

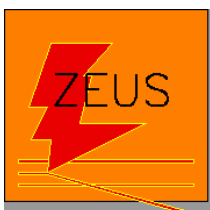


Ph.D. Thesis Defense

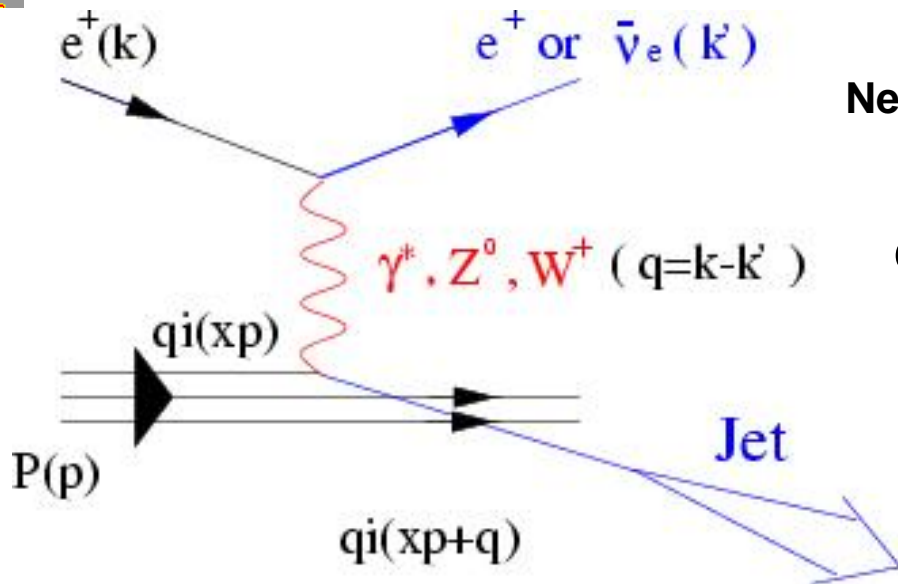


Three Jet Production in Neutral Current Deep Inelastic Scattering with ZEUS at HERA

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University of Wisconsin



Deep Inelastic Kinematics



Neutral Current: $e^+p \rightarrow e^+ X (\gamma, Z)$

$q \equiv k - k'$: momentum transfer

$Q^2 \equiv -q^2$: exchanged boson virtuality

$x \equiv Q^2 / 2pq$: momentum fraction carried by struck quark (QPM)

$s = (p+k)^2$: center of mass energy (fixed value)

$y \equiv p \cdot q / p \cdot k$: fraction of positron energy transferred (in proton rest frame)

$Q^2 = x \cdot y \cdot s$: kinematics relation (two degrees of freedom)



Why DIS?



“inelastic”:

- proton breaks up -> quarks...

“deep”: photon $\lambda = 1/|q| \sim 2xM_p/Q^2$

- large momentum transfer -> “small distance”

“deep inside proton”:

- probe internal structure of proton



DIS Cross Section



$$\frac{d^2\sigma(e^\pm p \rightarrow e^\pm X)}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} [Y_+ F_2(x, Q^2) \mp Y_- x F_3(x, Q^2) - y^2 F_L(x, Q^2)]$$
$$Y_\pm = 1 \pm (1-y)^2$$

Proton Structure Functions

$F_2(x, Q^2)$: Interaction between transversely polarized photons & spin 1/2 quarks ; related to the quark and anti-quark densities inside proton

$F_L(x, Q^2)$: Interaction between longitudinally polarized photons & the quarks with transverse momentum; $F_L = F_2 - 2xF_1$

$F_3(x, Q^2)$: Parity-violating structure function from Z^0 exchange;
Contribution small for $Q^2 \ll M_Z^2 = 8100 \text{ GeV}^2$



Naïve Quark Parton Model



- Proton is made of “point-like” partons
- No interaction between the partons
- Structure functions do not depend on Q^2 since “point-like” structure cannot be “probed” any further

Bjorken Scaling (x) dependence:

$$F_i(x, Q^2) \rightarrow F_i(x)$$

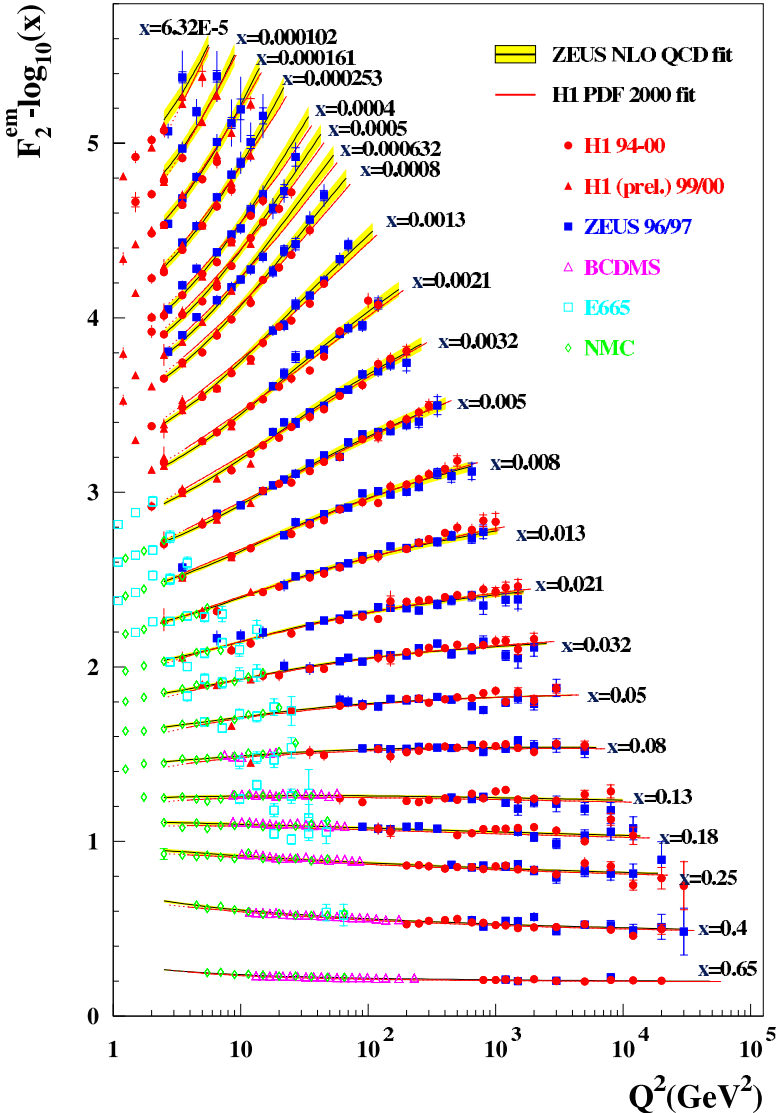
$$F_L = 0$$



Scaling Violation



HERA F_2



F_2 has a Q^2 dependence:

$$F_2(x, Q^2) = \sum_q e_q^2(Q^2) \cdot (xq(x, Q^2) + x\bar{q}(x, Q^2))$$

F_2 : sum of the momentum distributions of the quarks and anti-quarks weighted by charge squared

at small x ,
strong rise of F_2 with Q^2
what happened at small x ?



Proton: A QCD Story

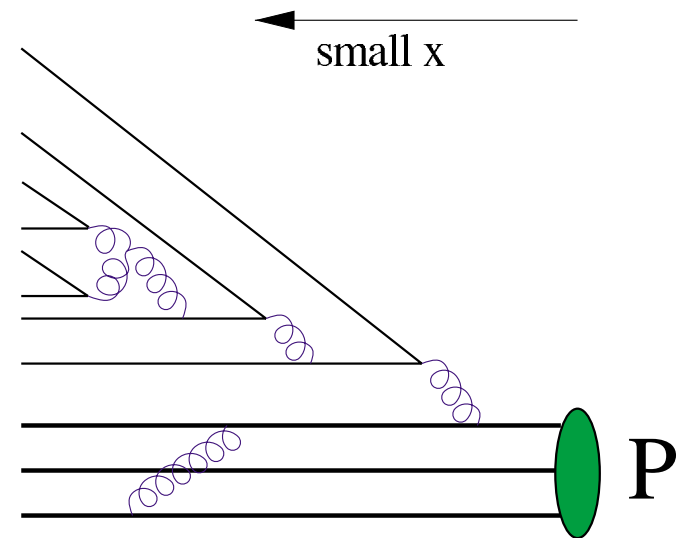


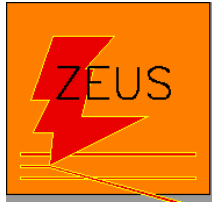
Quarks radiate and absorb **gluons**
gluons split into quark anti-quark pairs:
A “sea” of quark anti-quark pairs,
No longer “point-like” structure

The structure functions gain a Q^2
dependence: **Scaling Violation**

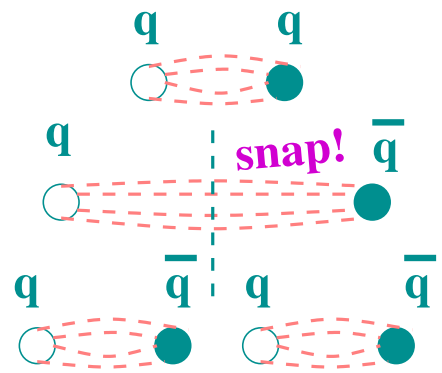
Quark and quark interactions mediated
by gluons: generate transverse
momentum: **Non zero F_L**

*at small x , gluon-driven
increase of “sea quarks”*

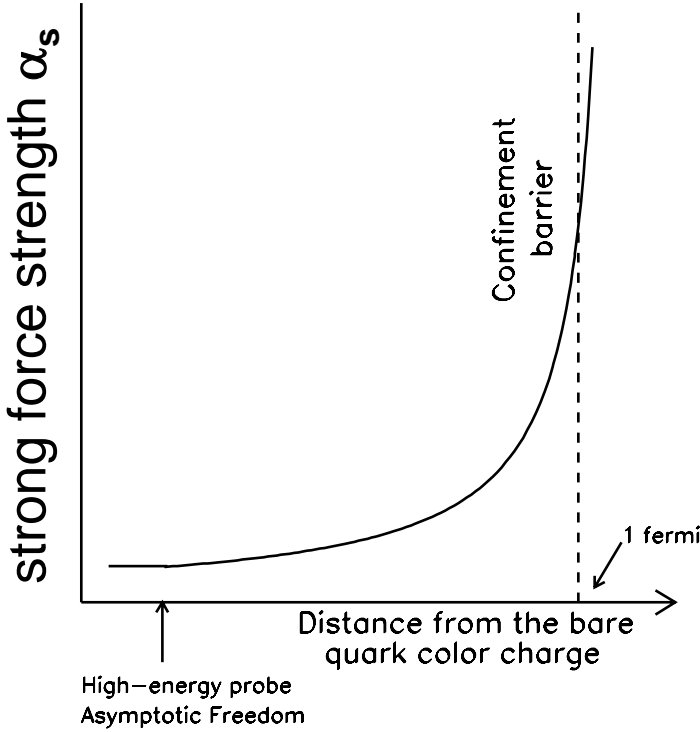




QCD: Color Confinement and Asymptotic Freedom



Quarks and gluons cannot be observed as free particles: they are bound by the **strong force** to form colorless “hadrons”; The energy injected into a hadron creates new quark antiquark pairs and hence additional hadrons



α_s indicates the strength of the strong interaction between quarks and gluons: strong coupling “constant”
 α_s decreases at short distances and increases rapidly at large distances; In the very short distance (high energy), quarks and gluons are treated as free:
Asymptotic Freedom



Perturbative QCD and Renormalization Scale



QCD only calculable at small distances:
approximate solution by perturbative expansion
of terms proportional to different orders of α_s :

$$d\sigma = A_0 \alpha_s^0 + A_1 \alpha_s^1 + A_2 \alpha_s^2 + A_3 \alpha_s^3 + \dots$$

Leading order (LO): first non-zero order of α_s

Next-to-leading order (NLO): second non-zero order

However, **divergencies** appear in summing self-interactions,
when the loop momenta tend to infinity:

Introduce a cutoff μ_R on the loop momenta \rightarrow **Renormalization**

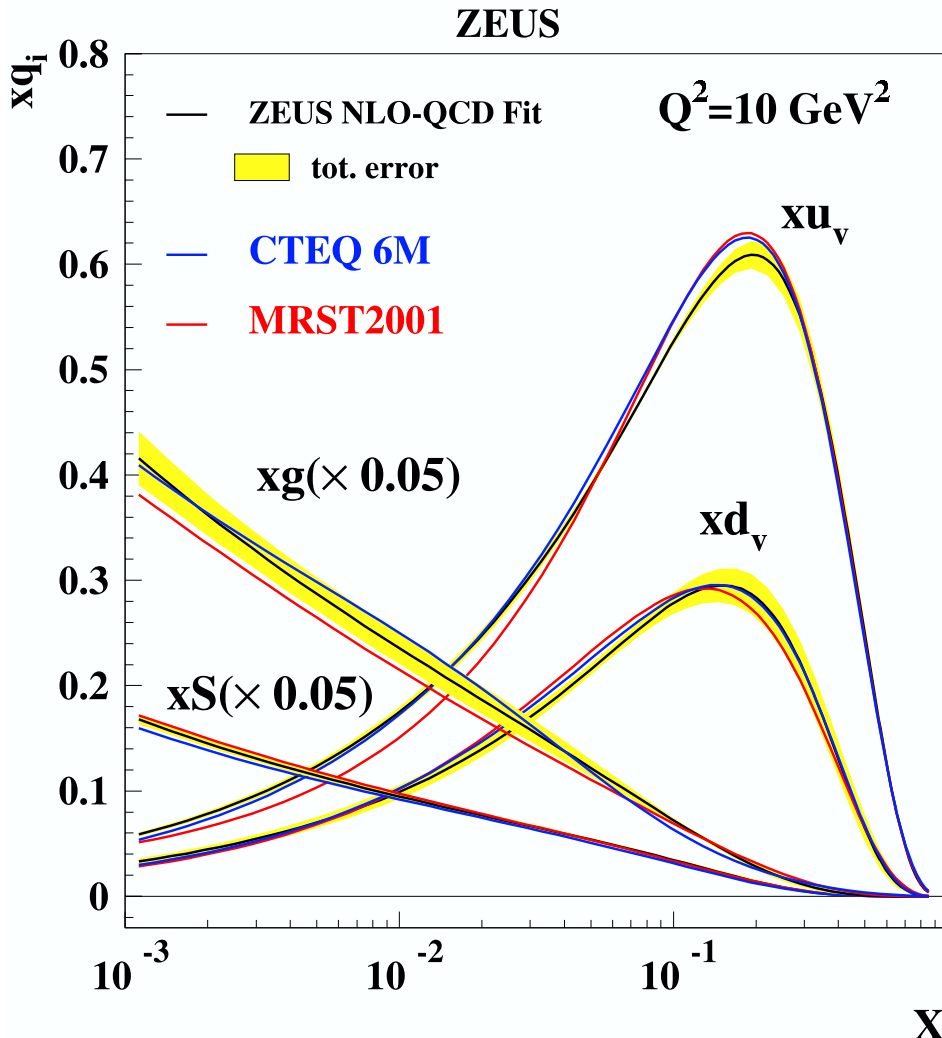
α_s dependence on μ_R : $\alpha_s = 12\pi / [(33 - 2n_f) \ln(\mu_R^2 / \Lambda^2)]$ (LO)

$\mu_R \rightarrow \infty, \alpha_s \rightarrow 0$ (“asymptotic freedom”)

$\mu_R \rightarrow \Lambda, \alpha_s \rightarrow \infty$ (pQCD not valid, “color confinement”)

μ_R is the scale at which α_s is evaluated (extract $\alpha_s(\mu_R)$)!

