu8_TrkIsoVVL_v*
HLT_Mu17_TrkIsoVVL_Mu8_TrkIsoVVL_DZ_v*
HLT_Mu17_TrkIsoVVL_TkMu8_TrkIsoVVL_DZ_v*
HLT_TripleMu_12_10_5_v*
Electron triggers
HLT_Ele25_eta2p1_WPTight_Gsf_v*
HLT_Ele27_WPTight_Gsf_v*
HLT_Ele27_eta2p1_WPLoose_Gsf_v*
HLT_Ele32_eta2p1_WPTight_Gsf_v* ††
HLT_Ele17_Ele12_CaloIdL_TrackIdL_IsoVL_DZ_v*
HLT_Ele23_Ele12_CaloIdL_TrackIdL_IsoVL_DZ_v*
HLT_DoubleEle33_CaloIdL_GsfTrkIdVL_v* * †
HLT_DoubleEle33_CaloIdL_GsfTrkIdVL_MW_v* †
HLT_DoubleEle33_CaloIdL_MW_v* †
HLT_Ele16_Ele12_Ele8_CaloIdL_TrackIdL_v*
Cross triggers
HLT_Mu8_TrkIsoVVL_Ele17_CaloIdL_TrackIdL_IsoVL_v* * †
HLT_Mu8_TrkIsoVVL_Ele23_CaloIdL_TrackIdL_IsoVL_v* * †
HLT_Mu8_TrkIsoVVL_Ele23_CaloIdL_TrackIdL_IsoVL_DZ_v* ††
HLT_Mu17_TrkIsoVVL_Ele12_CaloIdL_TrackIdL_IsoVL_v* * †
HLT_Mu23_TrkIsoVVL_Ele12_CaloIdL_TrackIdL_IsoVL_v* * †
HLT_Mu23_TrkIsoVVL_Ele12_CaloIdL_TrackIdL_IsoVL_DZ_v* ††
HLT_DiMu9_Ele9_CaloIdL_TrackIdL_v*
HLT_Mu8_DiEle12_CaloIdL_TrackIdL_v*
Integrated luminosity
35.9 fb ⁻¹
* 2016B-F
†2016G
†2016H

Particle Detection in CMS



Final State Radiation Recovery

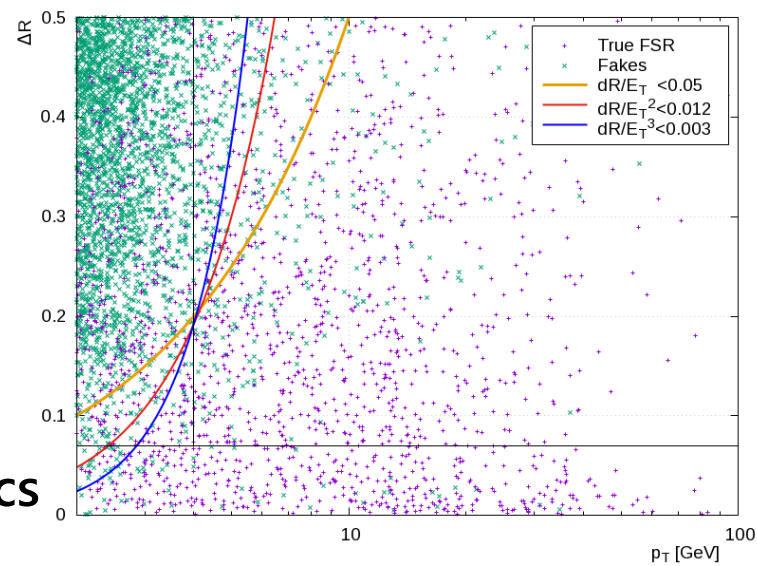
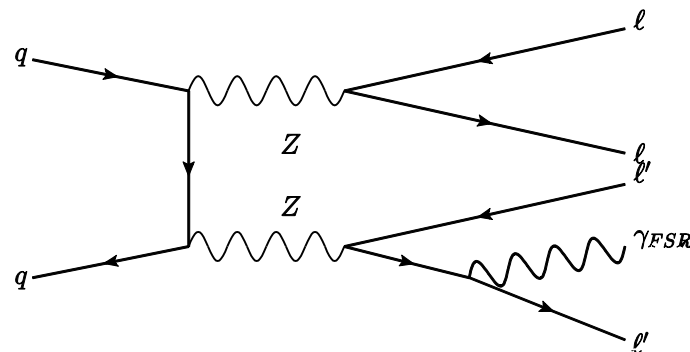
- Leptons may radiate photons, leading to mismeasurement of isolation and Z mass

- FSR photons harder, closer than π^0 etc.

- We associate a photon with the nearest lepton if

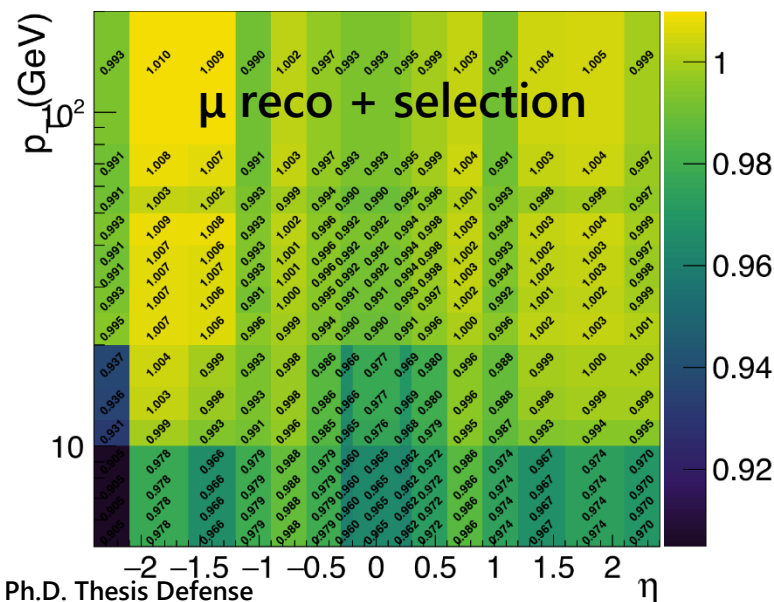
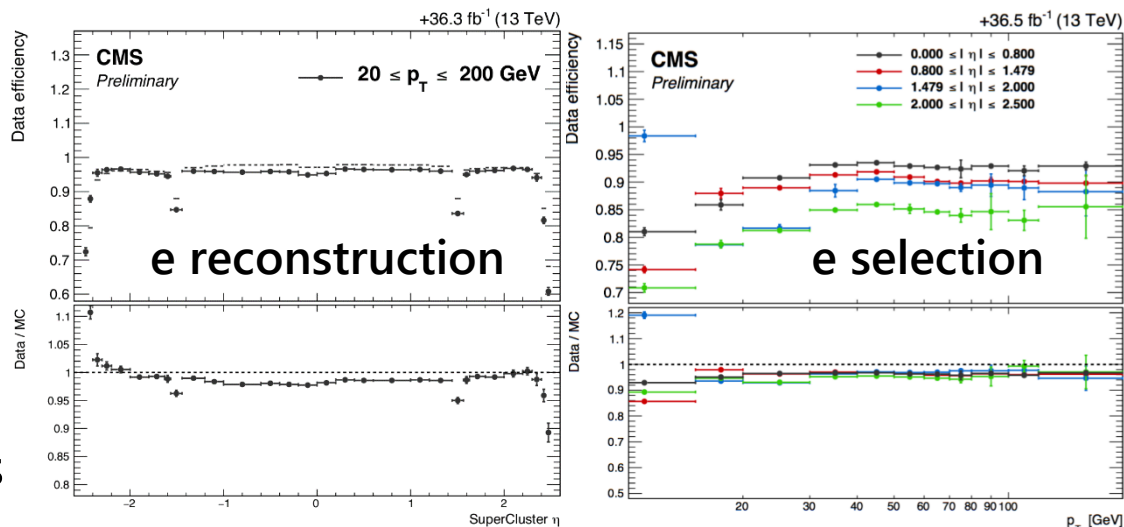
- $E_T^\gamma > 2 \text{ GeV}$, $|\eta_\gamma| < 2.4$
- $R_{\text{Iso}}^\gamma < 1.8$ (no PU correction)
- $\Delta R(\ell, \gamma) < 0.5$
- $\frac{\Delta R}{E_T^\gamma} < 0.012$

