Deep Inelastic Scattering CTEQ Summer School Madison, WI, July 2011

Cynthia Keppel Hampton University / Jefferson Lab







#### 40 years of physics Maybe 100 experiments



#### ...in an hour....





#### How to probe the nucleon / quarks?



large momentum -> short distance
 (Uncertainty Principle at work!)

• Scatter high-energy lepton off a proton:

*Deep-Inelastic Scattering (DIS)* 

- In DIS experiments point-like leptons + EM interactions which are well understood are used to probe hadronic structure (which isn't).
- Relevant scales:

$$d_{probed} \propto \hat{\lambda} = \frac{\hbar}{p} \approx 10^{-18} \text{ m}$$

#### **DIS Kinematics**



a virtual photon of fourmomentum **q** is able to resolve structures of the order  $\hbar/\sqrt{q^2}$  • Four-momentum transfer:

$$q^{2} = (E - E')^{2} - (\vec{k} - \vec{k'}) \cdot (\vec{k} - \vec{k'}) =$$
  
=  $m_{e}^{2} + m_{e'}^{2} - 2(EE' - |\vec{k}||\vec{k'}|\cos\theta) =$   
 $\approx -4EE'\sin^{2}\frac{\theta}{2} = -Q^{2}$ 

Mott Cross Section ( $\hbar c=1$ ):

$$\left(\frac{d\sigma}{d\Omega}\right)_{Mott} = \frac{4\alpha^2 E'^2}{Q^4} \cos^2\frac{\theta}{2} \cdot \frac{E'}{E}$$

$$=\frac{4\alpha^2 E'^2}{16E^2 E'^2 \sin^4 \frac{\theta}{2}} \cos^2 \frac{\theta}{2} \cdot \frac{1}{1 + \frac{E}{M}(1 - \cos\theta)}$$

$$= \frac{\alpha^2 \cos^2 \frac{\theta}{2}}{4E^2 \sin^4 \frac{\theta}{2}} \cdot \frac{1}{1 + \frac{E}{M}(2\sin^2 \frac{\theta}{2})}$$

Electron scattering of a spinless point particle

#### **Electron-Proton Scattering**

- Effect of proton spin:
  - Mott cross section:

$$\boldsymbol{\sigma}_{Mott} = \frac{4\alpha^2 E'^2}{Q^4} \cos^2 \frac{\theta}{2} \cdot \frac{E'}{E} \equiv \boldsymbol{\sigma}_{Ruth} \cos^2 \frac{\theta}{2}$$

- − Effect proton spin  $\Rightarrow$ 
  - helicity conservation
  - 0 deg.:  $\sigma_{ep}(magnetic) \rightarrow 0$
  - 180 deg.: spin-flip!

 $\sigma_{\rm magn} \sim \sigma_{\rm Ruth} \sin^2(\theta/2)$ 

~  $\sigma_{\rm Mott}$  tan<sup>2</sup>( $\theta/2$ )

$$\sigma_{e-spin-\frac{1}{2}} \propto \sigma_{Mott} \cdot [1 + 2\tau \tan^2 \frac{\theta}{2}]$$
• with  $\tau = \frac{Q^2}{4M^2c^2}$ 
Mass of t

• Nucleon form factors:

$$\sigma_{ep} = \sigma_{Mott} [A(Q^2) + B(Q^2) \tan^2 \frac{\theta}{2}]$$

with:

$$A(Q^2) = \frac{G_E^2 + \tau G_M^2}{1 + \tau}$$
 and  $B(Q^2) = 2\tau G_M^2$ 

- $G_E^p(0) = 1$  and  $G_M^p(0) = \frac{g_p}{2} \mu_N = 2.79 \mu_N$  $G_E^n(0) = 0$  and  $G_M^n(0) = \frac{g_n}{2} \mu_N = -1.91 \mu_N$
- The proton form factors have a substantial Q<sup>2</sup> dependence.

Mass of target = proton

#### Measurement kinematics...



Everything we need can be reconstructed from the measurement of Ee,  $E'_e$  and  $\theta_e$ . (in principle) -> try a measurement!....

#### Excited states of the nucleon

- Scatter 4.9 GeV electrons from a hydrogen target. At 10 degrees, measure ENERGY of scattered electrons
- Evaluate invariant energy of virtual-photon proton system
   W<sup>2</sup> = 10.06 2.03E'<sub>e</sub>\*
- In the lab-frame:  $P = (m_p, 0) \rightarrow$  $W^2 = (P_p + q)^2 = P^2 + 2Pq + q^2$

$$W^2 = m_p^2 + 2m_p v - Q^2$$

\* Convince yourself of this!