Parton Density Functions and Factorization Scale



At large distances, α_s is large:
 non-perturbative \rightarrow **Factorization**

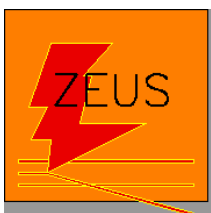
$$\sigma_{\text{DIS}} = \sum_a f_a(x, \mu_F^2) \otimes \sigma_a(x, \mu_F^2)$$

Scale μ_F is introduced to separate long-range (soft) and short-range (hard) processes:

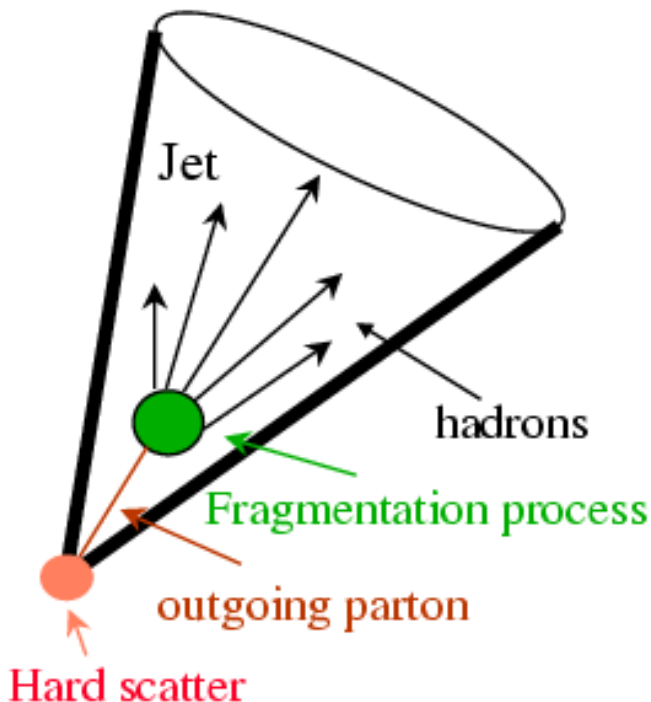
$K_T > \mu_F$, partons included in pQCD partonic cross-section
 $K_T < \mu_F$, partons absorbed in non-pQCD parton density functions

Several groups derive PDF from experimental data:

CTEQ, MRST, ZEUS-S



Jets



Quarks and gluons can't be observed in the detector

Jets: colored partons evolve to a roughly collinear "spray" of colorless hadrons

Jet finding:

- Recombining energy deposits from one parton
- merging soft partonic radiation back to emitting parton

Jet algorithm:

- measurable
- calculable (infrared safe)
- accurate



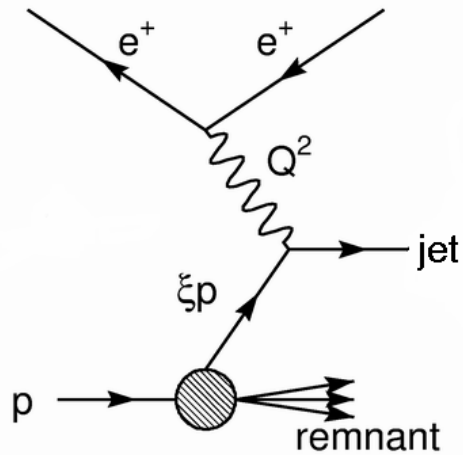
Single Jet Dijet Trijet



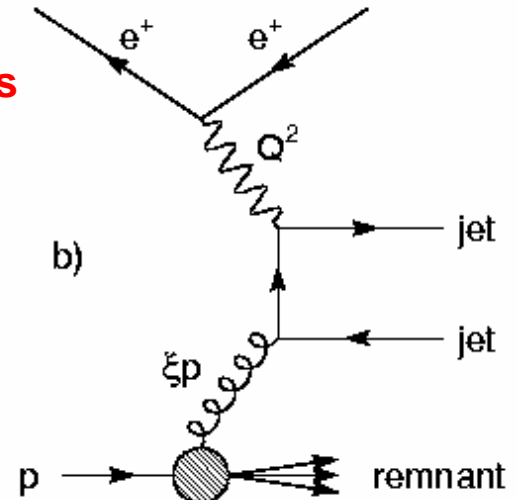
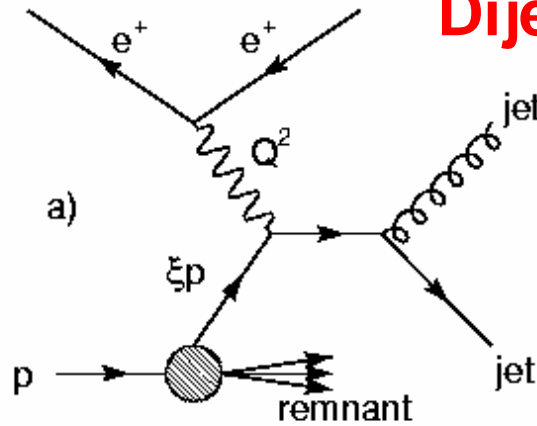
a) QCD Compton

b) Boson-Gluon Fusion

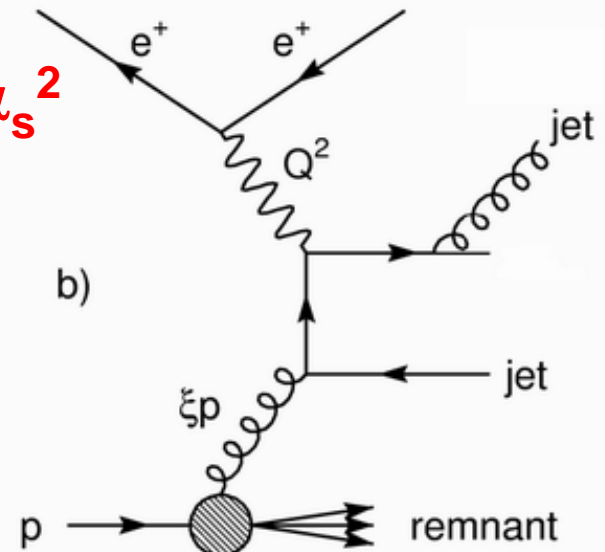
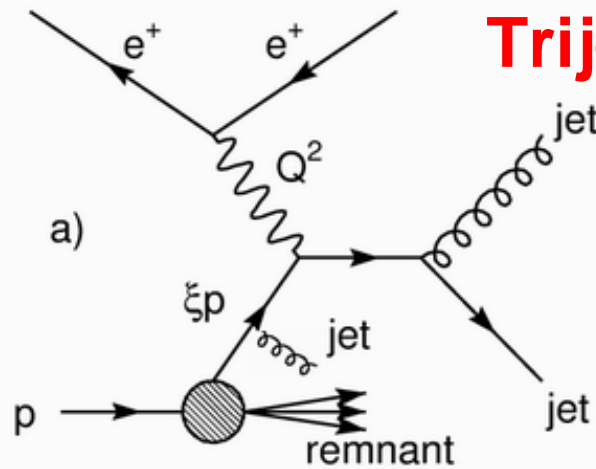
Single Jet: α_s^0

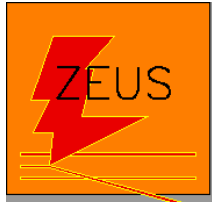


Dijet: α_s



Trijet: α_s^2

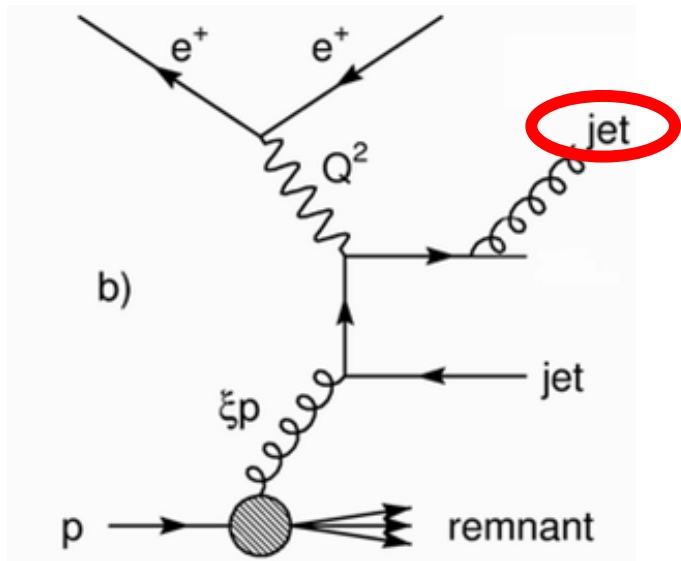
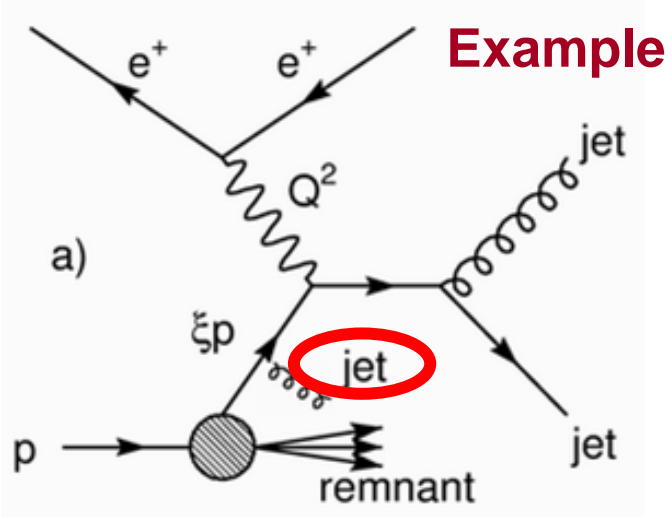




Motivation for Multijets Study



- Add a gluon radiation to dijet or split a gluon to $q\bar{q}$
→ direct test of QCD at $O(\alpha_s^2)$
- In the ratio $\sigma_{\text{trijet}}/\sigma_{\text{dijet}} = O(\alpha_s)$, cancellation of many correlated experimental and theoretical uncertainties.
- Measurement of α_s from $\sigma_{\text{trijet}}/\sigma_{\text{dijet}}$
→ first α_s measurement from $O(\alpha_s^2)$ jet rate at HERA
- Multijet NLO Calculations available
(Ref: Phys.Rev.Lett.87:082001,2001)



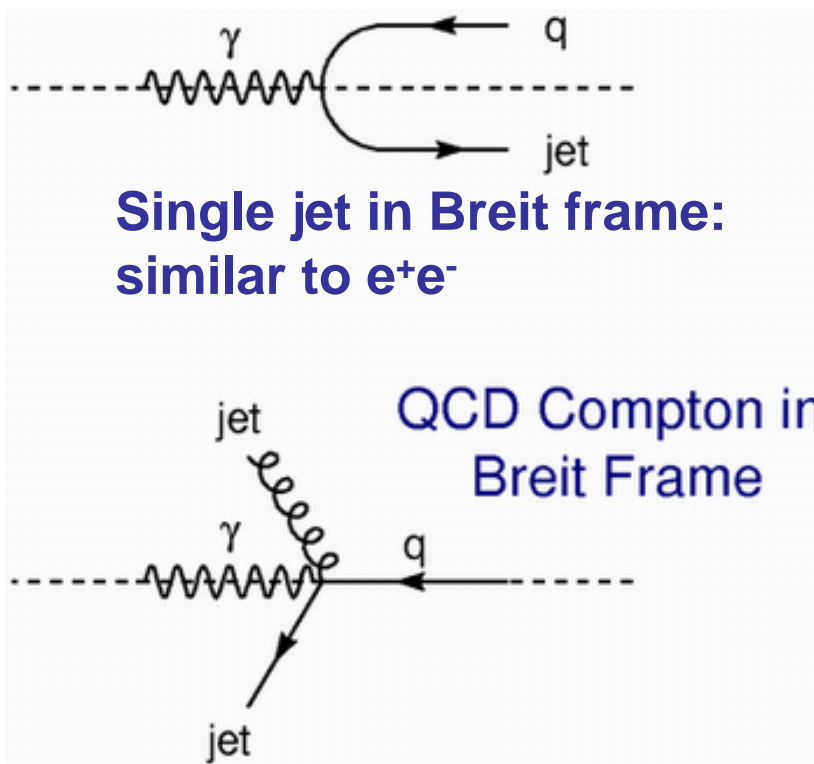


Breit Frame



The proton and exchanged photon collide head-on, with the z-direction chosen to be the proton direction:

$$q + 2xp = 0$$



In single jet events, struck quark rebounds with equal and opposite momentum, the resulting jet has zero E_T (transverse energy)

In multijets events, the outgoing jets are balanced in E_T :
high $E_T \rightarrow$ multijets



HERA Collider



World's first electron-proton collider located at DESY, Hamburg

- 820/920 GeV proton
- 27.5 GeV electron or positron
- 300/318 GeV center of mass energy
- 220 bunches
- 96 ns crossing time
- 90mA protons
- 40mA positrons

2 collider detectors:

ZEUS, H1

2 fixed target detectors:

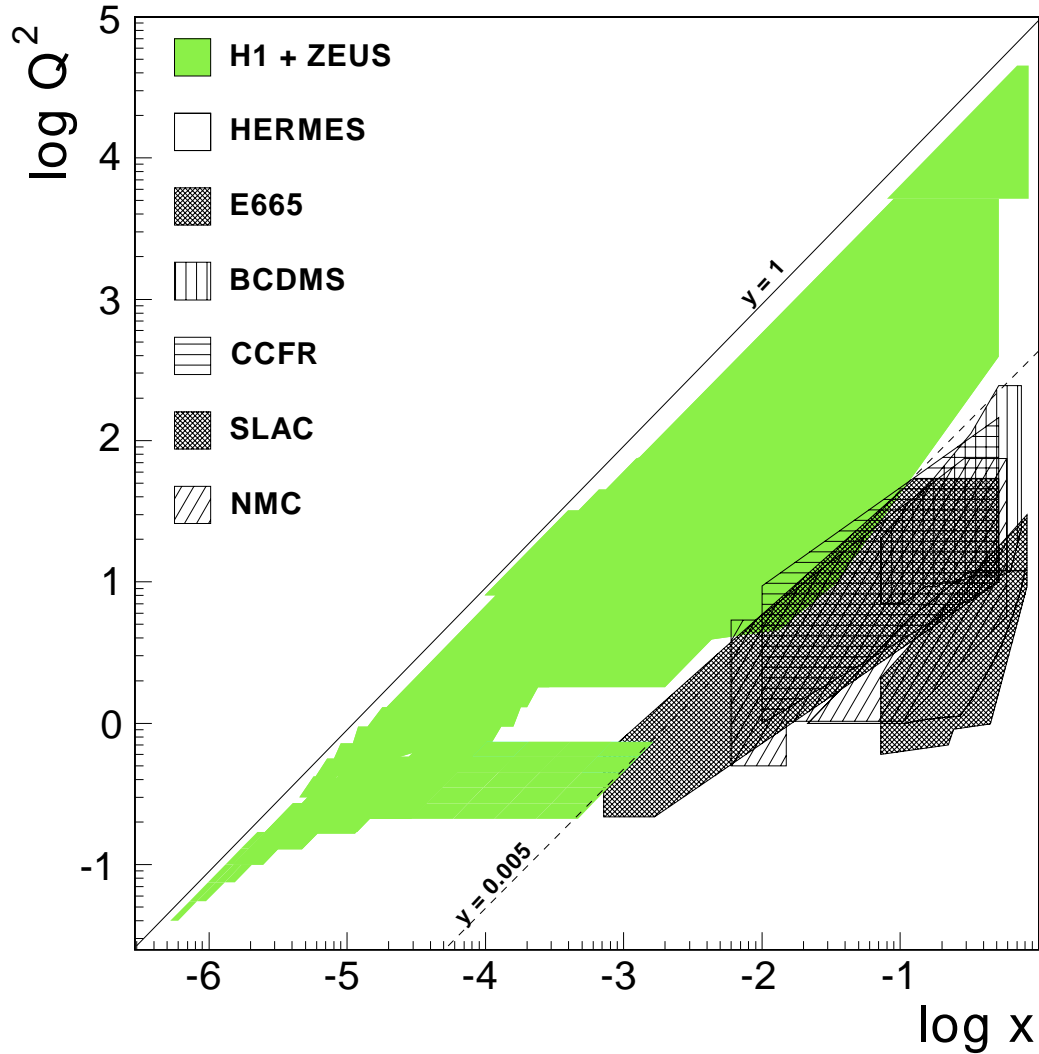
HERMES, HERA-B

Integrated luminosity:

HERAI (92-00): 121pb⁻¹, HERAII (03-07): 500pb⁻¹(exp.)



HERA Kinematic Range



Extended kinematic region over six-orders of x and Q^2

At $\sqrt{s} = 318$ GeV, HERA equivalent to 50 TeV fix-target experiment

H1 and ZEUS: DESY e-p

HERMES: DESY e-A

E665: Fermilab μ -A

BCDMS: CERN μ -A

CCFR: Fermilab ν -A

SLAC: many experiments e-A

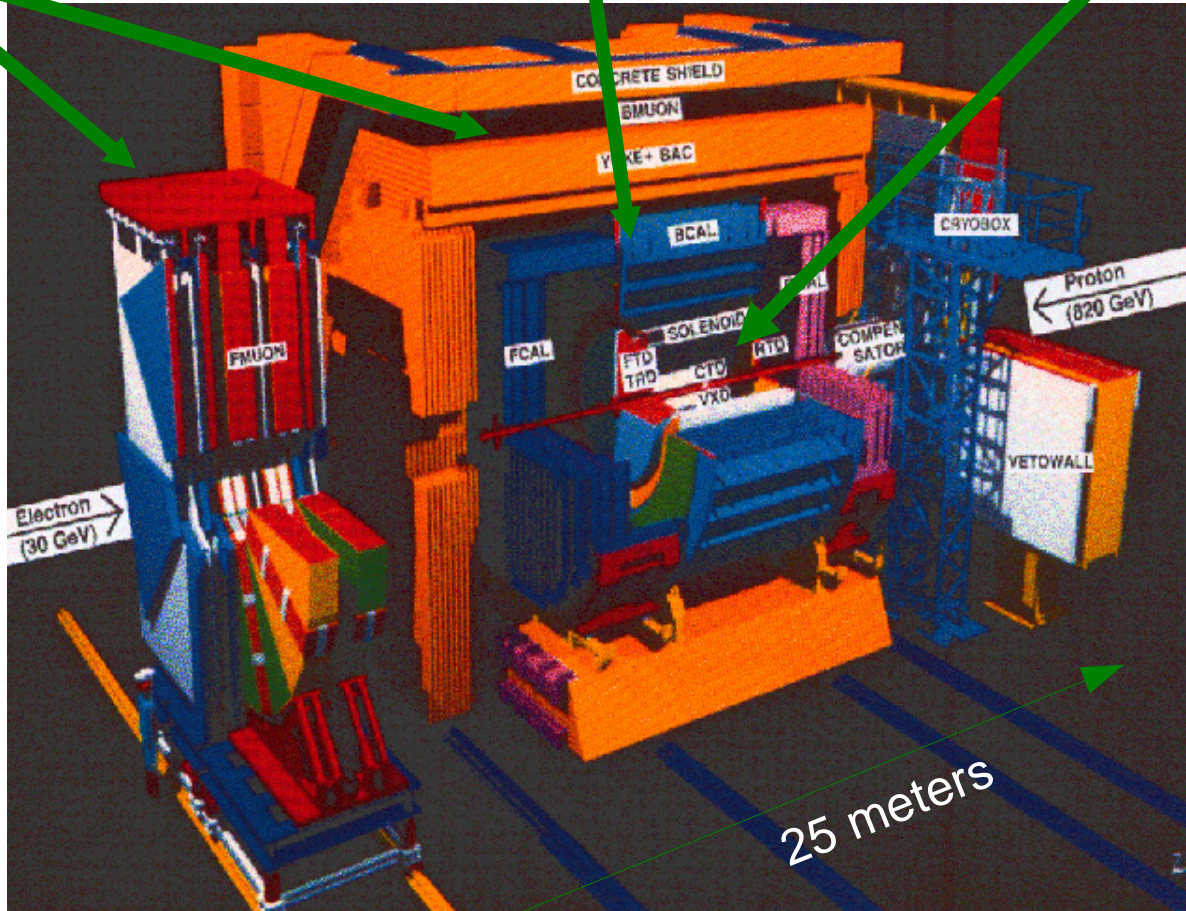
NMC: CERN μ -A



ZEUS Detector



Muon systems Uranium–Scintillator Calorimeter Drift Chamber Central Tracker

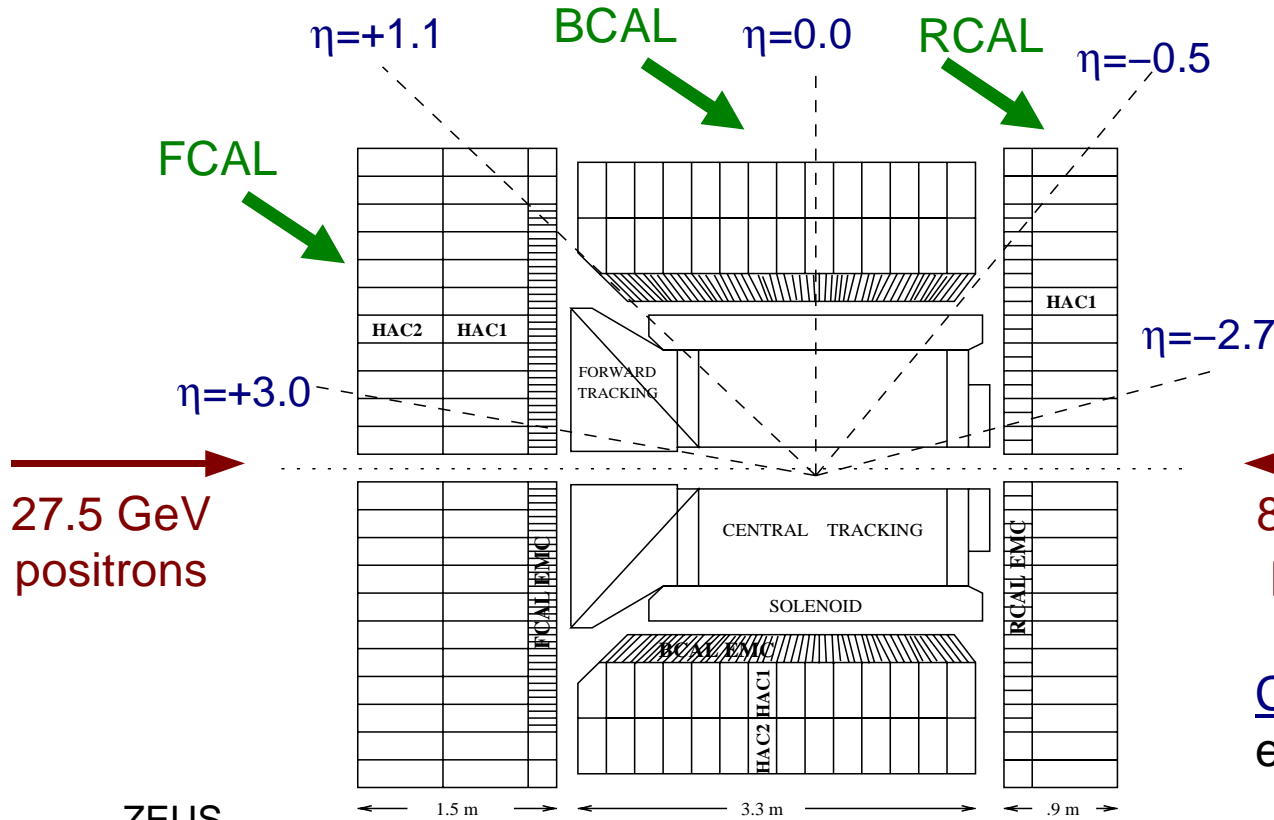


27.5 GeV positrons

820 GeV protons



ZEUS Calorimeter



Depleted Uranium and scintillator

Energy Resolution

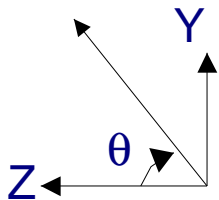
electromagnetic: $18\%/\sqrt{E}$
hadronic: $35\%/\sqrt{E}$