- Include accepted photon in 4ℓ kinematics
- Exclude them from lepton isolation calculations



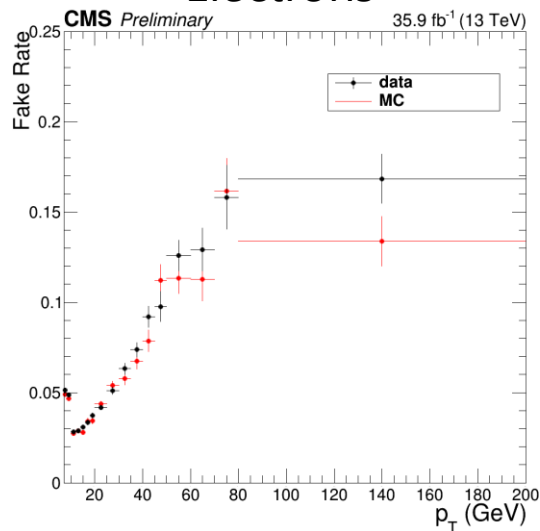
Tag and Probe Scale Factors

- To improve data-MC agreement, efficiency scale factors are found with a tag-and-probe method
 - Use Z mass constraints to identify leptons
 - Check what fraction pass each cut in data and MC
 - Shape fits to find nonprompt contamination
- Scale factors calculated and applied to Monte Carlo in bins of p_T^ℓ and η_ℓ

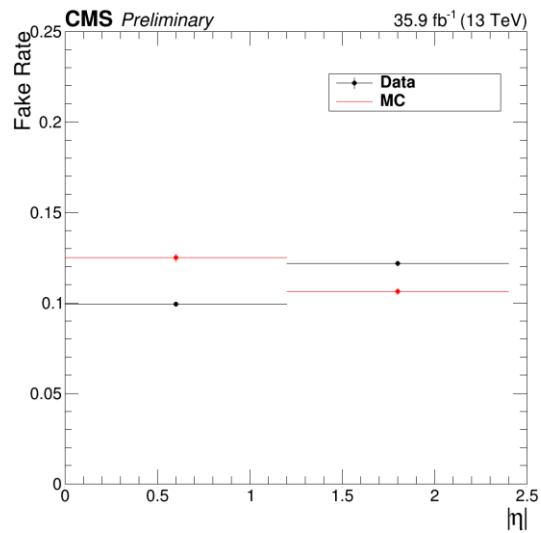
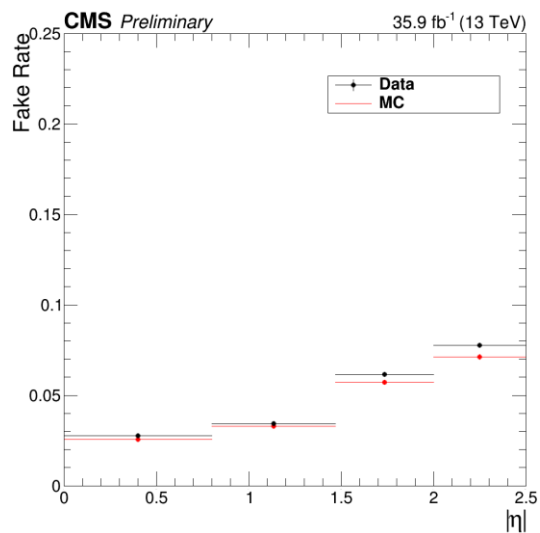
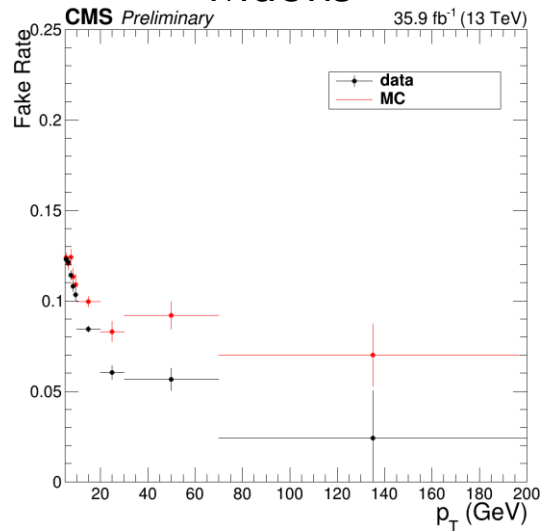