• Observe excited resonance states:

Nucleons are composite

 $\rightarrow$  What do we see in the data for W > 2 GeV ?

- First SLAC experiment ('69):
  - expected from proton form factor:

$$\frac{d\sigma/dE'd\Omega}{\left(d\sigma/d\Omega\right)_{\text{Mott}}} = \left(\frac{1}{\left(1 + Q^2/0.71\right)^2}\right)^2 \propto Q^{-8}$$

- First data show big surprise:
  - very weak Q<sup>2</sup>-dependence
  - form factor -> 1!
  - scattering off point-like objects?





.... introduce a clever model!

### The Quark-Parton Model

- Assumptions:
  - Proton constituent = Parton
  - Elastic scattering from a quasifree spin-1/2 quark in the proton
  - Neglect masses and  $p_T$ 's, "infinite momentum frame"
- Lets assume:  $p_{quark} = xP_{proton}$  $(xP+q)^2 = p'^2_{quark} = m^2_{quark} \approx 0$ 
  - Since  $xP^2 \le M^2 \le Q^2$  it follows:

$$2xP \cdot q + q^2 \approx 0 \implies x = \frac{Q^2}{2Pq} = \frac{Q^2}{2Mv}$$

 $v = (q \cdot p)/M = E_{\rho} - E_{\rho}$ 

Definition Bjorken scaling variable



Check limiting case:

$$W^{2} = M_{p}^{2} + 2M_{p}v - Q^{2} \xrightarrow{x \to 1} M_{p}^{2}$$

- Therefore:
  - x = 1: elastic scattering

and 0 < x < 1

### Structure Functions $F_1$ , $F_2$

• Introduce dimensionless structure functions:

$$F_1 = MW_1 \text{ and } F_2 = vW_2 \implies \frac{d\sigma}{dE'd\Omega} = \left(\frac{d\sigma}{d\Omega}\right)_M \frac{1}{v} \left[F_2(x) + \frac{2v}{M}F_1(x)\tan^2(\theta/2)\right]$$

• Rewrite this in terms of :  $\tau = Q^2 / 4m_{quark}^2 \quad (\text{elastic } e-q \text{ scatt.}: 2m_q v = Q^2)$   $\frac{d\sigma}{dE' d\Omega} / \left(\frac{d\sigma}{d\Omega}\right)_M = \frac{1}{v} \left[ F_2(x) + 2\frac{Q^2}{4m_q^2} \frac{4m_q^2}{Q^2} \frac{v}{M} F_1(x) \tan^2(\theta/2) \right] =$   $= \frac{1}{v} \left[ F_2(x) + 2\tau \cdot 2xF_1(x) \tan^2(\theta/2) \right]$   $= \frac{1}{v} F_2(x) \left[ 1 + 2\tau \tan^2(\theta/2) \right]$   $= \frac{1}{v} F_2(x) \left[ 1 + 2\tau \tan^2(\theta/2) \right]$ 

0,5

 $\overset{\overbrace{0,5}}{x} = \frac{Q^2}{2M\nu}$ 

- Experimental data for  $2xF_1(x) / F_2(x)$ 
  - $\rightarrow$  quarks have spin 1/2

(if bosons: no spin-flip  $\Rightarrow$   $F_1(x) = 0$ )

## Interpretation of $F_1(x)$ and $F_2(x)$

• In the quark-parton model:

Quark momentum distribution

 $F_1(x) = \sum_f \frac{1}{2} z_f^2 [q_f(x) + \overline{q}_f(x)]$ 



J.T.Friedman + H.W.Kendall, Ann. Rev. Nucl. Sci. 22 (1972) 203

#### The quark structure of nucleons

- Quark quantum numbers:
  - Spin:  $\frac{1}{2} \Rightarrow S_{p,n} = (\uparrow \uparrow \downarrow) = \frac{1}{2}$
  - Isopin:  $\frac{1}{2} \Rightarrow I_{p,n} = (\uparrow \uparrow \downarrow) = \frac{1}{2}$
- Why fractional charges?
  - Extreme baryons: Z = (-1,+2)

$$-1 \le 3z_q \le +2 \implies -\frac{1}{3} \le z_q \le +\frac{2}{3}$$

- Assign: 
$$z_{up} = +2/3$$
,  $z_{down} = -1/3$ 

• Three families:

$$\begin{pmatrix} u \\ d \end{pmatrix} \begin{pmatrix} c \\ s \end{pmatrix} \begin{pmatrix} t \\ b \end{pmatrix} \implies z = +\frac{2}{3}; \ m_u << \ m_c (\approx 1.5 \,\text{GeV}) \ << \ m_t \\ \implies z = -\frac{1}{3}; \ m_d << \ m_s (\approx 0.3 \,\text{GeV}) \ << \ m_b$$