820 GeV protons

Cell Dimensions

electromagnetic:
5x20cm (FCAL, BCAL)
10x20cm (RCAL)
hadronic: 20x20cm

ZEUS Coordinate System



99.7% solid angle coverage
 $-3.5 < \eta < 4.0$

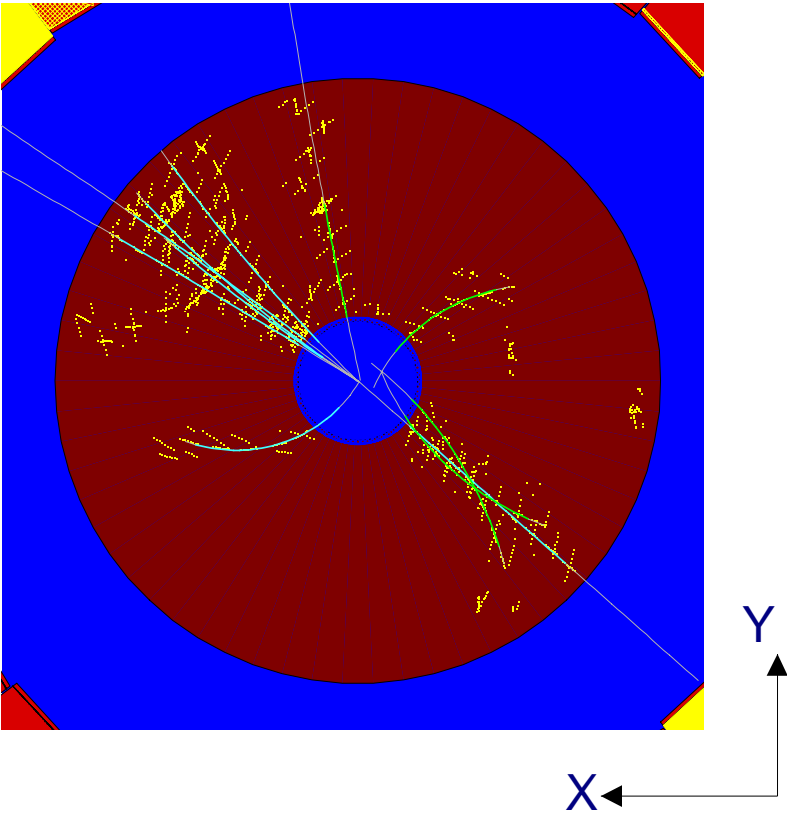
$$\eta = -\log [\tan (\vartheta / 2)]$$



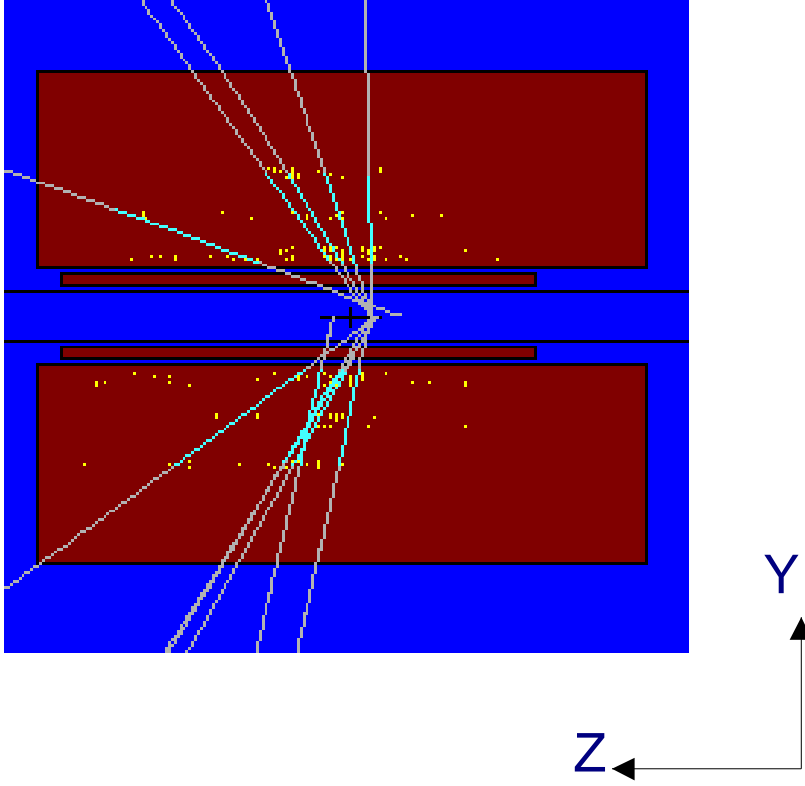
ZEUS Central Tracking Detector



View along beamline



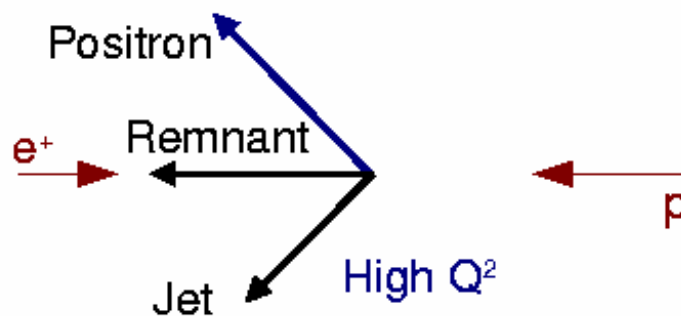
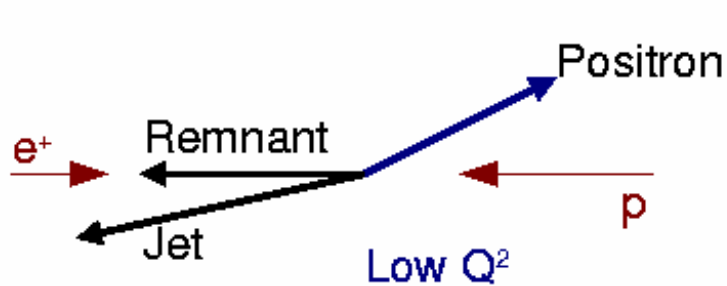
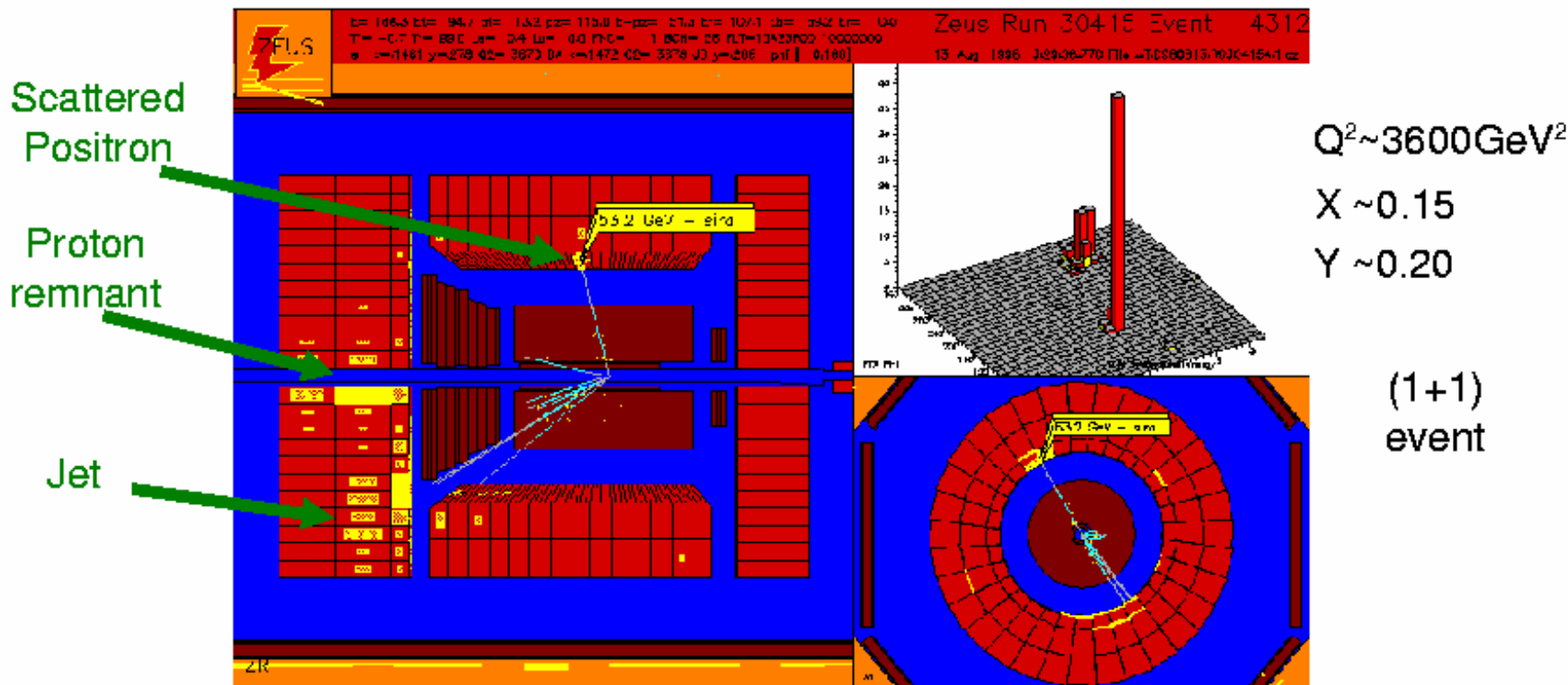
Side view



CTD: Drift chamber inside 1.4T solenoid
Vertex Resolution: 4mm in z-direction, 1mm transvers



DIS Event



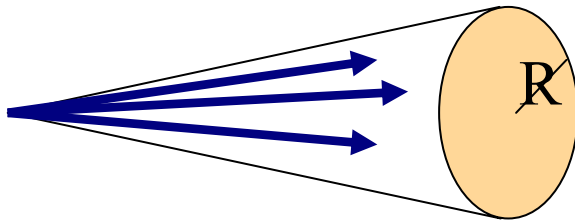


Jet Algorithm



Cone algorithm:

- conceptually simple, fast to run
- ambiguities related to overlapping jets and merging of jets
- infrared unsafe at NNLO
- seeding requirements

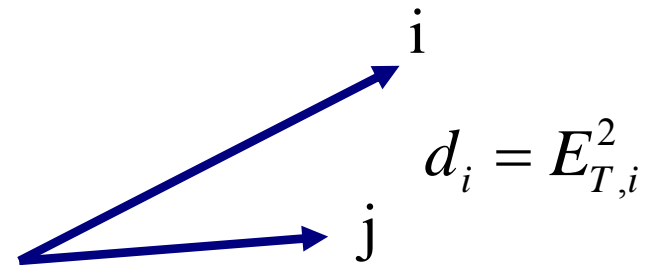


$$R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$$

Maximize E_T within a cone of radius R

k_T algorithm:

- no ambiguity in jets merging
- infrared safe at all orders
- seeding not necessary
- longitudinally invariant k_T algorithm in inclusive mode



$$d_{ij} = \min\{E_{T,i}^2, E_{T,j}^2\}((\Delta\eta)^2 + (\Delta\phi)^2)$$

Combine i and j if d_{ij} is smallest of $\{d_i, d_{ij}\}$

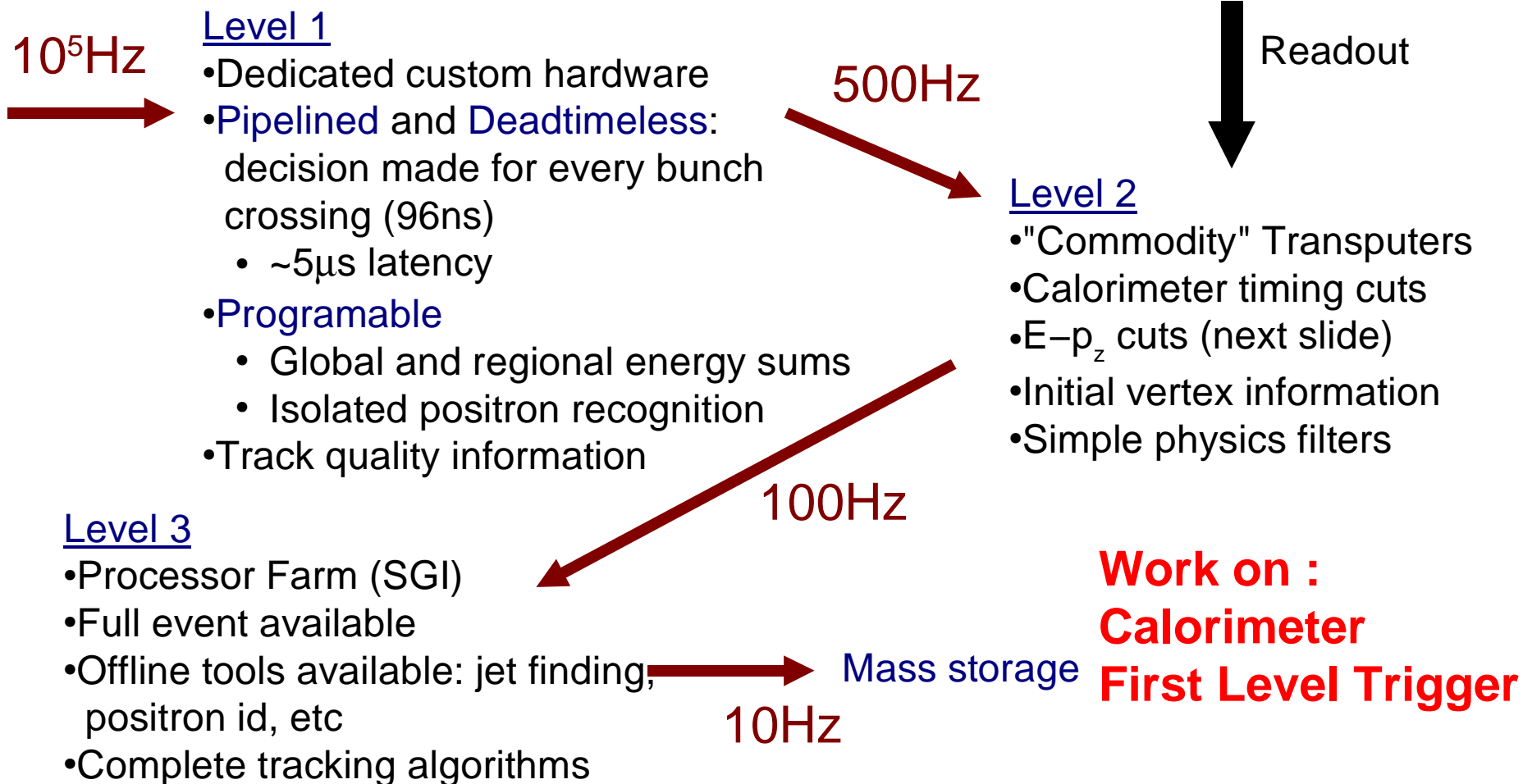


ZEUS Trigger



Challenge

10 MHz bunch crossing rate
Extract 10Hz Physics from 100kHz background



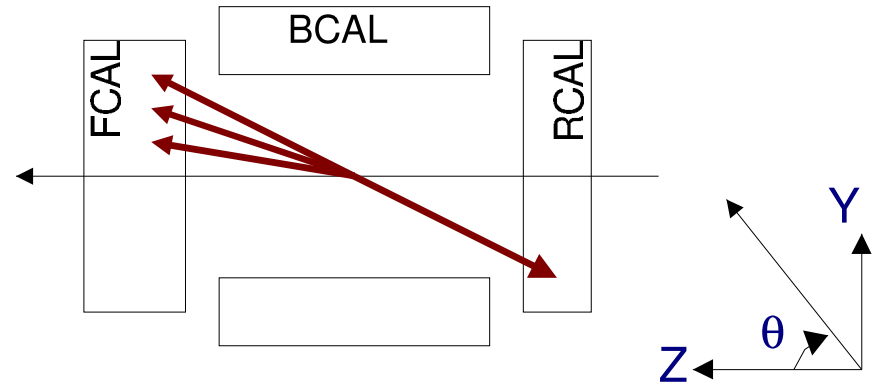


E-P_z Cut



$$E - p_z = \sum_i E_i (1 - \cos \theta_i)$$

Sum runs over calorimeter cells

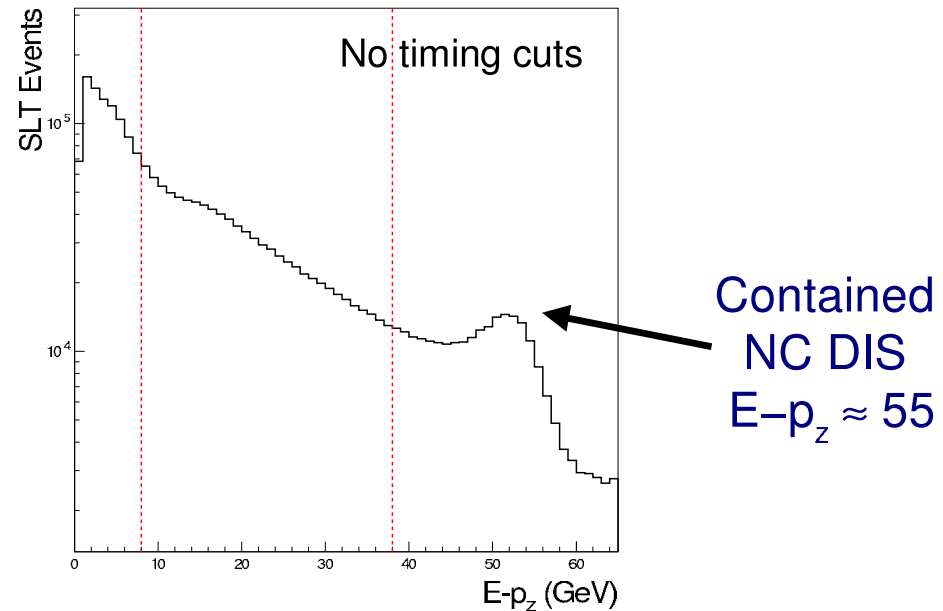


In a given frame, E-p_z is conserved



Before: $E - p_z = 2E_{\text{beam}} = 55 \text{ GeV}$

Unless energy escapes down rear beam pipe, E-p_z after collision will be near $2E_{\text{beam}}$ for interesting physics at the nominal interaction point