Fake Rates

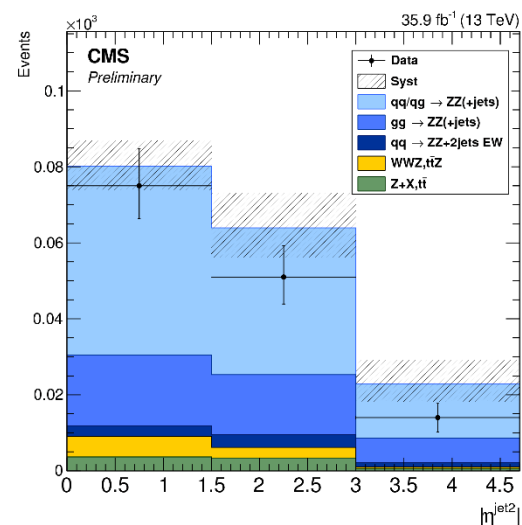
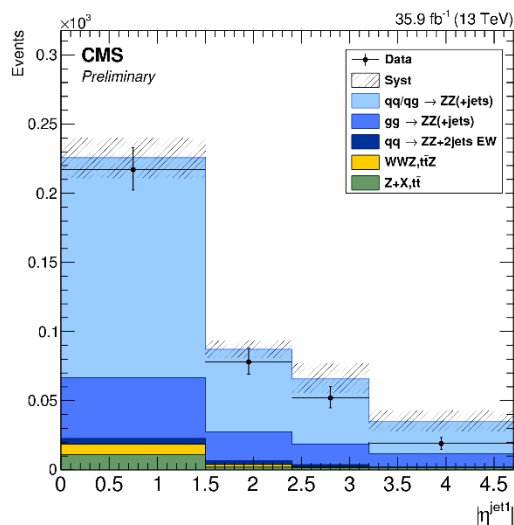
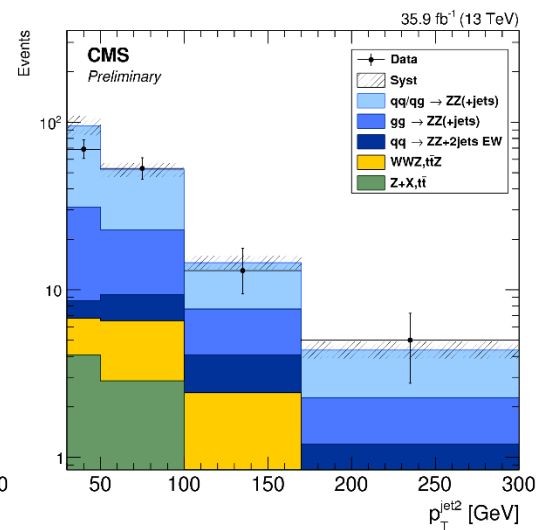
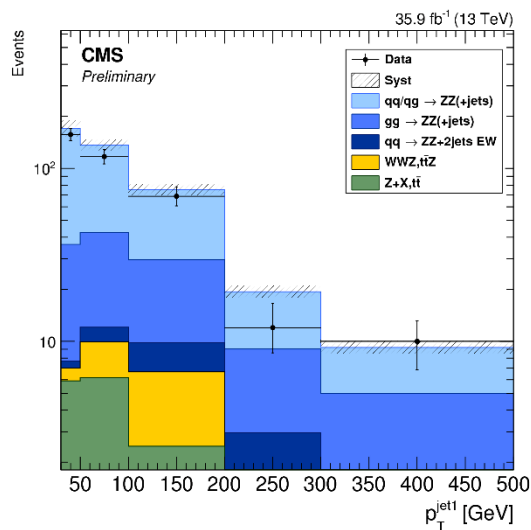
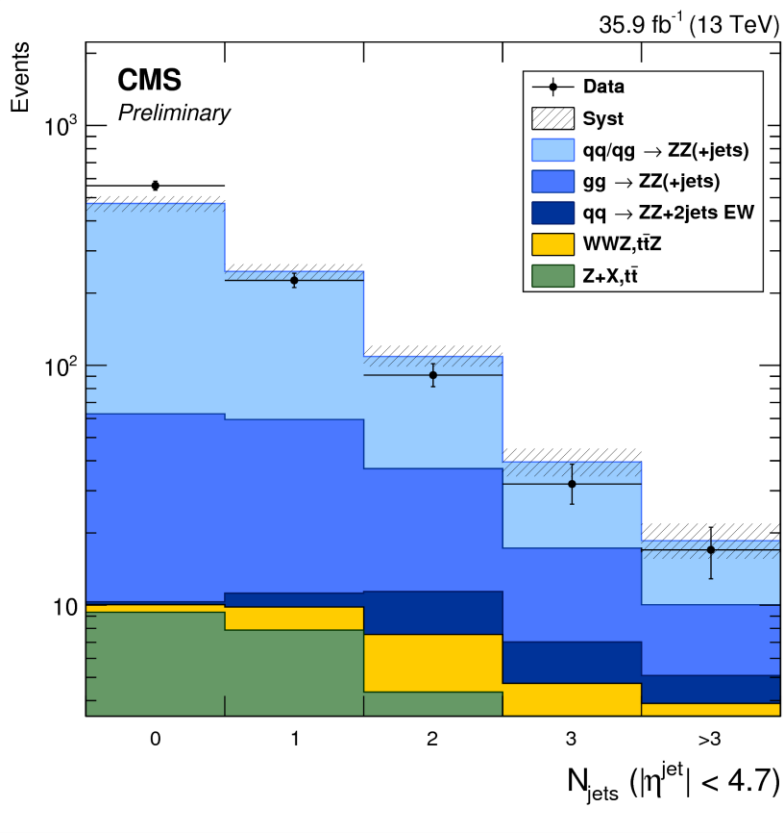
Electrons



Muons



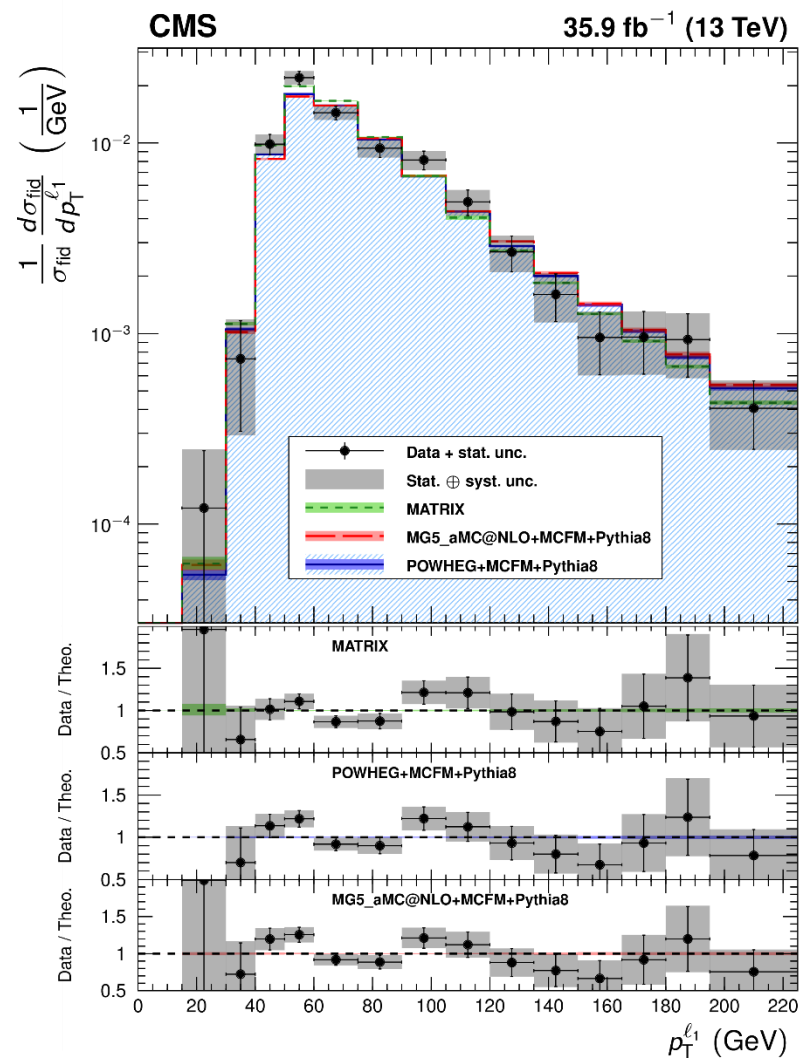
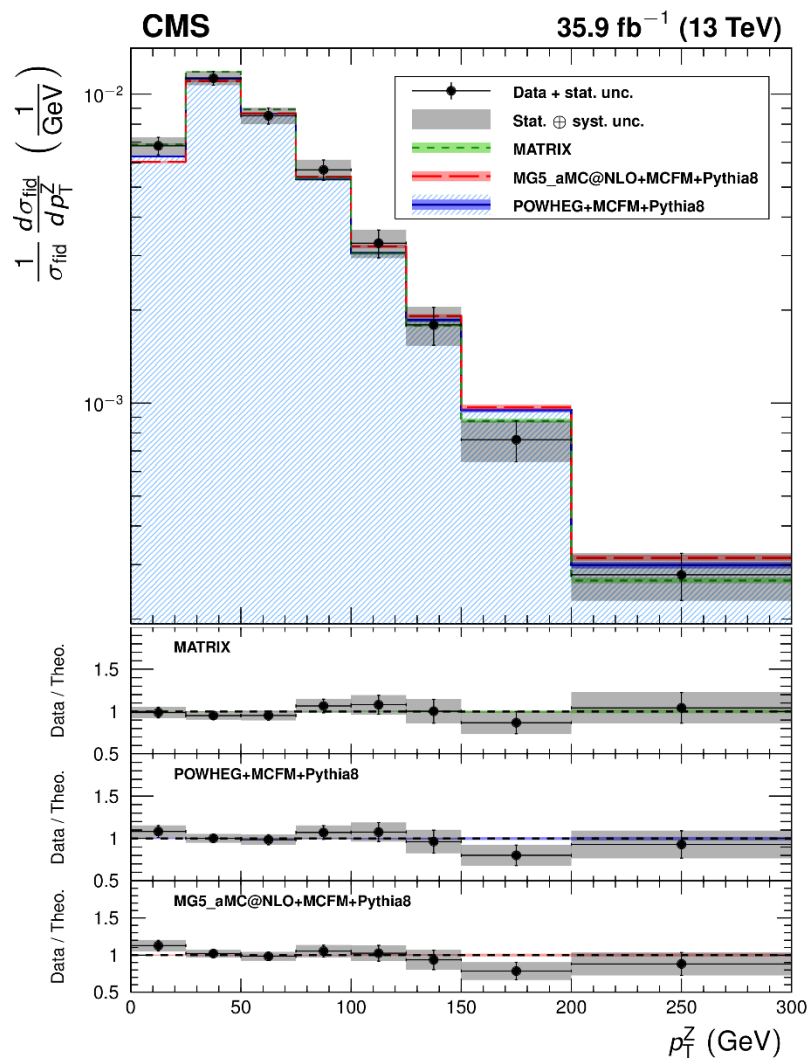
ZZ + Jets