$$- m_{c,b,t} >> m_{u,d,s}$$
: no role in p,n

• Structure functions:

$$F_{2}^{p} = x[\frac{1}{9}(d_{v}^{p} + d_{s}^{p} + \overline{d}_{s}^{p}) + \frac{4}{9}(u_{v}^{p} + u_{s}^{p} + \overline{u}_{s}^{p}) + \frac{1}{9}(s_{s} + \overline{s}_{s})]$$
  

$$F_{2}^{n} = x[\frac{1}{9}(d_{v}^{n} + d_{s}^{n} + \overline{d}_{s}^{n}) + \frac{4}{9}(u_{v}^{n} + u_{s}^{n} + \overline{u}_{s}^{n}) + \frac{1}{9}(s_{s} + \overline{s}_{s})]$$

– Isospin symmetry:

$$u_v^n = d_v^p, \ d_v^n = u_v^p, \ \overline{u}_s^n = \overline{d}_s^n = \overline{u}_s^p = \overline{d}_s^p$$

- 'Average' nucleon  $F_2(x)$ with  $q(x) = q_v(x) + q_s(x)$  etc.

$$F_2^N = \frac{1}{2}(F_2^p + F_2^n)$$
  
=  $\frac{5}{18}x \cdot \sum_{u,d} (q(x) + \overline{q}(x)) + \frac{1}{9}x \cdot [s_s(x) + \overline{s}_s(x)]$ 

• Neutrinos:

$$F_2^{\nu} = x[(d_{\nu} + d_s + \overline{d}_s) + (u_{\nu} + u_s + \overline{u}_s) + (s_s + \overline{s}_s)]$$
  
=  $x[(d + u + s) + (\overline{d}_s + \overline{u}_s + \overline{s}_s)] = x \sum_{u,d,s} (q(x) + \overline{q}(x))$ 

#### Fractional quark charges

• Neglect strange quarks  $\Rightarrow$ 



– Data confirm factor 5/18:

Evidence for fractional charges

 Fraction of proton momentum carried by quarks:

 $\int_{0}^{1} F_{2}^{v,N}(x) dx = \frac{18}{5} \int_{0}^{1} F_{2}^{e,N}(x) dx \approx 0.5$ 

 50% of momentum due to nonelectro-weak particles:

Evidence for gluons



IF, proton was made of 3 quarks each with 1/3 of proton's momentum:



The partons are point-like and incoherent then  $Q^2$  shouldn't matter.

 $\rightarrow$  Bjorken scaling: F<sub>2</sub> has no Q<sup>2</sup> dependence.

#### Thus far, we've covered:

- Some history
- Some key results
- Basic predictions of the parton model

The parton model assumes:

- Non-interacting point-like particles
- → Bjorken scaling, i.e.  $F_2(x,Q^2)=F_2(x)$
- Fractional charges (if partons=quarks)
- Spin 1/2
- Valence and sea quark structure (sum rules)
   Makes key predictions that can be tested by experiment.....

Let's look at some data  $\rightarrow$ 

Proton Structure Function F<sub>2</sub>





Lovely movies are from R. Yoshida, CTEQ Summer School 2007

#### Deep Inelastic Scattering experiments



#### Modern data PDG 2002 10 $F_2(x,Q^2)+c(x)$ =0.000063 x=0.000102 .000162 Proton 9 • First data (1980): H1 0.0004 ZEUS 0.0005BCDMS 000632 8 0.0008E665 $^{\circ}$ NMC x=0.00102 П 2 GeV<sup>2</sup><Q<sup>2</sup>< 18 Ge SLAC Δ x=0.0013 Fr (x,Q 7 x=0.00151 $c(x)=0.3(i_x-0.4)$ x = 0.0021x=0.00253 6 x = 0.0032 0.2 x=0.005 5 x=0.008 0.1 + x=0.013 x=0.021 4 0 0.2 0.6 0.8 0.4 0 ×=0.032 х • x=0.05 കരംകം 3 x=0.08 Now.."Scaling violations": ullet± x=0.13 2 x = 0.18- weak $Q^2$ dependence x=0.25 - rise at low xx=0.4 1 x=0.65 – what physics?? x≈0.75 x = 0.85 (i = 1) 0 10 -1 10<sup>6</sup> $10^{2}$ 10<sup>3</sup> $10^{4}$ 10<sup>5</sup> 1 10 . . . . . $Q^2 (GeV^2)$