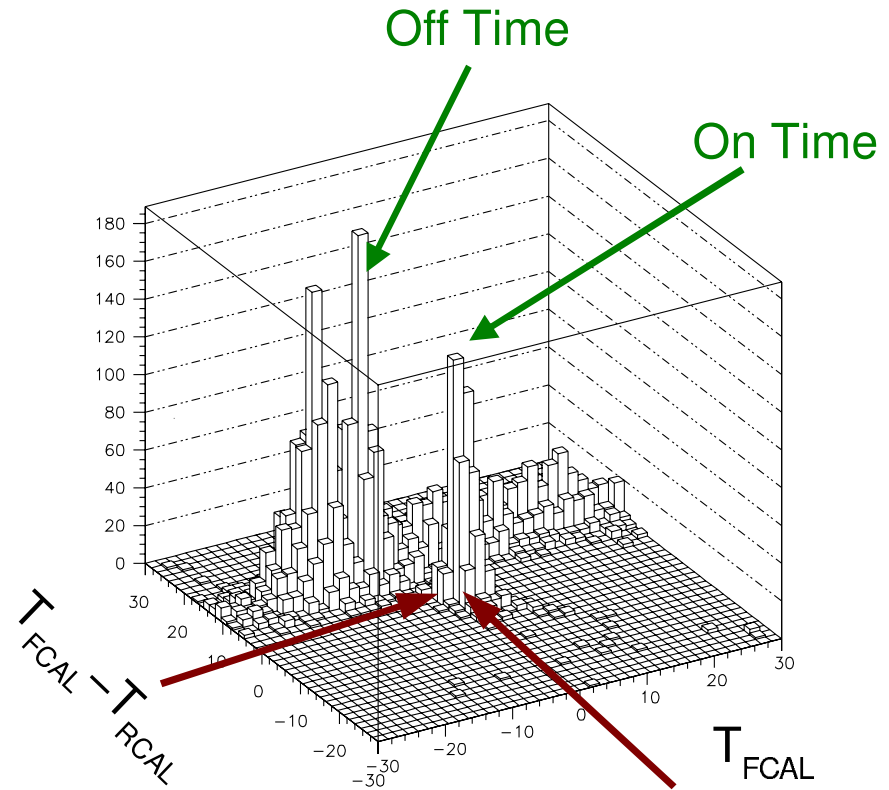
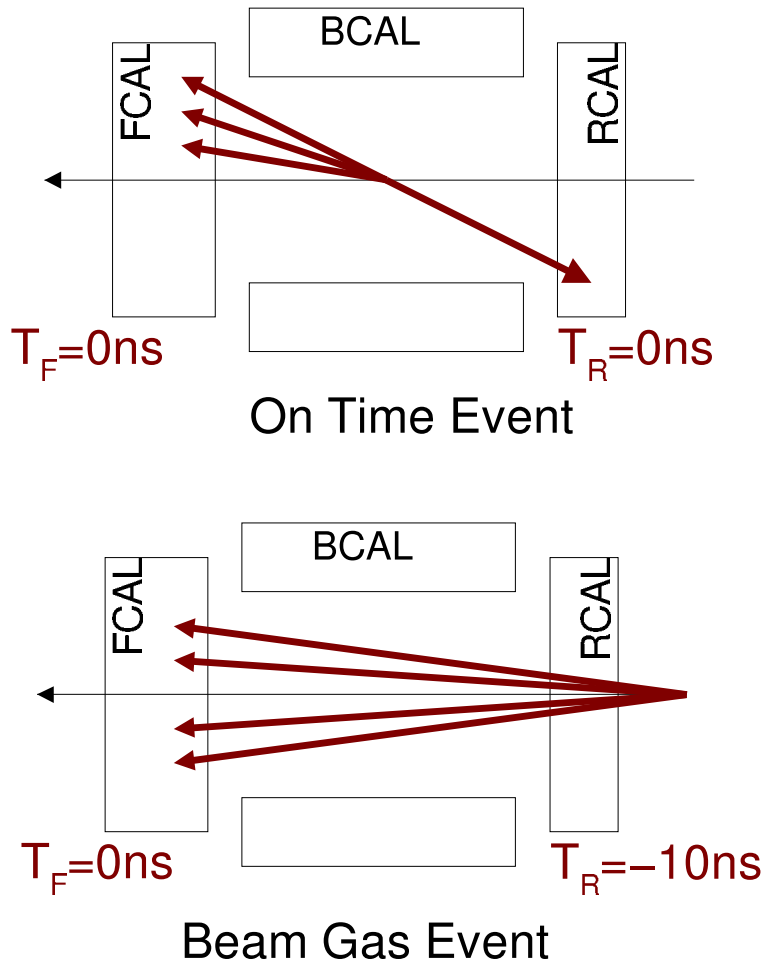




Timing Cut



"Distance" between FCAL and RCAL is $\sim 10\text{ns}$

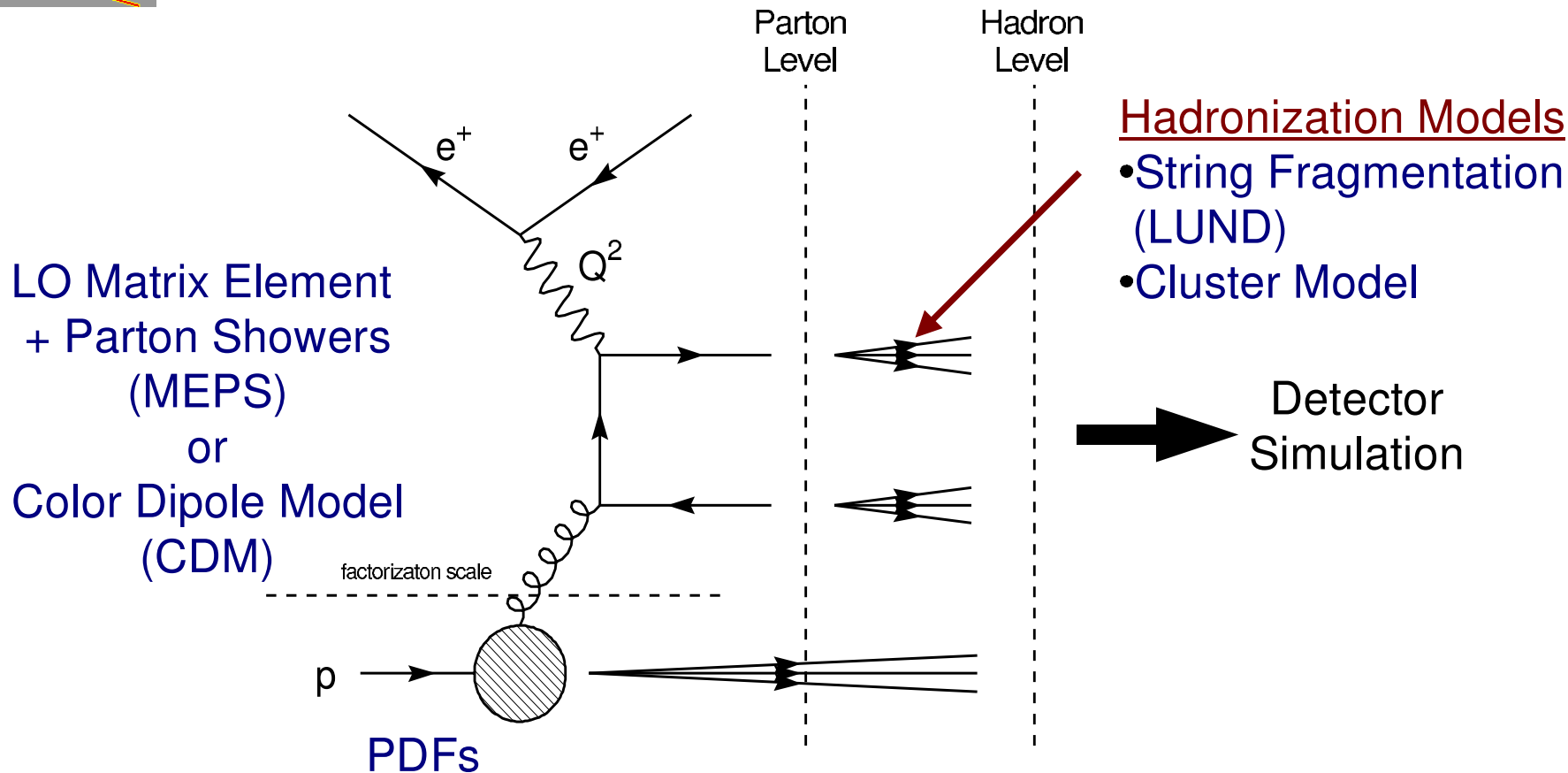


Calorimeter timing at Level 2

ZEUS Calorimeter timing resolution $< 1\text{ns}$



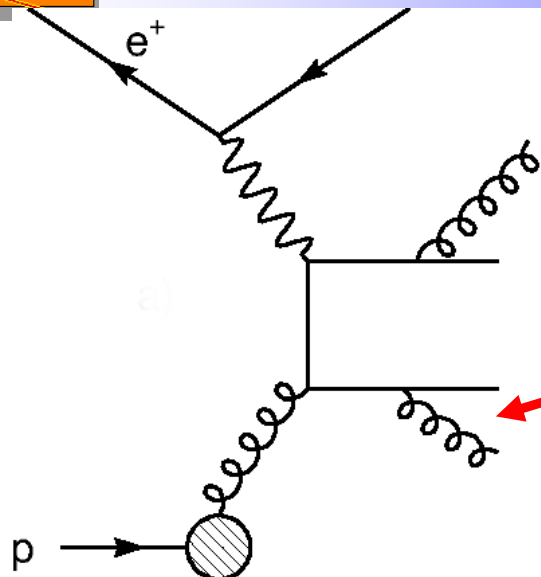
LO Monte Carlo



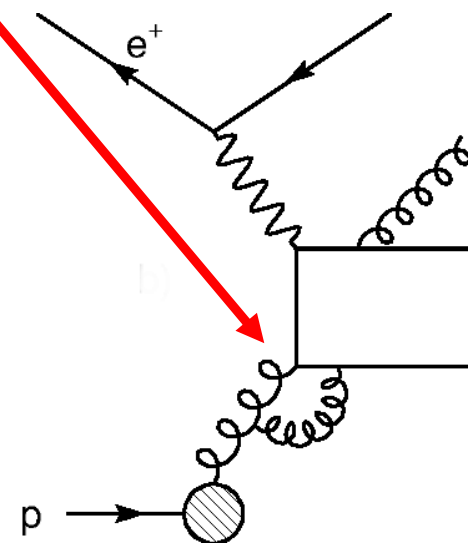
- Acceptance corrections: detector independent cross sections
- Hadronization corrections, QED corrections: for NLO calculation
- LEPTO (6.5.1) and ARIADNE (4.0.8) program used



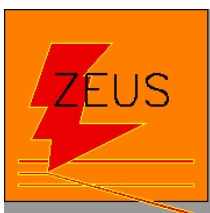
NLO Calculation



- Four parton final states
- Most accurate pQCD calculation upto now
- Soft/collinear and virtual loop divergences cancel



- All finite order calculations have renormalization scale dependence (uncertainty), at NLO, scale uncertainty much reduced
- No hadronization and no high order QED effects
- Use LO MC to get these corrections
- NLOJET program: first NLO program for trijet
- DISANT program: double check NLOJET for dijet



Data Sample: Offline Selection



ZEUS 1998-2000 data

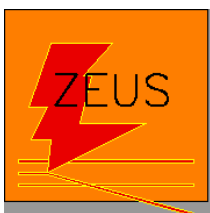
- 82.2 pb⁻¹
- $\sqrt{s} = 318$ GeV
- $E_p=920$ GeV, $E_e=27.5$ GeV

Kinematic Range

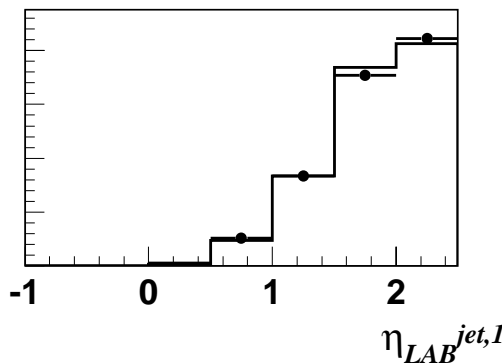
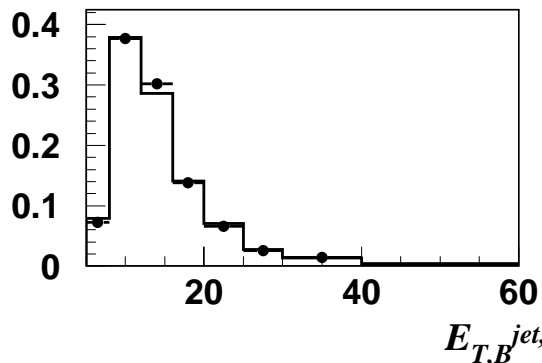
- $10 \text{ GeV}^2 < Q^2 < 5000 \text{ GeV}^2$: good acceptance, best use of statistics
- $0.04 < Y < 0.6$: good reconstruction of hadronic system, reduce background
- $\cos\gamma_{\text{had}} < 0.7$: good reconstruction of jets in Breit frame

Jet Reconstruction

- Invariant KT algorithm in Breit frame (inclusive)
- $E_{T,\text{jet}}^{\text{BRT}} > 5$ GeV for each jet: hard process
- $-1 < \eta_{\text{jet}}^{\text{LAB}} < 2.5$: jets boosted back to lab to check CTD acceptance
- Invariant mass $M_{2,3\text{jet}} > 25$ GeV: avoid unphysical theoretical calculation



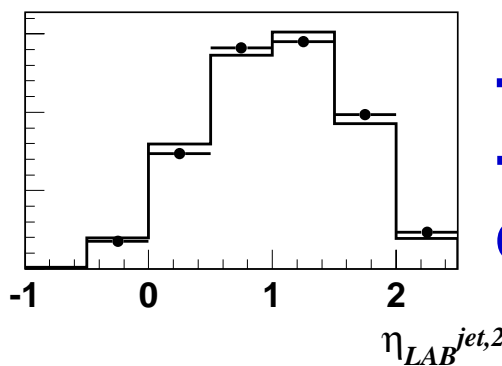
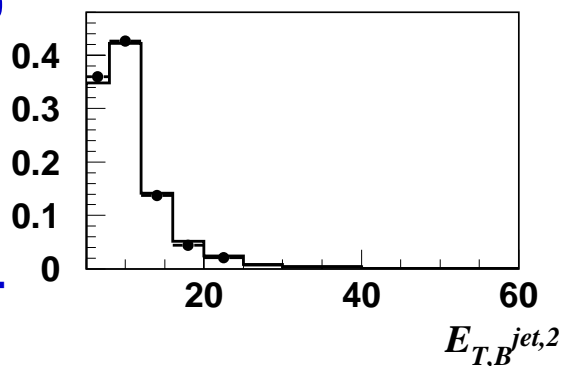
Trijet Kinematics



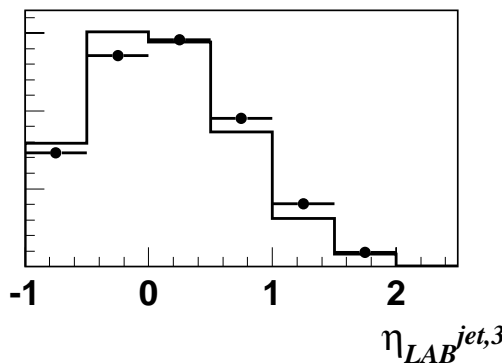
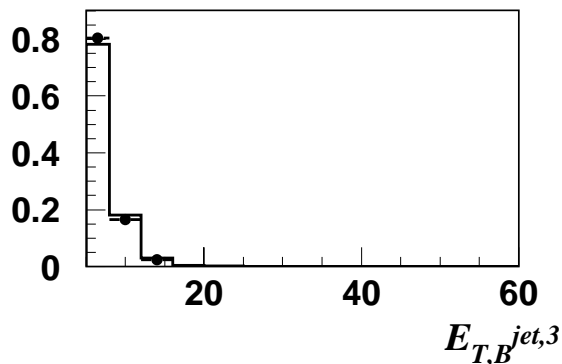
- Data
- ▮ Lepto