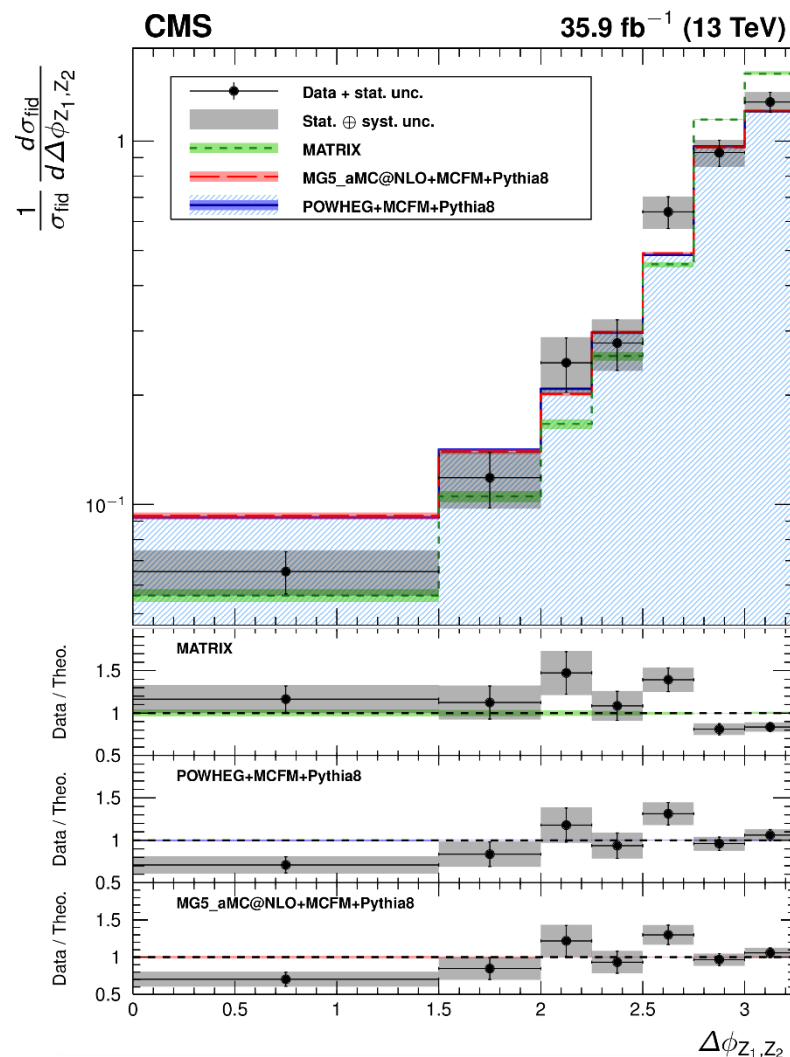
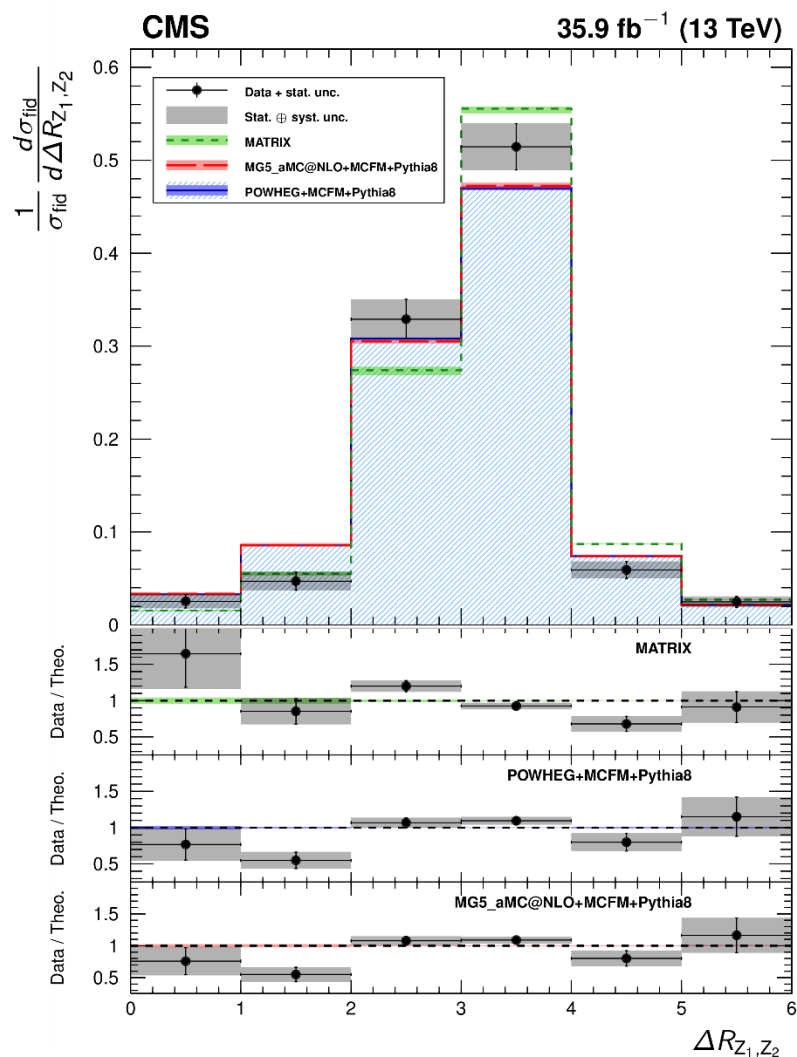
Unfolding

- We want to compare our results to theory at generator level, and obtain differential cross sections
- Our acceptance and efficiency aren't 100%, and experimental effects smear observables
- Solving the “unfolding”/deconvolution problem of working backwards to the true underlying distribution is difficult and often ill-posed even when the smearing function is known
- D'Agostini (et al) method: iteratively undo the expected (by Bayes' theorem) smearing into each bin, weighted by the observed data in the bin, starting from a flat prior or MC prediction
- Regularization: prevent overfitting by stopping after n iterations (we use 4)