### Quantum Chromodynamics (QCD)

- Field theory for strong interaction:
  - quarks interact by gluon exchange
  - quarks carry a 'colour' charge
  - exchange bosons (gluons) carry
     colour ⇒ self-interactions (cf. QED!)
- Hadrons are colour neutral:
  - RR, BB, GG or RGB
  - leads to confinement:

 $|q\rangle$ ,  $|qq\rangle$  or  $|qq\overline{q}\rangle$  forbidden

- Effective strength ~ #gluons exch.
  - low  $Q^2$ : more g's: large eff. coupling
  - high  $Q^2$ : few g's: small eff. coupling





### The QCD Lagrangian



So what does this mean ..?

QCD brings new possibilities:





Virtuality (4-momentum transfer) Q gives the distance scale r at which the proton is probed.

r≈ ħc/Q = 0.2fm/Q[GeV]

CERN, FNAL fixed target DIS: $r_{min} \approx 1/100$  proton dia.HERA ep collider DIS: $r_{min} \approx 1/1000$  proton dia.

HERA:  $E_e$ =27.5 GeV,  $E_P$ =920 GeV

(Uncertainty Principle again)



So what do we expect  $F_2$  as a function of x at a fixed  $Q^2$  to look like?



Three quarks with 1/3 of total proton momentum each.

Three quarks with some momentum smearing.

The three quarks radiate partons at low ×.

.... The answer depends on the  $Q^{2}$ !

#### Proton Structure Function F<sub>2</sub>

How this change with Q<sup>2</sup> happens quantitatively described by the:

Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) equations



### QCD predictions: scaling violations

- Originally:  $F_2 = F_2(x)$ 
  - but also  $Q^2$ -dependence
- Why scaling violations?
  - if  $Q^2$  increases:
    - $\Rightarrow$  more resolution (~1/Q<sup>2</sup>)
    - ⇒ more sea quarks +gluons
- QCD improved QPM:



$$\frac{F_2(x,Q^2)}{x} = \left| \begin{array}{c} & & \\ &$$

Officially known as: Altarelli-Parisi Equations ("DGLAP")

DGLAP equations are easy to "understand" intuitively ..

First we have four "splitting functions"



P<sub>ab</sub>(z): the probability that parton a will radiate a parton b with the fraction z of the original momentum carried by a.

These additional contributions to  $F_2(x,Q^2)$  can be calculated.



 $q_f$  is the quark density summed over all active flavors

Change of quark distribution q with  $Q^2$ is given by the probability that q and g radiate q. Same for gluons:

$$\frac{dg(x,Q^2)}{d \ln Q^2} = \alpha_s \left[ \sum q_f \times P_{qg} + g \times P_{gg} \right]$$

Violation of Bjorken scaling predicted by QCD logarithmic dependence, not dramatic DGLAP fit (or QCD fit) extracts the parton distributions from measurements. (CTEQ, for instance :))

Basically, this is accomplished in two steps:

Step 1: parametrise the parton momentum density f(x) at some  $Q^2$ . e.g.  $f(x)=p_1x^{p_2}(1-x)^{p_3}(1+p_4\sqrt{x+p_5}x)$ 

u <sub>v</sub> (x)	u-valence	"The onicipal three quarks"
d <sub>v</sub> (x)	d-valence	s The original three quarks
g(x)	gluon	
S(x)	"sea" (i.e. r	on valence) quarks

Step 2: find the parameters by fitting to DIS (and other) data using DGLAP equations to evolve f(x) in  $Q^2$ .

$$\frac{\partial f_q(x,Q^2)}{\partial \log Q^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} f_q(y,Q^2) P_{qq}\left(\frac{x}{y}\right) + \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} g(y,Q^2) P_{qg}\left(\frac{x}{y}\right)$$

$$QCD fits$$

$$\frac{\partial g(x,Q^2)}{\partial \log Q^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} f_q(y,Q^2) P_{gq}\left(\frac{x}{y}\right) + \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} g(y,Q^2) P_{gg}\left(\frac{x}{y}\right)$$

$$data$$

 $Q^2$ 

The DGLAP evolution equations are extremely useful as they allow structure functions measured by one experiment to be compared to other measurements and to be extrapolated to predict what will happen in regions where no measurements exist, e.g. LHC.