Area normalized to compare shapes

E_T Ordering



η Ordering



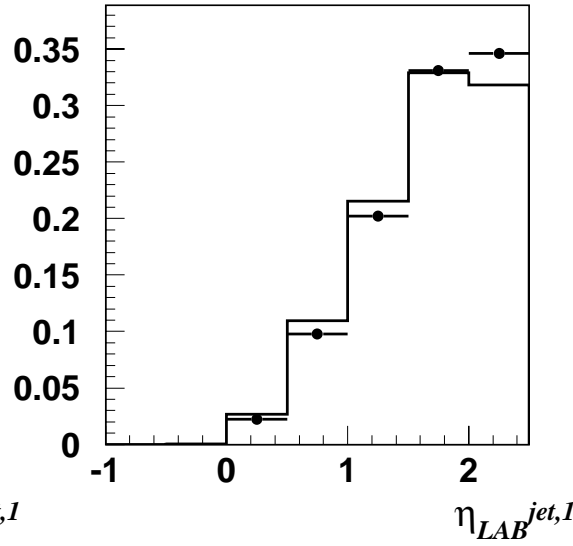
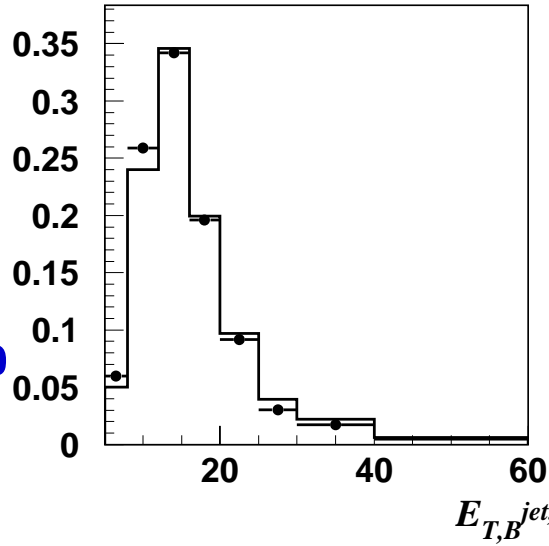
Lepto provides a good description of data



Dijet Kinematics



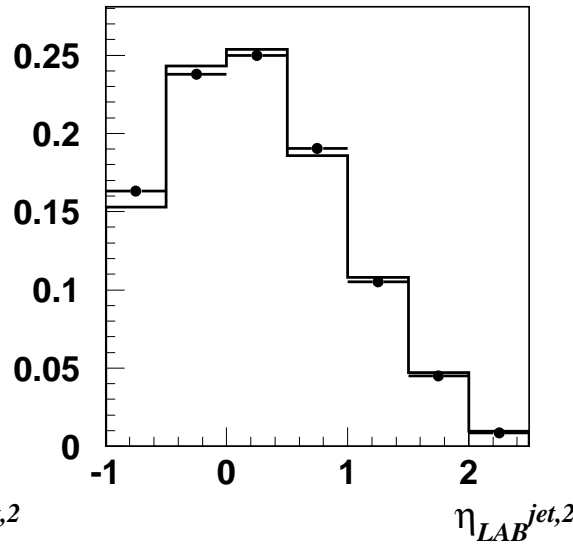
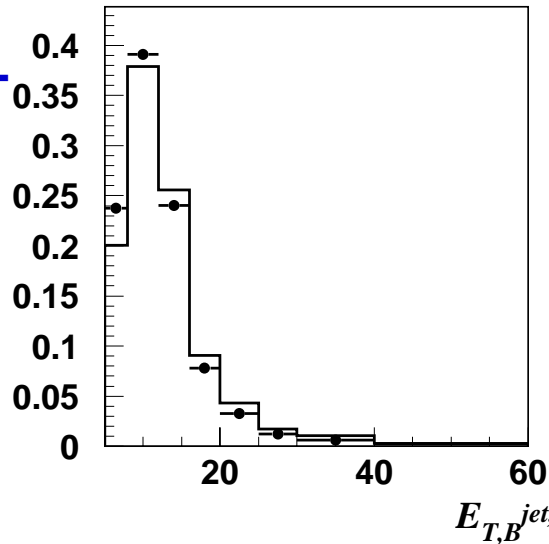
E_T Ordering



- Data
- └ Lepto

Area normalized to compare shapes

η Ordering



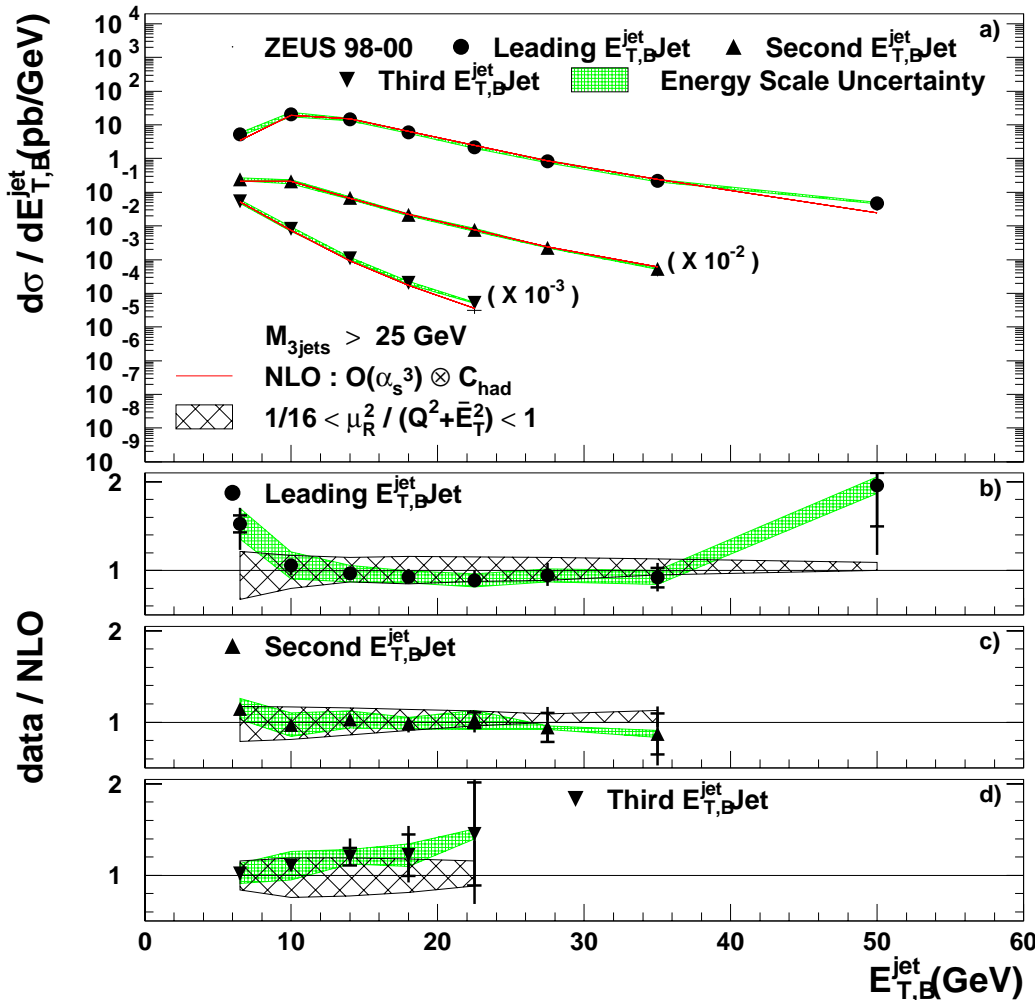
Lepto describes physics and detector, used for acceptance corrections



Trijet Cross Sections: E_T^{BRT} dependence



ZEUS



CTEQ6 PDF, $\alpha_s = 0.1179$

Scale $\mu_r^2 = \mu_f^2 = (\bar{E}_T^2 + Q^2)/4$

Jets ordered in E_T^{BRT}

Measurement down to low E_T

Scale dependence

Good agreement for each jet

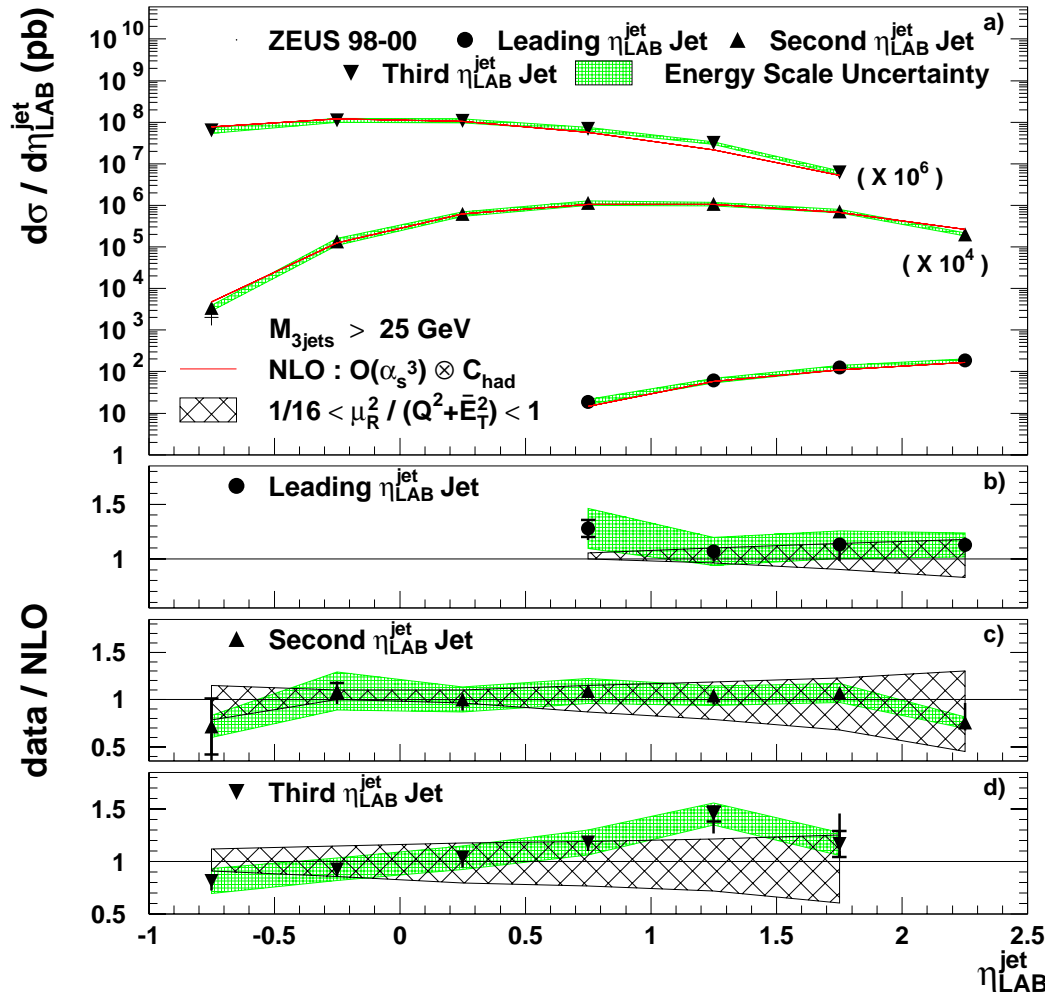
NLOJET describes data
over large range of scales