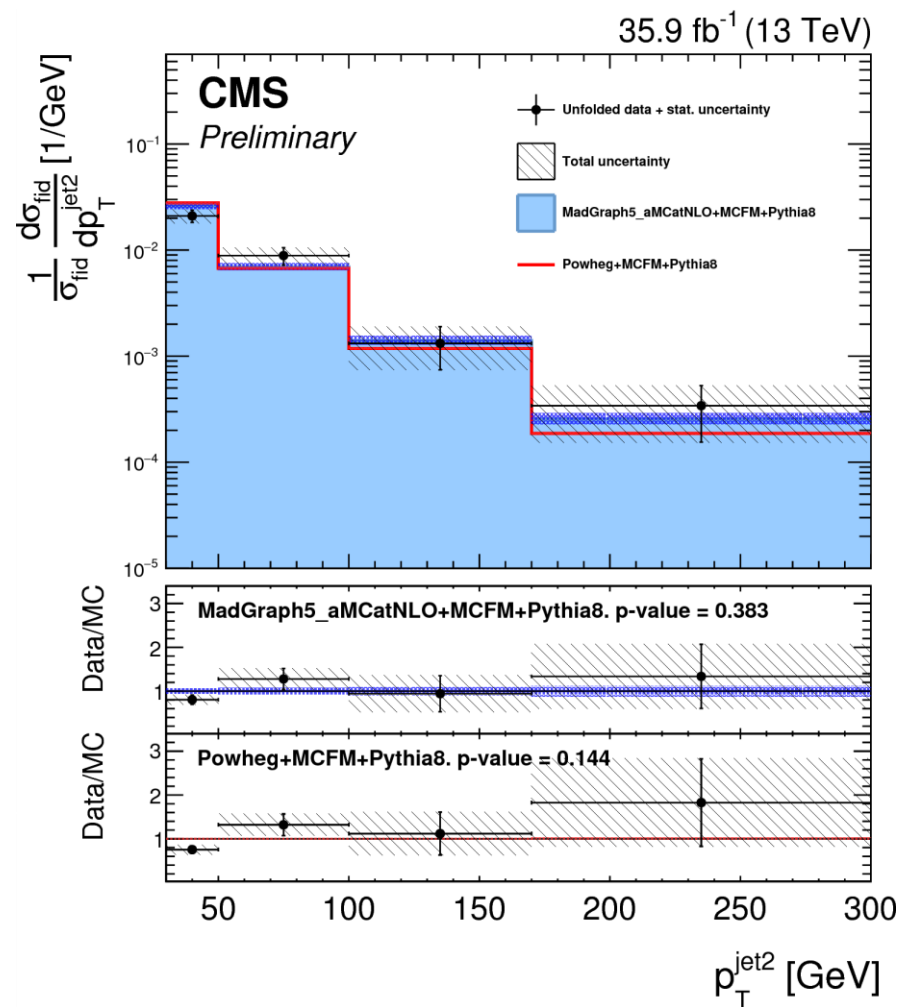
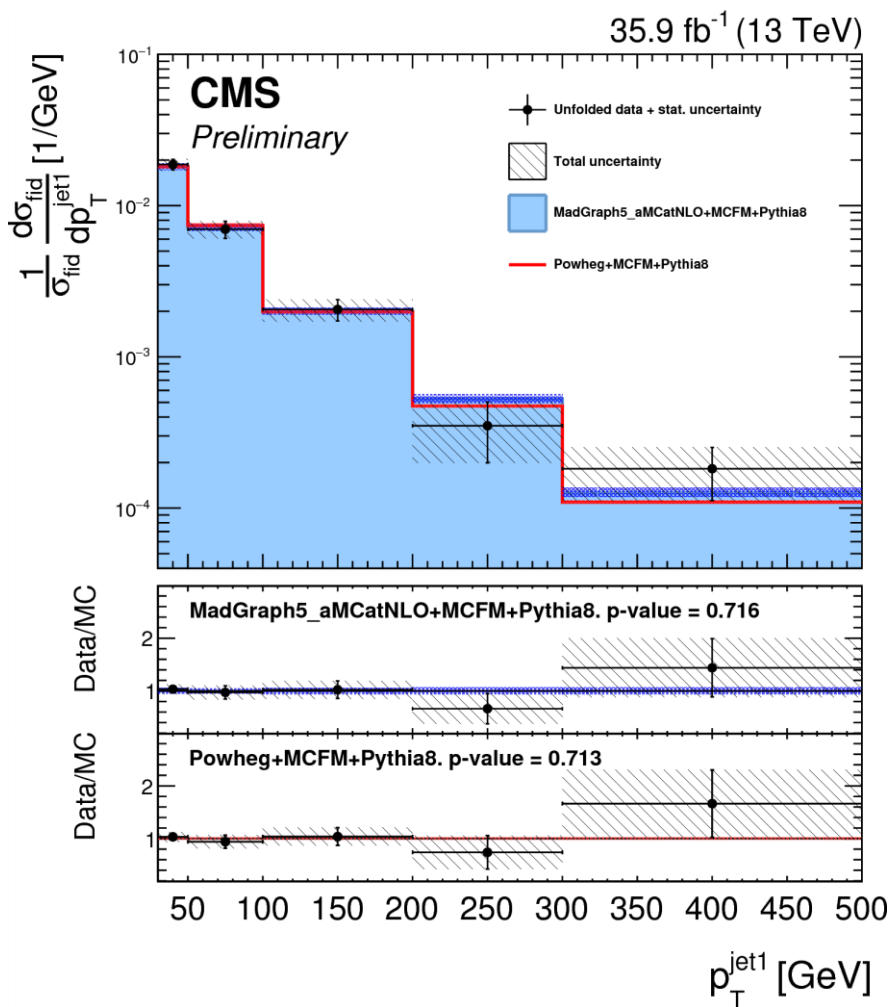
Measured Differential Cross Sections