Evolving PDFs up to  $M_{W,Z}$  scale





### QCD predictions: the running of $\alpha_s$

• pQCD valid if  $\alpha_s \ll 1$ :

 $\Rightarrow Q^2 > 1.0 \, (\text{GeV/c})^2$ 

• pQCD calculation:

 $\alpha_s(Q^2) = \frac{12\pi}{(33 - 2n_f) \cdot \ln(Q^2 / \Lambda^2)}$ 

– with 
$$\Lambda_{exp}$$
 = 250 MeV/c:

$$Q^2 \rightarrow \infty \Rightarrow \alpha_s \rightarrow 0$$

⇒ asymptotic freedom

$$Q^2 \rightarrow 0 \Rightarrow \alpha_s \rightarrow \infty$$

 $\Rightarrow$  confinement



Running coupling constant is quantitative test of QCD.

### QCD fits of $F_2(x,Q^2)$ data

- Free parameters:
  - coupling constant:

$$\alpha_s = \frac{12\pi}{(33 - n_f)\ln(Q^2 / \Lambda^2)} \approx 0.16$$

- quark distribution  $q(x,Q^2)$
- gluon distribution  $g(x, Q^2)$
- Successful fit:

Corner stone of QCD



### What's still to do?

#### LOTS still to do!

EIC

- Large pdf uncertainties still at large x, low x 🛩
- pdfs in nuclei
- $F_L$  structure function unique sensitivity to the glue

$$\int_{0}^{1} dx F_{L}(x,Q^{2}) = \frac{\alpha_{s}(Q^{2})}{4\pi} \left( \frac{4}{9} \int_{0}^{1} dx F_{2}(x,Q^{2}) + \frac{2\sum e_{q}^{2}}{12} \int_{0}^{1} dx \underline{x} G(x,Q^{2}) \right)$$
  
F<sub>2</sub> = 2xF<sub>1</sub> only true at leading order)

- Spin-dependent structure functions and transversity
- Generalized parton distributions
- Quark-hadron duality, transition to pQCD
- Neutrino measurements nuclear effects different? F<sub>3</sub> structure function (Dave Schmitz talks next week)
- Parity violation, charged current,....
- NLO, NNLO, and beyond
- Semi-inclusive (flavor tagging)
- BFKL evolution, Renormalization



#### Large x (x > 0.1) -> Large PDF Uncertainties

#### Typical W, Q cuts are VERY restrictive....



#### Nuclear medium modifications, pdfs



# The deuteron is a nucleus, and corrections at large x matter....



The extremes of variation of the u,d, gluon PDFs, relative to reference PDFs using different deuterium nuclear corrections

> Differential parton luminosities for fixed rapidity y = 1, 2, 3, as a function of  $\tau = Q^2/S$ , variations due to the choice of deuterium nucleon corrections.

The gg, gd,  $d\overline{u}$  luminosities control the "standard candle" cross section for Higgs, jet W<sup>-</sup> production, respectively.

#### QCD and the Parton-Hadron Transition



#### Quark-Hadron Duality

 At high energies: interactions between quarks and gluons become weak

("asymptotic freedom")

efficient description of phenomena afforded in terms of quarks

- At low energies: effects of confinement make strongly-coupled QCD highly non-perturbative
  - Collective degrees of freedom (mesons and baryons) more efficient
- Duality between quark and hadron descriptions
  - reflects relationship between confinement and asymptotic freedom
  - intimately related to nature and transition from nonperturbative to perturbative QCD

Duality defines the transition from soft to hard QCD.

## Duality observed (but not understood) in inelastic (DIS) structure functions

First observed in  $F_2 \sim 1970$  by Bloom and Gilman at SLAC

- Bjorken Limit:  $Q^2$ ,  $\nu \rightarrow \infty$
- Empirically, DIS region is where logarithmic scaling is observed:  $Q^2 > 5 \text{ GeV}^2$ ,  $W^2 > 4 \text{ GeV}^2$
- Duality: Averaged over W, logarithmic scaling observed to work also for Q<sup>2</sup> > 0.5 GeV<sup>2</sup>, W<sup>2</sup> < 4 GeV<sup>2</sup>, resonance regime



#### Beyond form factors and quark distributions -Generalized Parton Distributions (GPDs)

X. Ji, D. Mueller, A. Radyushkin (1994-1997)

х

 $f(\mathbf{x}, b_{\perp})$ 

 $\delta z_{\perp}$ 

хp

х





Correlated guark momentum and helicity distributions in transverse space - GPDs





#### Again, LOTS still to do!

EIC

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#### More challenges....

• Extrapolate  $\alpha_s$  to the size of the proton, 10<sup>-15</sup> m:



- If  $\alpha_s > 1$  perturbative expansions fail...
- $\rightarrow$  Non-perturbative QCD:
  - Proton structure & spin
  - Confinement
  - Nucleon-Nucleon forces
  - Higher twist effects
  - Target mass corrections