Trijet Cross Sections: η^{LAB} dependence



ZEUS



Jets ordered in η^{LAB}

Angular distribution

Good agreement for each jet

**NLOJET describes data
over whole η range**



Multijet Cross Sections: Q² dependence



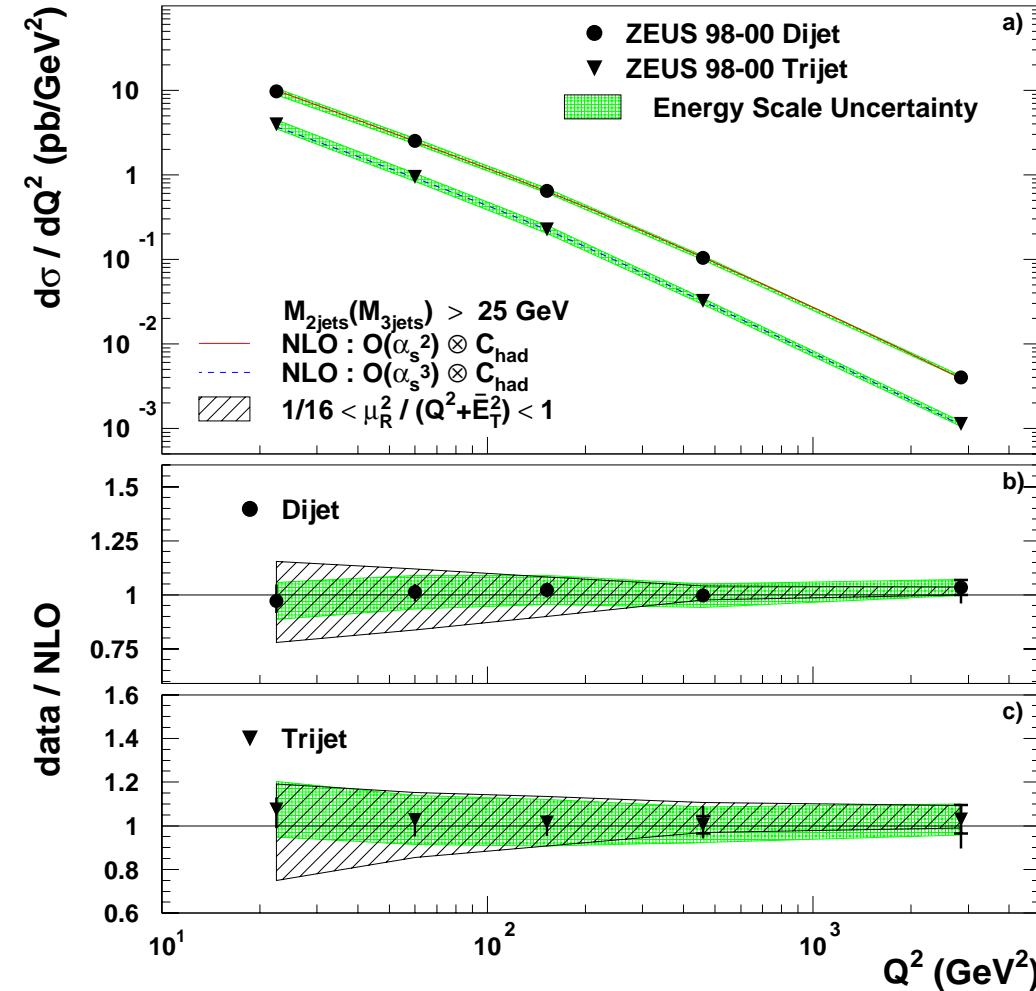
ZEUS

Dijet NLO: $O(\alpha_s^2)$
Trijet NLO: $O(\alpha_s^3)$

Measurement down to low Q²

Scale dependence

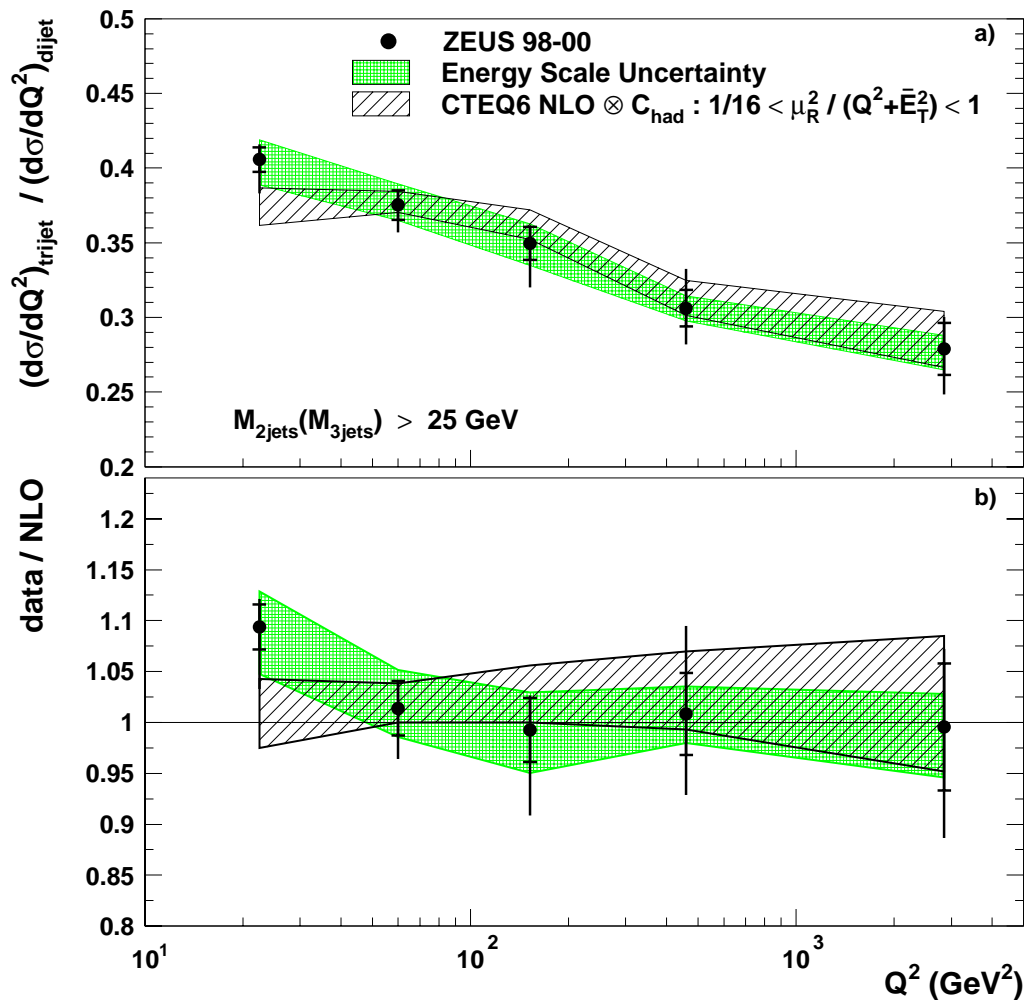
NLOJET describes both
dijet & trijet data over 3
orders of magnitude in Q²



Multijet Cross Sections Ratio: Q² dependence



ZEUS



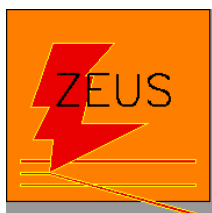
$$R_{3/2} = \sigma_{\text{trijet}} / \sigma_{\text{dijet}}$$

Systematic uncertainties
substantially reduced

Scale dependence reduced

Very sensitive test of QCD
calculation

NLOJET describes data
over large range of scales

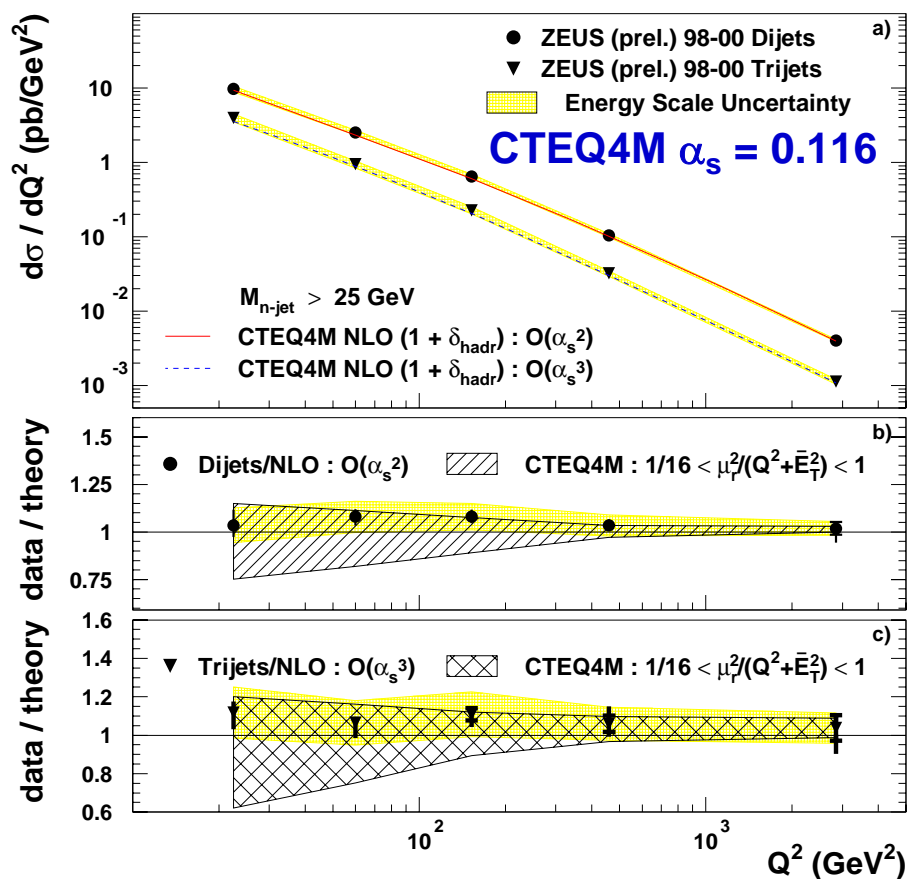
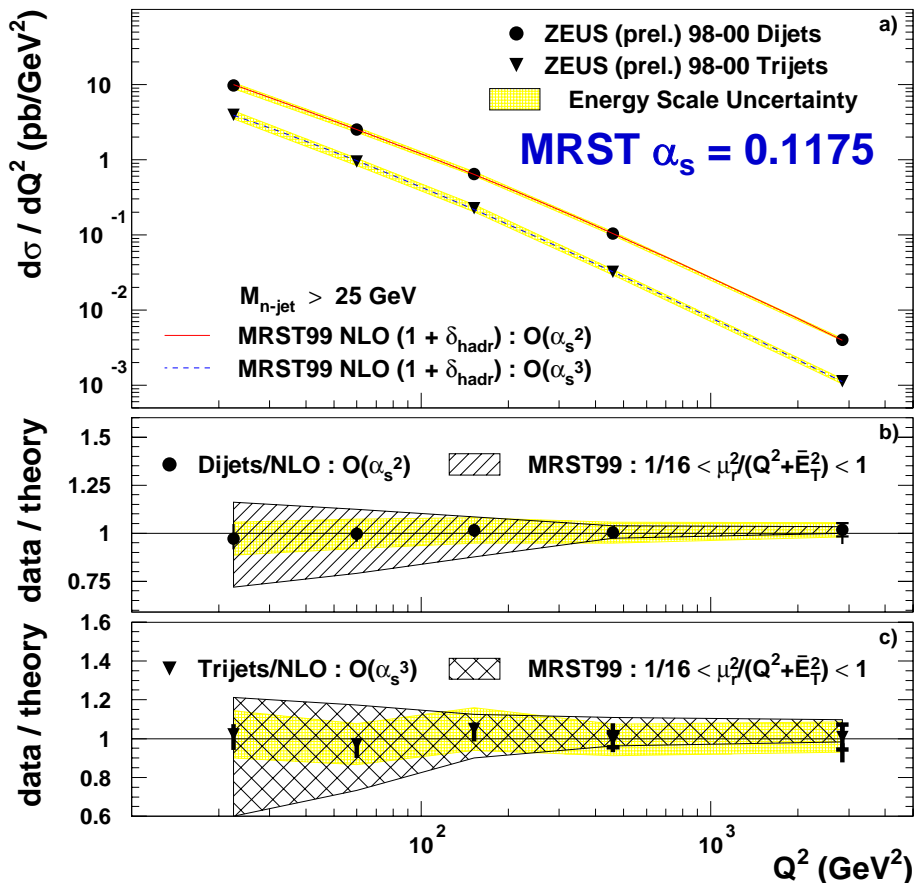


Multijet Cross Sections in Q^2 : MRST99 and CTEQ4M PDF



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NLOJET describes both dijets and trijets over 3 orders of magnitude in Q^2 for both PDFs — PDF independence

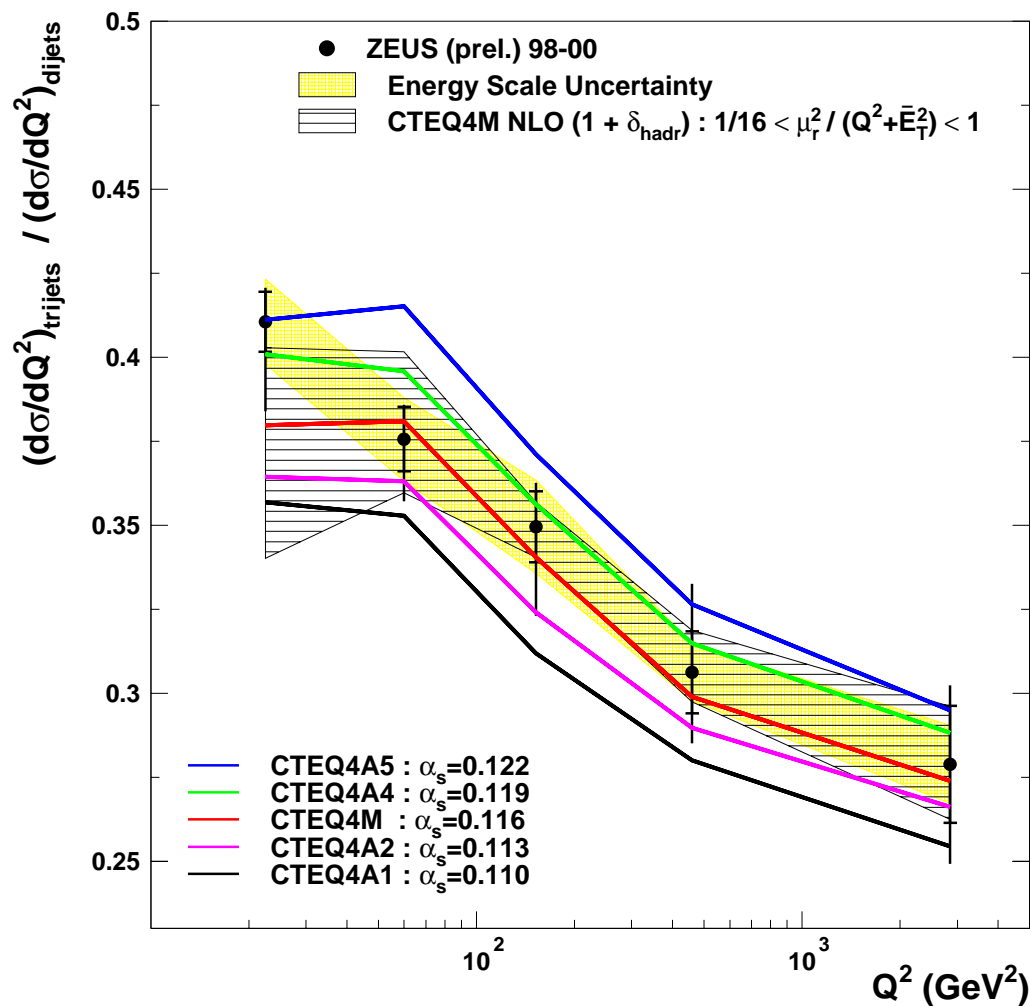


Trijet to Dijet Cross Section Ratio

$R_{3/2}$: CTEQ4 with Different α_s value

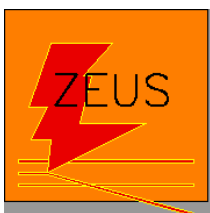


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$$R_{3/2} = \sigma_{\text{trijet}} / \sigma_{\text{dijet}}$$

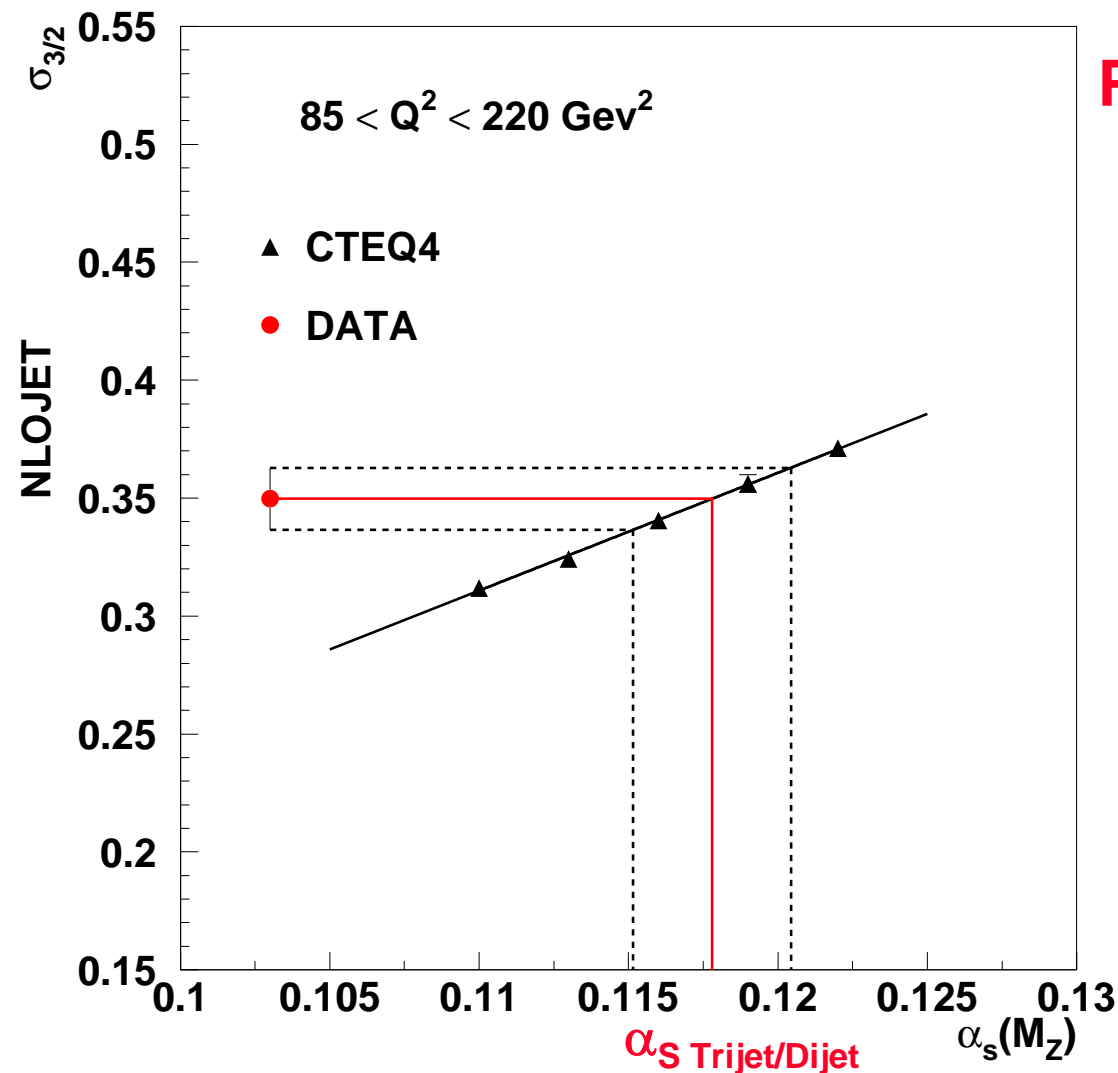
- As expected, predictions are sensitive to α_s