Measured Differential Cross Sections

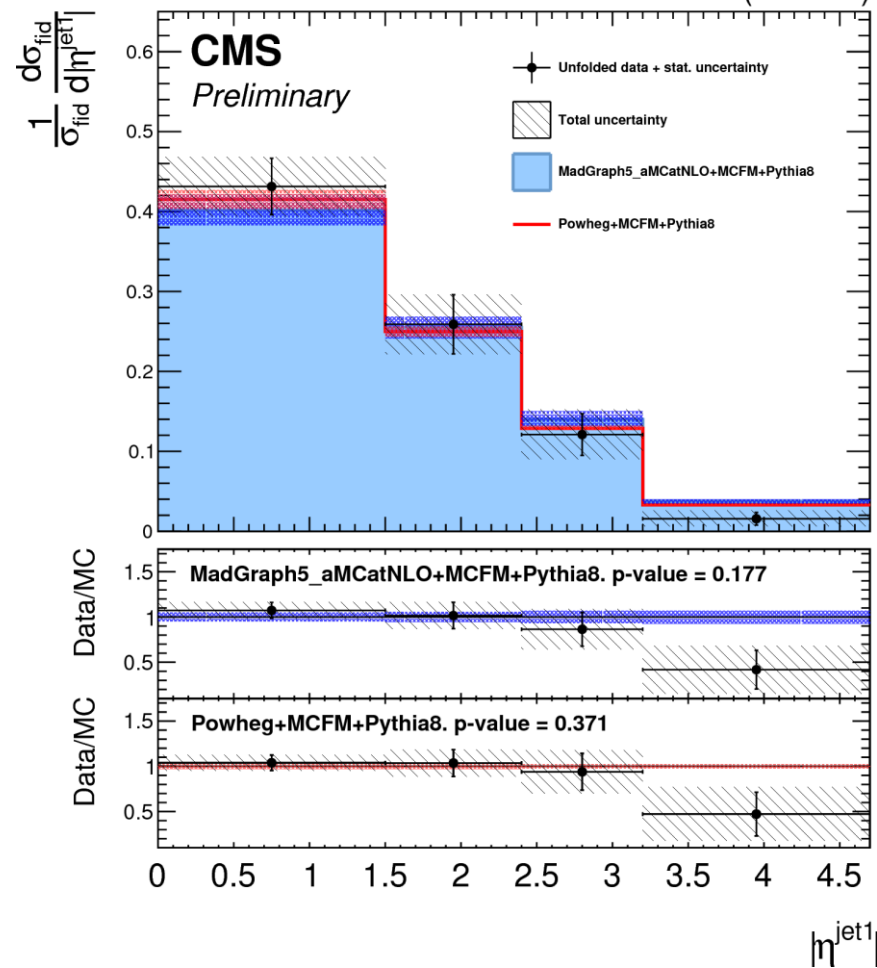


Measured Differential Cross Sections

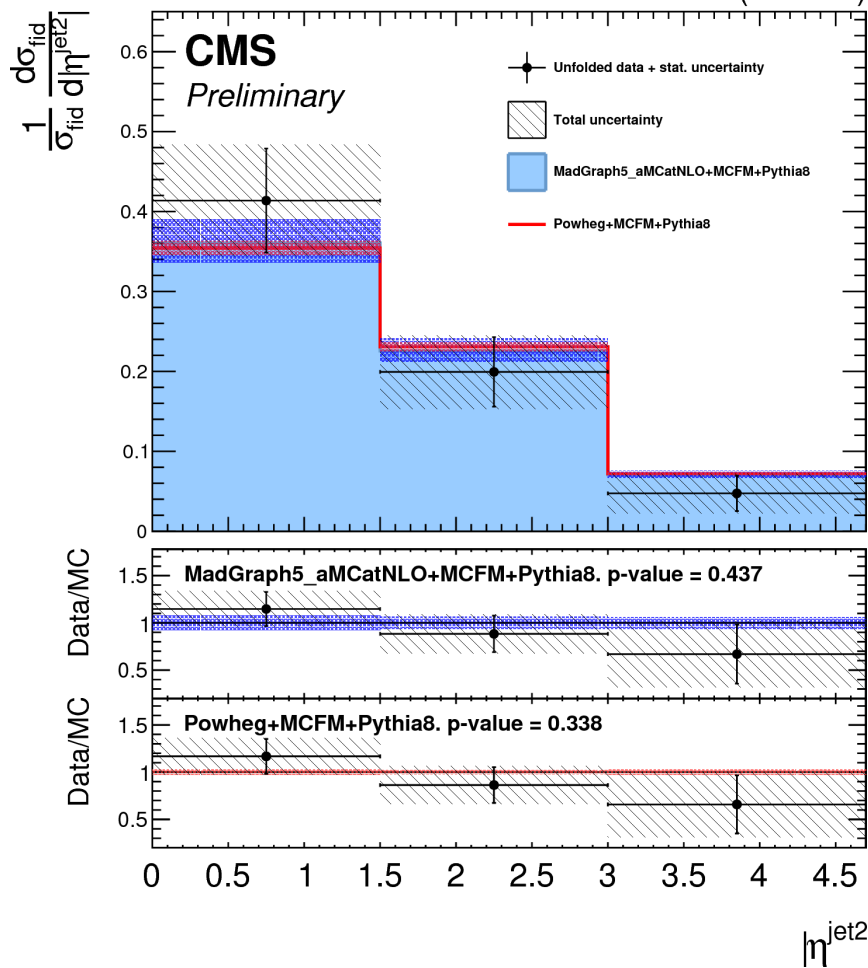


Measured Differential Cross Sections

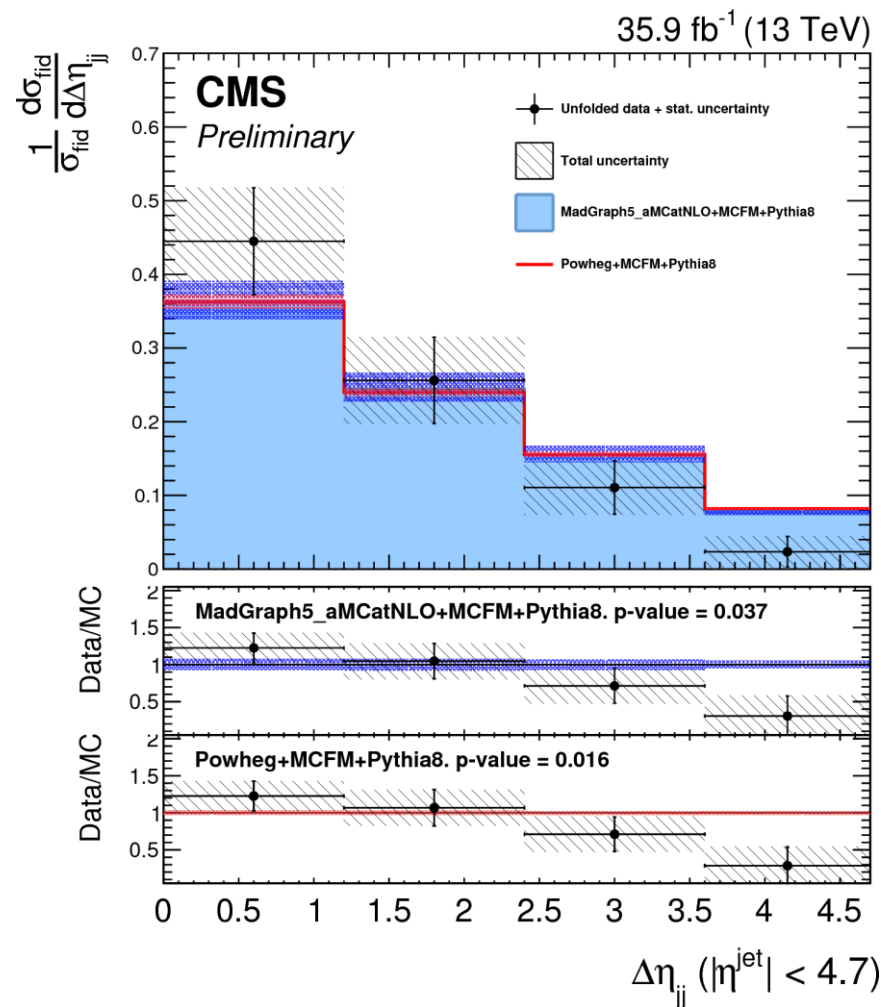
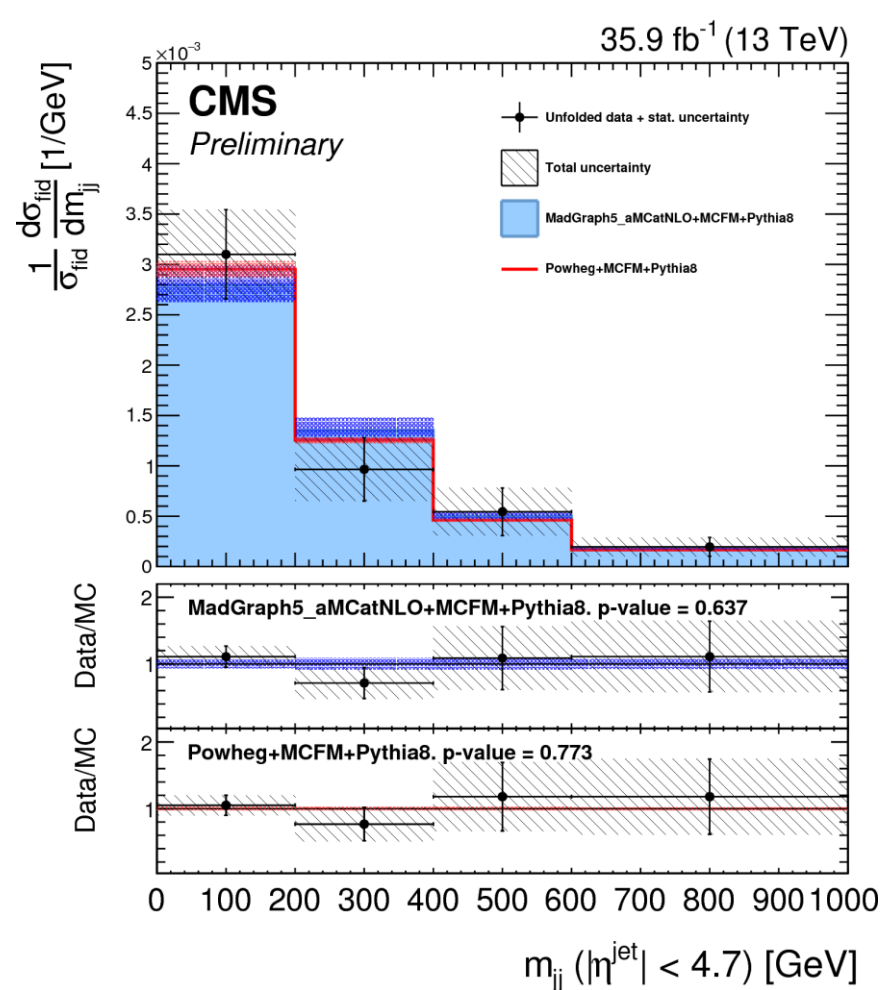
35.9 fb⁻¹ (13 TeV)



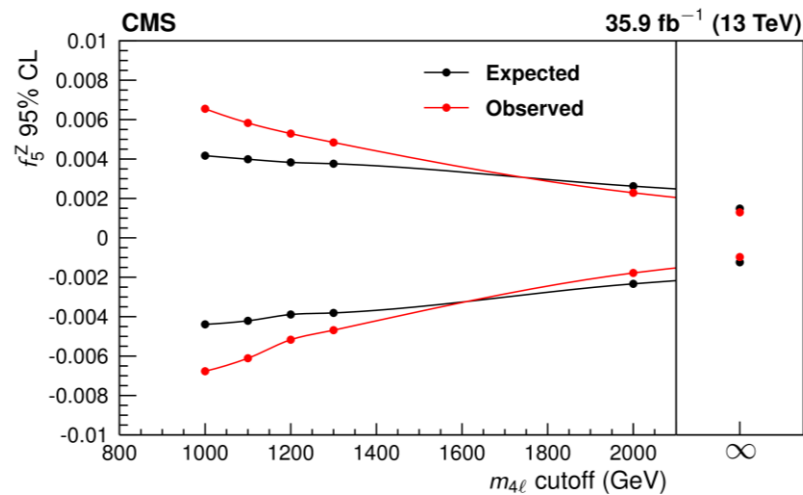
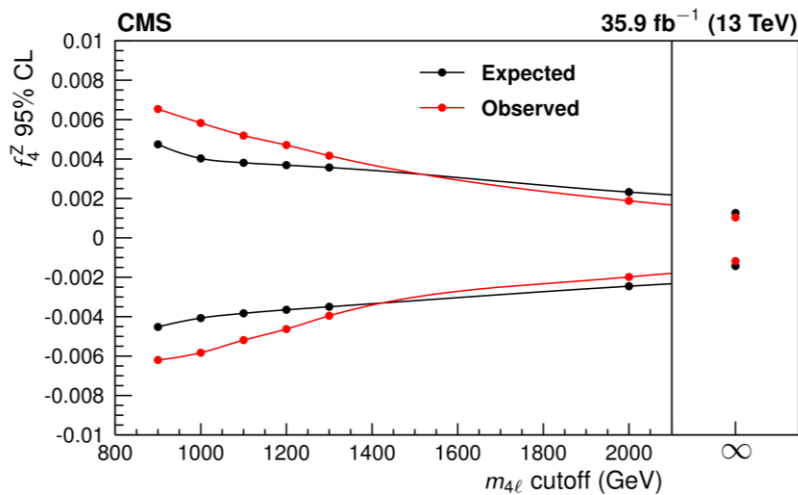
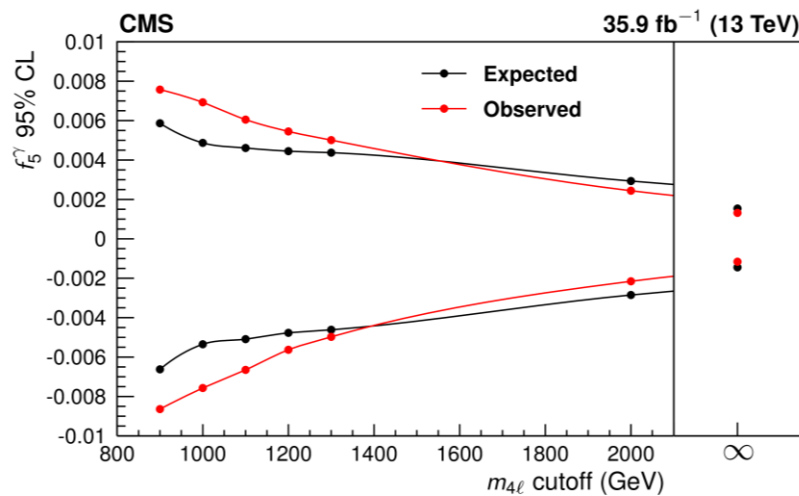
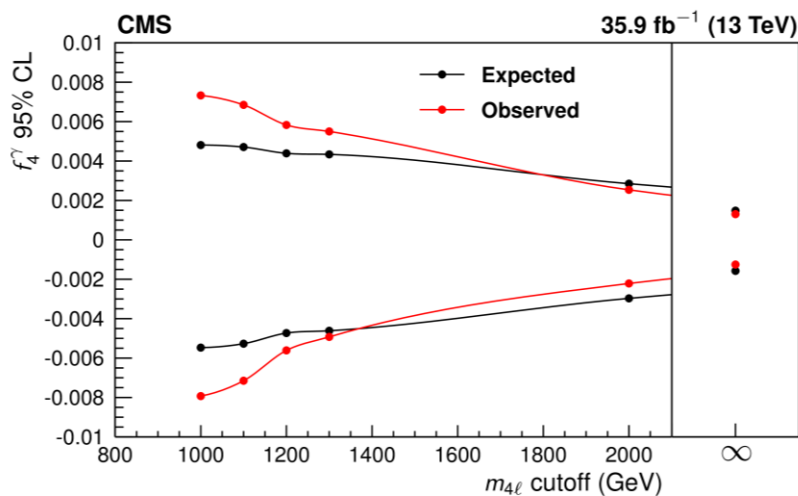
35.9 fb⁻¹ (13 TeV)