Parameterisation of $R_{3/2}$ with the value of $\alpha_s(M_Z)$



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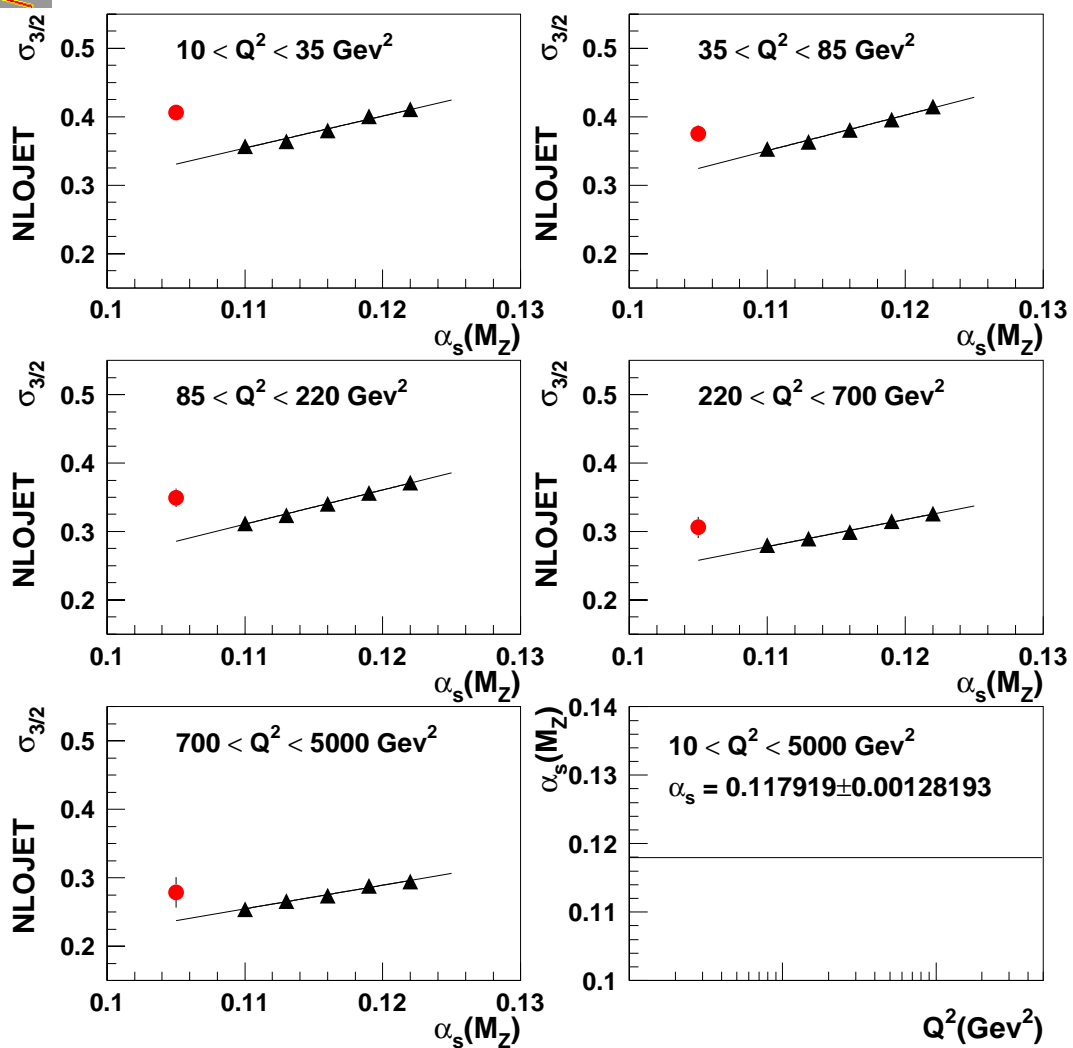


Procedures:

- Run NLOJET with several α_s values and fit with a linear function for each Q^2 bin
- Use this function to establish correlation of $R_{3/2}$ with $\alpha_s(M_Z)$
- Extract α_s for each Q^2 bin and determine **combined value** with χ^2 -fit.



Extraction of α_s with CTEQ4 PDF



● Data
▲ CTEQ4

• CTEQ4 provides more sets with different $\alpha_s(M_Z)$ than other PDF (i.e. MRST99)

Systematic uncertainties next page



$$\alpha_s(M_Z) = 0.1179 \pm 0.0013(\text{stat.}) \begin{matrix} +0.0028 \\ -0.0046 \end{matrix} (\text{syst.}) \begin{matrix} +0.0061 \\ -0.0047 \end{matrix} (\text{th.})$$



Systematic Uncertainties



Experimental (maximum change in any Q^2 bin)

- Jet pseudo-rapidity cut: 1%
- Use of different LO MC model: 2%
- Jet transverse energy and invariant mass cuts: 2%
- The absolute energy scale of the CAL: 2.5%
- Other sources which have negligible effects: 0.4%
 - Un-reweighted MC
 - Z_{Vertex} cuts
 - Y_{JB} cut
 - E- P_z cut
 - $\text{Cos}\gamma_{\text{had}}$ cut

$$\Delta\alpha_s(M_Z) = \begin{matrix} +0.0028 \\ -0.0046 \end{matrix}$$

Theoretical (maximum change in any Q^2 bin)

- Hadronisation correction factors: 2%
- Renormalization scale: 5%
- Uncertainties in the proton PDFs: 1.5%

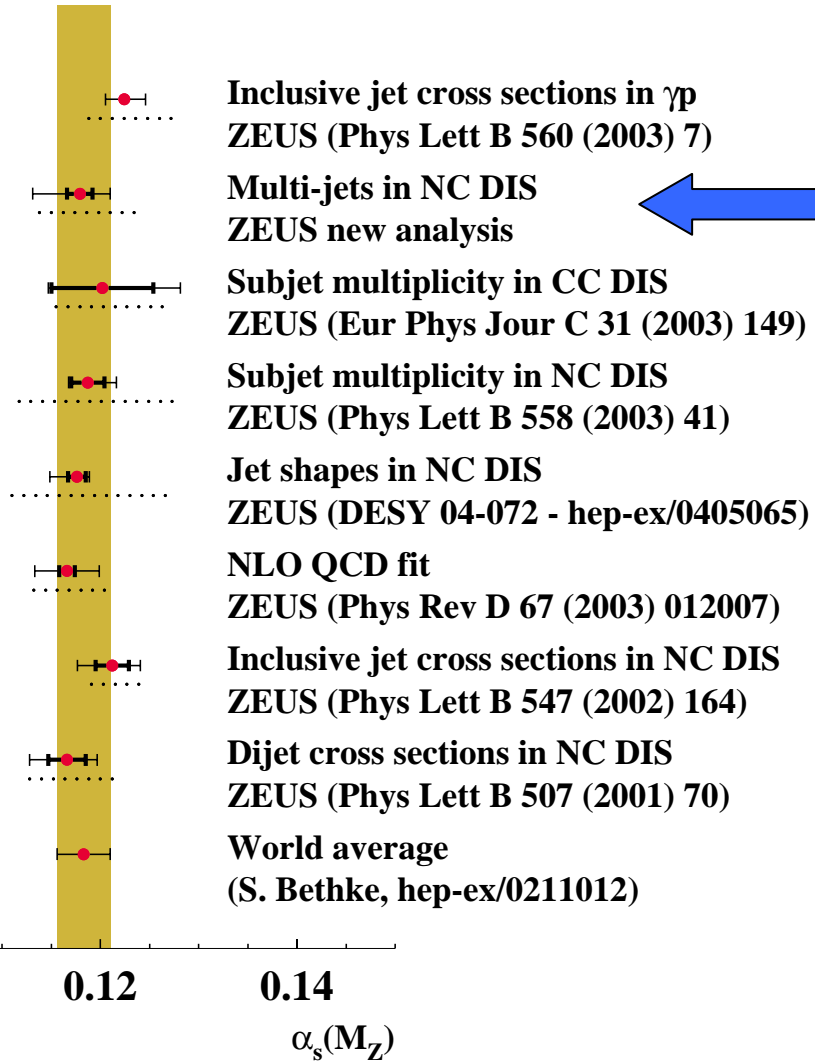
$$\Delta\alpha_s(M_Z) = \begin{matrix} +0.0064 \\ -0.0046 \end{matrix}$$



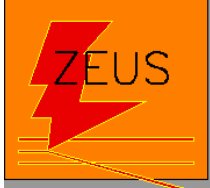
Other α_s measurements



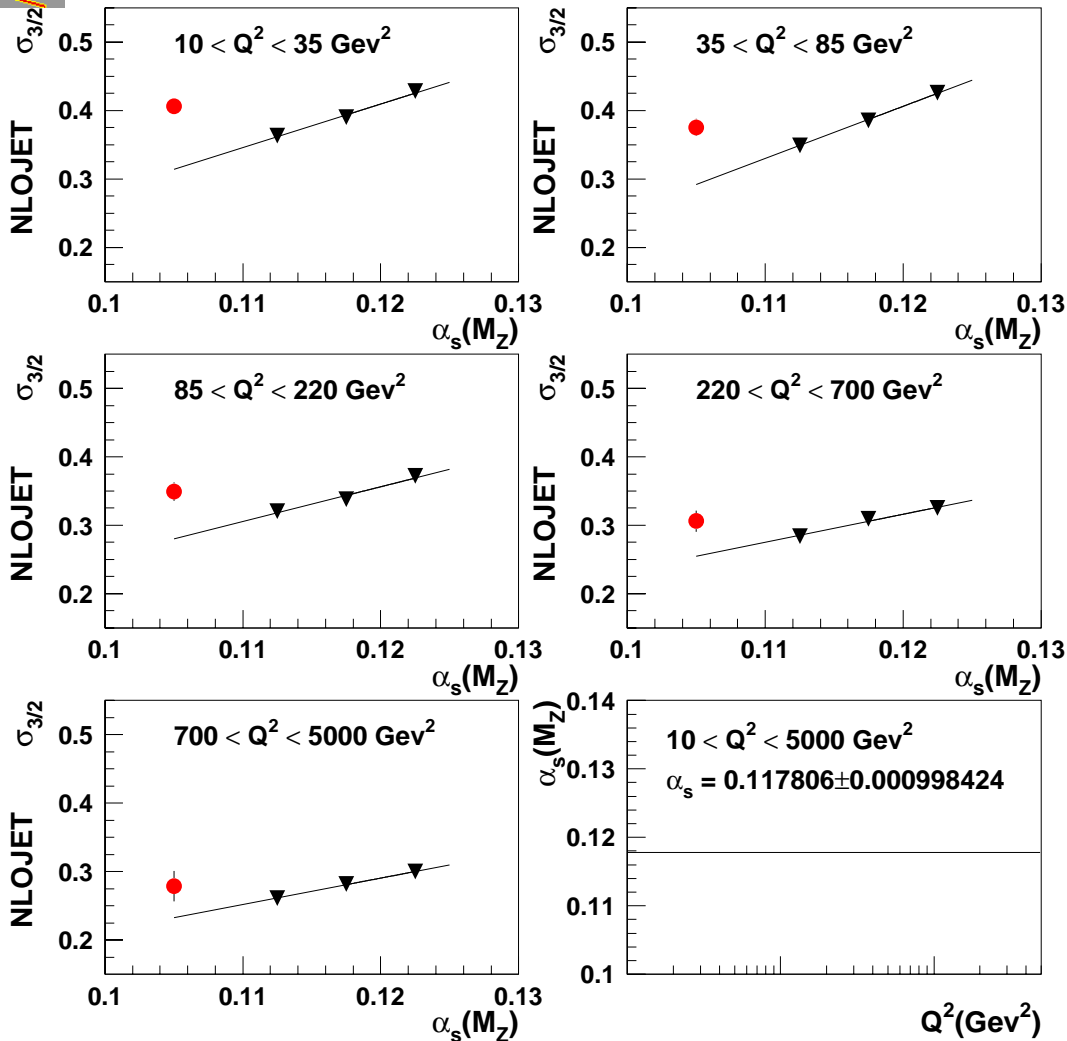
.....
th. uncert.
|-----|
exp. uncert.



- Errors competitive
- See comparison with different PDF next page



Extraction of α_s with MRST99 PDF



● Data
 ▼ MRST99

Excellent agreement between MRST99 and CTEQ4M ($\alpha_s(M_Z)=0.1179$), 0.1% difference

$$\alpha_s(M_Z) = 0.1178 \pm 0.0010(\text{stat.}) \begin{matrix} +0.0021 \\ -0.0035 \end{matrix} (\text{syst.}) \begin{matrix} +0.0048 \\ -0.0034 \end{matrix} (\text{th.})$$



Summary



- NLO predictions give a good description of the dijet and trijet cross sections and the cross-section ratio $R_{3/2}$ over the whole kinematic range
- The value of strong coupling constant α_s at the conventional scale of $M_Z=91.9$ GeV is measured to be

$$\alpha_s(M_Z) = 0.1179 \pm 0.0013(\text{stat.}) \begin{matrix} +0.0028 \\ -0.0046 \end{matrix} (\text{syst.}) \begin{matrix} +0.0064 \\ -0.0046 \end{matrix} (\text{th.})$$

in good agreement with current world average

$$\alpha_s(M_Z) = 0.1182 \pm 0.0027$$



Outlook



- Higher order calculations, e.g. NNLO calculation needed
- LO MC models need to be improved
- HERA II program aims at collecting a high luminosity DIS data of high E_T and Q^2
 - Greatly increase statistics, higher precision
 - Improved jet and kinematic reconstruction
 - Lower experimental uncertainties at high E_T and Q^2