Measured Differential Cross Sections

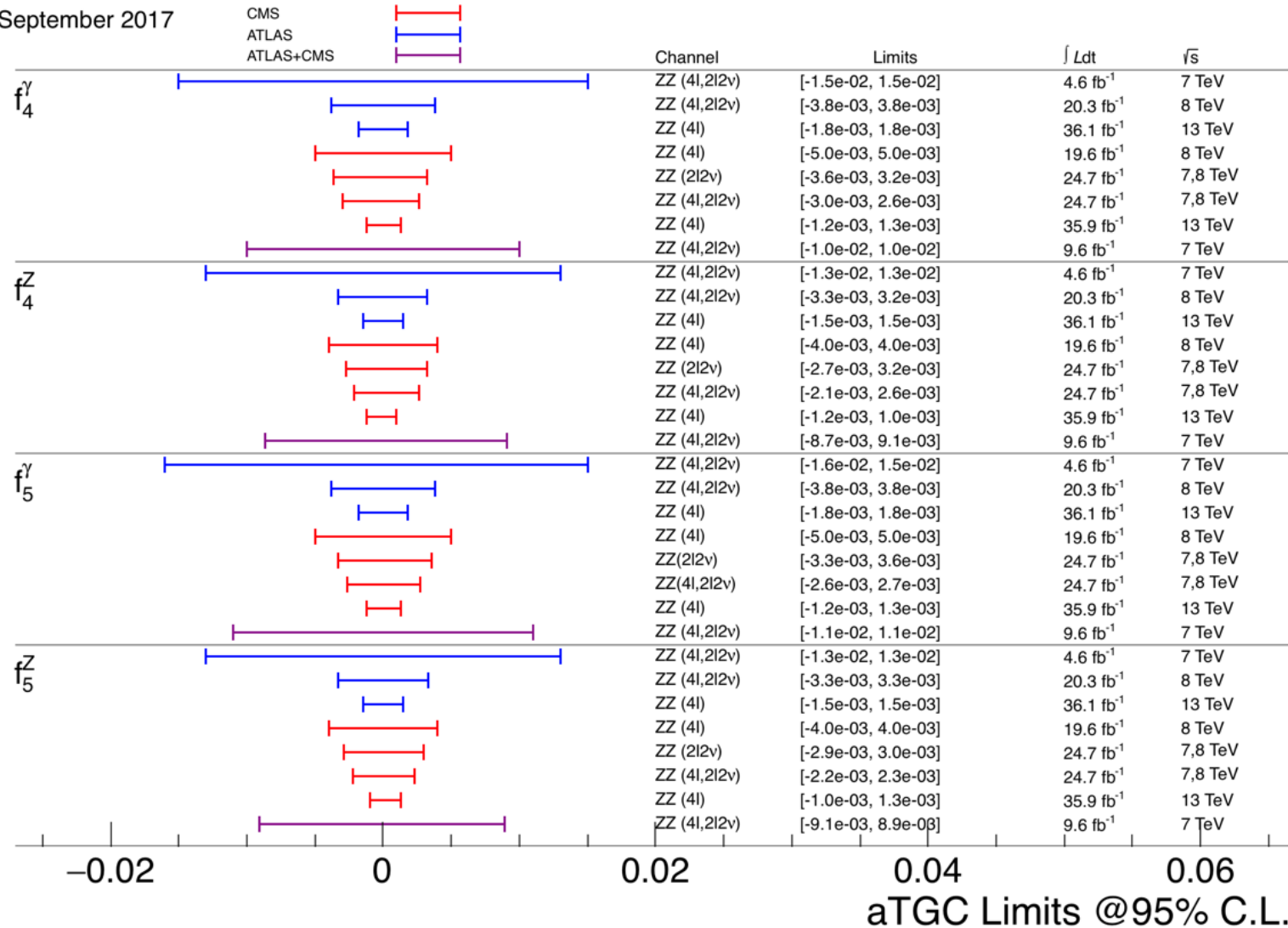


aTGC Limits as a Function of $m_{4\ell}$ Cutoff

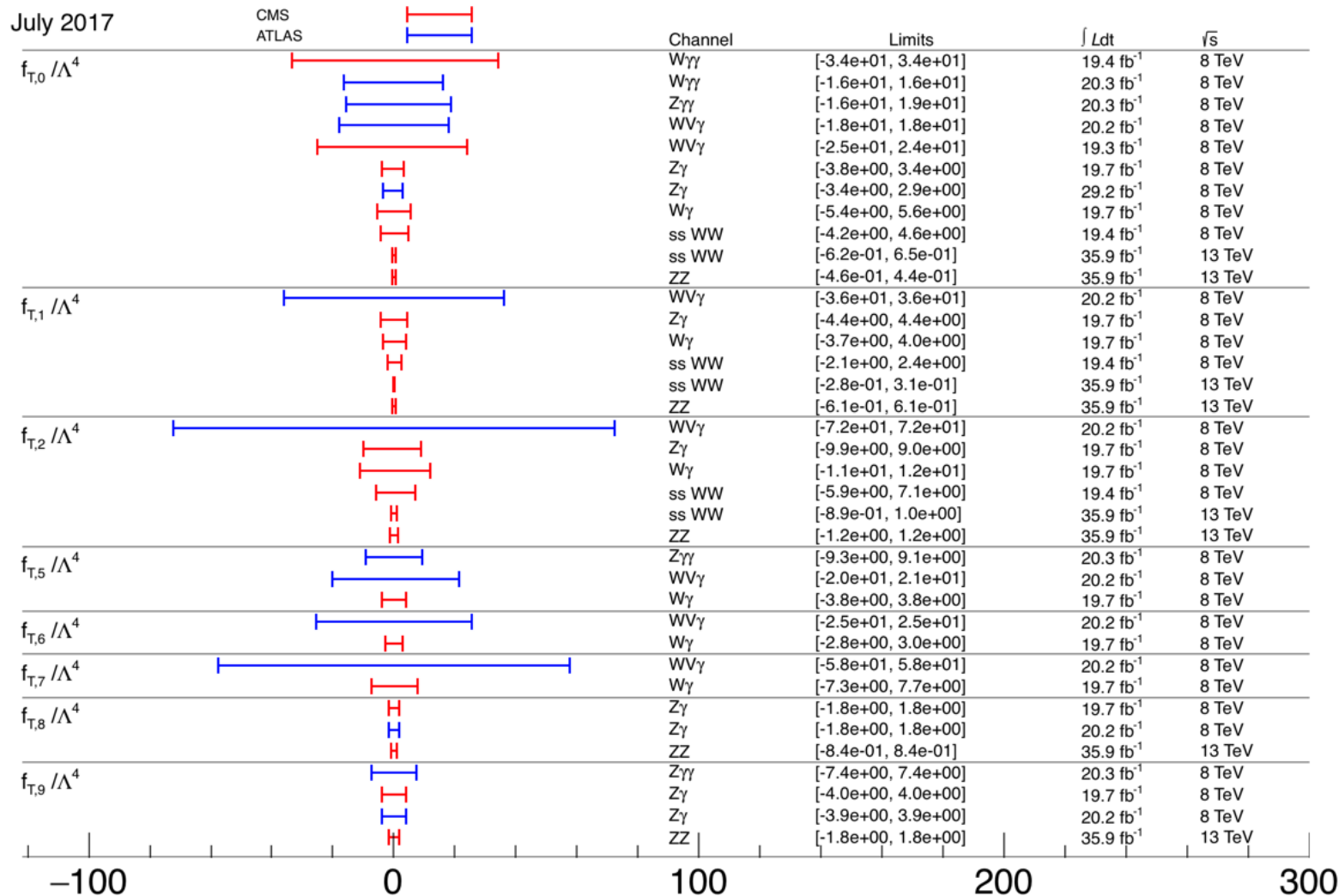


All Neutral aTGC Limits

September 2017



All Relevant aQGC Limits



aQGC Limits @95% C.L. [TeV^{-4}]